

**Review comments on the draft  
Accounting framework for biogenic CO2 emissions...**

**Meeting of the  
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# Questions

## 4. Evaluation of the accounting framework

- Does the framework accurately represent the changes in carbon stocks that occur offsite, beyond the stationary source? (i.e., the BAF)
- Is it scientifically rigorous?
- Does it utilize existing data sources?
- Is it easily updated as new data become available?
- Is it simple to implement and understand?
- Can the SAB recommend improvements to the framework to address the issue of attribution of changes in land-based carbon stocks?
- Are there additional limitations of the accounting framework itself that should be considered?

# My focus

Estimating LAR (level of atmospheric reduction) and BAF (Biogenic accounting factor) for

1. Forest residue (logging residue )\*
2. Mill residue
3. Non- merchantable forest biomass\*
4. Roundwood harvest in a commercial market area\*
5. Roundwood harvest from a dedicated source\*
  - C “recovered” in advance use?
  - Dedication of existing forest?

\* Carbon is recovered from the atmosphere over a few to many decades after harvest

## What is the objective for the accounting framework?

- The “carbon outcome” is not defined
- The **implicit** “carbon outcome” goal = count biogenic emissions in cases where such emissions may potentially deepen already negative forest C change or make forest C change negative in the current year.
- Suggested definition: The **difference in CO2 (GHG) concentration** the atmosphere sees over some **time frame** **as a result of** wood use for energy.
- BAF = the portion of current year emissions that will be a net increase in CO2 in the atmosphere at some point in the future as a wood use for energy
- “difference in CO2 concentration” =
  - Baseline requirement = Carbon storage without wood energy use?
- “time frame” = ~ 100 years

A measure of the “difference in CO<sub>2</sub>” –  
Fraction of C emissions recovered by time t- FCR(t)

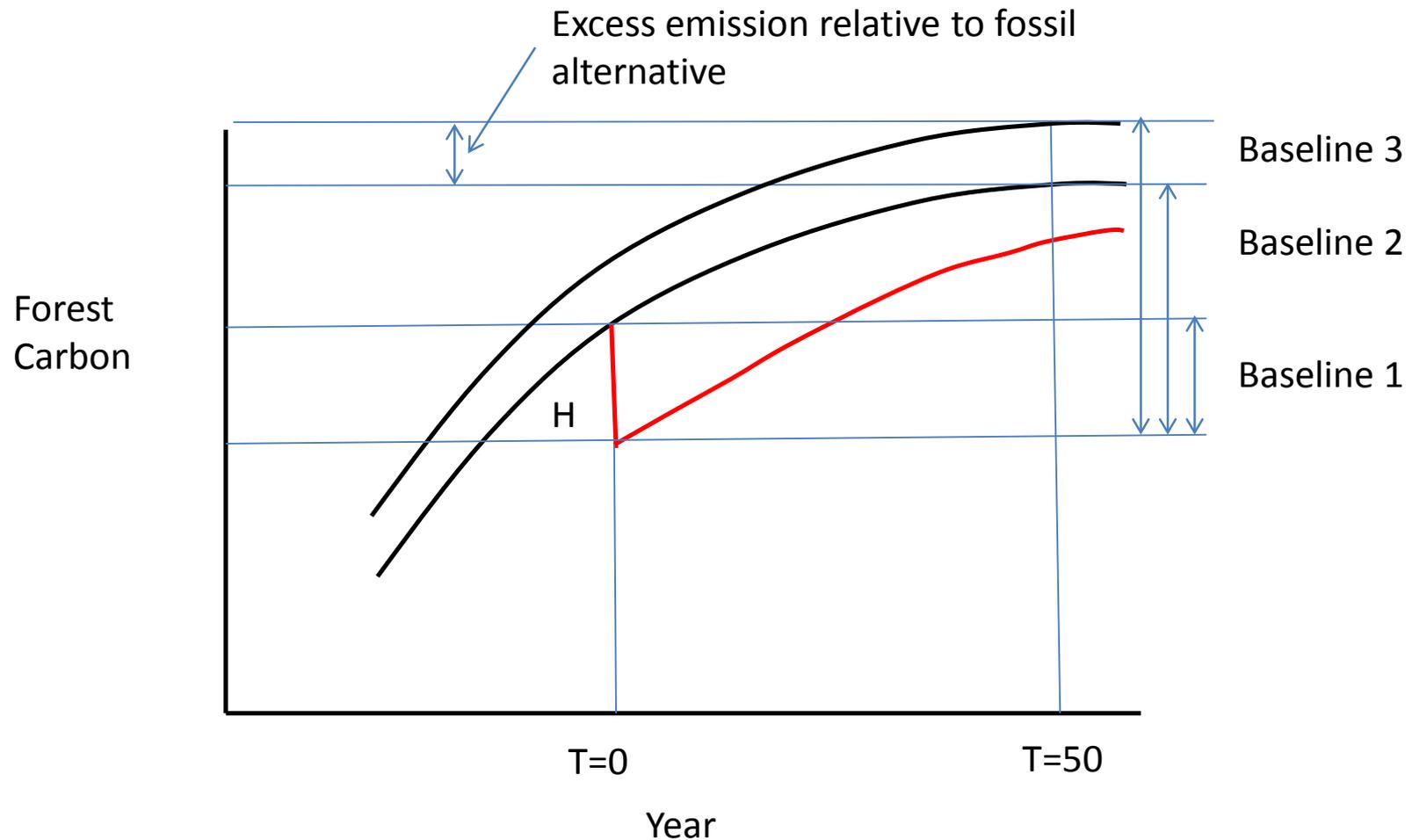
Let **FCR(t)** = fraction of the carbon emitted  
in the current year that is recovered (in  
net) from the atmosphere by year t

Let

$LAR2 = FRC(t)$  for a chosen t

$BAF2 = (1 - LAR2) = (1 - FRC(t))$

# Alternate Carbon recovery baselines (what is full C recovery in year 50?)



## LAR and BAF for forest roundwood harvest - Baseline 2

Let  $\text{FCR}(t)$  = fraction of the carbon emitted in the current year that is recovered (in net) from the atmosphere by year  $t$

$$\text{FCR}(t) = (\text{GB}(t) - \text{GNB}(t)) / H \quad (1)$$

$\text{GB}(t)$  = growth with biomass harvest to  $t$

$\text{GNB}(t)$  = growth w/o biomass harvest to  $t$

$H$  = C lost due to harvest

$$\text{LAR2}(t) = \text{FCR}(t) * \text{RRF}(t)$$

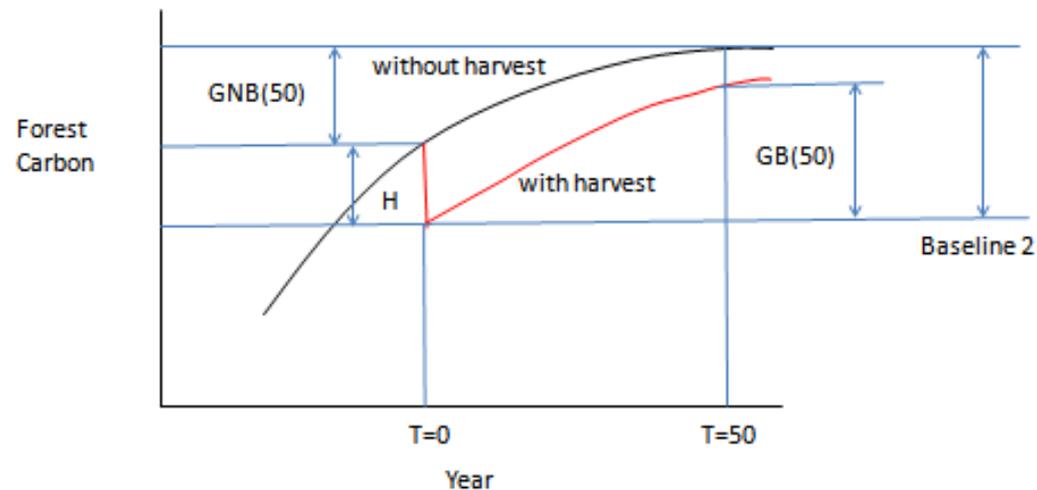
e.g.

$$\text{BAF2}(50) = (1 - \text{LAR2}(50))$$

$\text{RRF}(t)$  = risk reduction factor to avoid overestimating  $\text{LAR2}(t)$

Fraction carbon recovery-  $\text{FCR}(t)$   
using Baseline 2

$$\text{FCR}(50) = (\text{GB}(50) - \text{GNB}(50)) / H$$



# Draft BAF versus BAF2 indicating forest carbon recovery in 50yrs

Current year Growth vs Removals	Old dense slow growing forest – clearcut, 10% recovery in 50 yrs		Mid age stand, moderate thinning, 60% recovery in 50 yrs		Old slow growing stand, light thinning, 80% recovery, in 50 years	
	BAF	BAF2(50)	BAF	BAF2(50)	BAF	BAF2(50)
G > R	0	0.9	0	0.4	0	0.2
G < R	1	0.9	1	0.4	1	0.2
Plant takes 50% of G-R	0.5	0.9	0.5	0.4	0.5	0.2

**BAF = 1 means all emissions counted**

**BAF does not reflect how forests recover based on e.g. forest condition, removal rate**

## Answers to review questions

- **Does the framework accurately represent the changes in carbon stocks that occur offsite, beyond the stationary source?**
  - No, not if “carbon outcome” is net atmospheric CO<sub>2</sub> change over some time frame. Current year excess growth LAR = 1 to 0 is not correlated with “difference in CO<sub>2</sub> concentrations” in over 50- 100 years.
- **Is it scientifically rigorous?**
  - No, the BAF value is not likely to reflect the “difference in CO<sub>2</sub> concentrations” in the atmosphere in 50 - 100 years.
- **Does it utilize existing data sources?** Yes
- **Is it easily updated as new data become available?** Yes
- **Is it simple to implement and understand?** The procedure yes; how the accounting reflects the “difference in CO<sub>2</sub> concentrations” in the atmosphere, no.
- **Can the SAB recommend improvements to the framework to address the issue of attribution of changes in land-based carbon stocks?**  
Yes?
- **Are there additional limitations of the accounting framework itself that should be considered?**

## LAR and BAF for forest roundwood harvest - Baseline 2

Let  $\text{FCR}(t)$  = fraction of the carbon emitted in the current year that is recovered (in net) from the atmosphere by year  $t$

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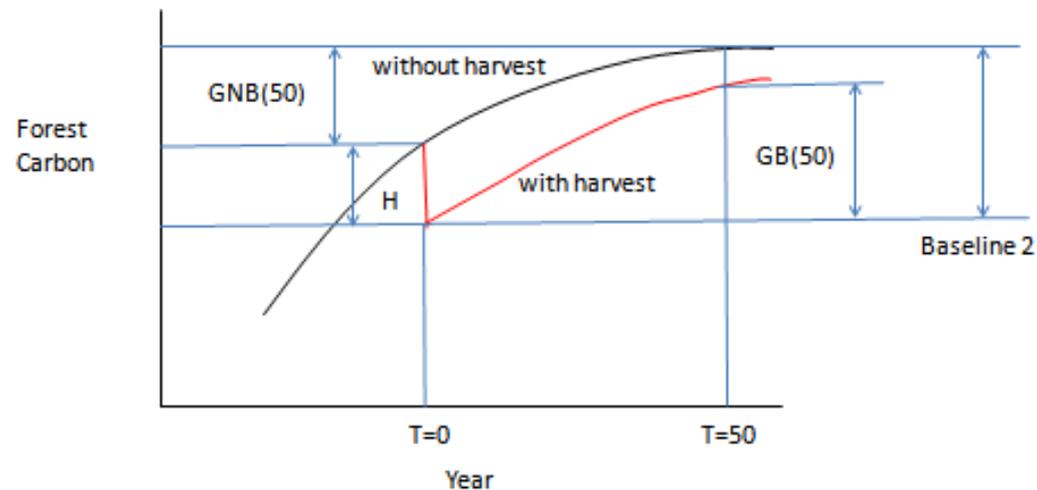
e.g.

$$\text{BAF2}(50) = (1 - \text{LAR2}(50))$$

$\text{RRF}(t)$  = risk reduction factor to avoid overestimating  $\text{LAR2}(t)$

### Fraction carbon recovery- $\text{FCR}(t)$ using Baseline 2

$$\text{FCR}(50) = (\text{GB}(50) - \text{GNB}(50)) / H$$



Net growth with biomass over time

$$\mathbf{GB(t) = PGB(t) - C_{NONBIOHARVEST}(t) - C_{FORCONV}(t) + C_{NONFORCONV}(t)} \quad (2)$$

Net growth with biomass harvest over time

$$\mathbf{GNB(t) = PGNB(t) - C_{NONBIOHARVEST}(t) - C_{FORCONV}(t) + C_{NONFORCONV}(t) + \Delta HWPC(t)} \quad (3)$$

Where

$P_{GNB}(t), P_{GB}(t)$  = potential growth to time t

$C_{NonBioHarvest}(t)$  = C loss - harvest not for energy to time t

$C_{FORCONV}(t)$  = C loss - conversion of forest to nonforest to time t

$C_{NONFORCONV}(t)$  = C gain - conversion of nonforest to forest to time t

$\Delta HWPC$  = Change in C stored due to change in wood products production to time t (relative to no harvest baseline)

# Estimating Regional FCR(t) Matrix for Roundwood harvest

## Step 1 - Matrix of raw FCR(t) values for roundwood harvest a region for $t = 50$ to $100$

Assume “normal” mortality, assume land remains forest

										Forest density (% of Max stand density index)									
										Low			Medium			High			
Site productivity										Fraction removed % of SDI			Fraction removed % of SDI			Fraction removed % of SDI			
										Low	Med	High	Low	Med	High	Low	Med	High	
Low										Model all FIA plots in each cell									<b>Lowest</b>
Medium																			
High										<b>High</b>			<b>High</b>						

## Step 2 – Adjust roundwood FCR(t) matrix cells

- Adjustment less important than correct relative FCR values in basic matrix – to send relative “difference in CO2” **signal to users**
- Adjust for
  - Intensity of non biomass harvest (less total carbon gain)
  - net shift non forest to forest (if models give unambiguous result)
  - Shift C recovery due to climate change

Step 3? – If region has  $G < R$  then

- 1) Land must be certified to get full FCR(t) values
- 2) If land not certified – reduce FCR(t) values?

# Simplest method

## FCR(t) values for logging residue, for t= 50 to 100

	Region		
	North	South	West
Logging residue	0.8	0.9	0.7

## FCR(t) values for roundwood, for a given region for t= 50 to 100

	Forest density (% of Max stand density index)		
Site productivity	Low	Medium	High
Low	Model all FIA plots in each cell		<b>Lowest</b>
Medium			
High	<b>Higher</b>	<b>Higher</b>	

# What wood suppliers need to report

- For FCR (t) matrix method – for roundwood
  - Lat long
    - Get Site productivity from GIS
    - Get stand density from GIS
  - Amount delivered
  - Area harvested
- For Simplest method – for roundwood
  - Lat Long –
    - Get stand density, site productivity from GIS

# Potential Models/ data

- Basic roundwood matrix/ simple roundwood table
  - FVS variants (USFS) (FIA Plots/ FIA mortality)
  - BiomBGC? (Gower)
- Matrix Shifters
  - RPA Assessment models (FIA Plots)
  - SERTS (Abt)

## **How to estimate FCR(t) values for regions.**

- Estimate for successively larger values of H (biomass removal) for the region
- Estimate FCR(t) for groupings of FIA plots (forest conditions) that may be harvested

## **Criteria for parameters to group FIA plots**

- Identify parameters that account for variation in FCR(t)
- Use parameters that wood suppliers can identify on the ground. e.g. stand density, intensity of harvest, Lat. Long. (to link to GIS layer of forest productivity)

## **Wood suppliers role**

- Biomass suppliers would report basic parameter data needed to look up previously estimated FCR(t) values. (e.g. density, fraction of basal area removed, lat long to get GIS on productivity )

## **Models to estimate growth with and without harvest (GNB(t), GB(t) )**

### **USDA FS – RPA Forest Projection models (David Wear et al. Peter Ince et al.)**

- 50 year projections
- Projects individual FIA plots, above ground carbon
- Projections are stochastic - would yield distribution of FRC(t) values
- Historical patterns of natural mortality are endogenous
- C harvest for non bioenergy uses is endogenous
- C loss from conversion of forest to non forest is endogenous
- C gain from conversion of non forest to forest is endogenous
- Could – in principle – implement H limited to different forest sources
- $\Delta\text{HWPC}(t)$  – change in wood products carbon storage is endogenous
- Climate change effects could be included based on 4 GCMs

# Models to estimate growth with and without harvest (GNB(t), GB(t) )

## USDA FS Forest Vegetation Simulator Regional Variants (N. Crookston et al.)

- Projection for 50+ years
- Projects growth for individual FIA plots
- Can project individual plots with and without increased harvest) for energy
- Can vary intensity and type of harvest treatment
- CNONBIOHARVEST, CFORCONV, CNONFORCONV would have to be specified exogenously
- FRC(t) could be computed for FIA plots by e.g. location, forest condition, harvest intensity
- Historical or modified levels of fire rate could be included and fire intensity and emissions would be estimated endogenously

## Comments on the proposed alternate framework

- Policies and practices to retain forest will enhance likelihood of reaching full C recovery.
- By estimating FRC(t) by regions, and by e.g. forest conditions/removal intensity there would be an incentive to use biomass from locations providing the fastest and highest C recovery.
  - Substantial harvest of older stands would be avoided.  
Harvesting of very slow growing stands would be avoided.
- Effect of high removals versus growth on FCR(t) would be endogenous in computing GB and GNB
- FRC(t) values could credit use of enhanced regeneration methods (planting) or improved genetic stock
- FRC(t) values could be computed for fire hazard reduction treatments where the projections include fire probabilities. FVS simulations could take into potential carbon loss.
- Could monitor harvest locations to check 1) growth rate versus projections, 2) probability of conversion , 3) probability of harvest.

## LAR and BAF for Logging residue

- $LAR(t) = FCR(t) = FLRDECAY(t)$  (4)
- $FLRDECAY(t)$  = fraction of logging residue that would have decayed by time  $t$ .
- For example
- $BAF50 = (1 - FRC(50)) = (1 - FLRDECAY(50))$  (5)
- Data sources – existing studies, new FIA plot data on loss rates for dead and down wood by region (raw data is available for Eastern U.S.)

## LAR and BAF for dedicated wood plantations

- BAF should account for 1) carbon accumulation on the land prior to its use for energy and 2) carbon loss due to the land conversion
- $$\text{LAR}(t) = 1 + (\text{AVECINV}(t) - \text{CONVLOSS}) / \text{TOTH}(t) \quad (6)$$
- Where
- $t = 0$  - time the plantation was established
- AVECINV = average standing carbon inventory per acre through time  $t$
- CONVLOSS = C loss at the time of conversion to a plantation.
- TOTH( $t$ ) = C in total harvest from the plantation through time  $t$
- For example
- $$\begin{aligned} \text{BAF}(50) &= (1 - \text{LAR}(50)) = (1 - (1 + (\text{AVECINV}(50) - \text{CONVLOSS}) / \text{TOTH}(50))) \\ &= - (\text{AVECINV}(50) - \text{CONVLOSS}) / \text{TOTH}(50) \quad (7) \end{aligned}$$

## Answers to review questions for alternate framework

- **Does the framework accurately represent the changes in carbon stocks that occur offsite, beyond the stationary source?** Yes, within error bounds. Indicates C recovery for given levels of biomass harvest in a region by type/ location of forest and removals.
- **Is it scientifically rigorous?** Yes, accounts for major factors that determine carbon recovery over time. Making the framework rigorous requires 1) assessing uncertainty of FRC(t) values/discounting for risk, and 2) monitoring recovery on the ground. FRC(t) estimates would be based on scientific understanding contained in forest projection models
- **Does it utilize existing data sources?** It uses FIA plot data , other FIA data on disturbance, and existing projection models.
- **Is it easily updated as new data become available?** Yes
- **Is it simple to implement and understand?** Contingent yes – contingent on work of several parties.
  - Researchers need to compute the FCR(t) value tables.
  - Wood suppliers need to certify forest condition and harvest parameters to look up FCR(t) values in tables.
  - Policy makers need to select the time periods of interest to determine FCR(t) values for current year LAR.

# Key points

- “Carbon outcome” (measure) must be defined
- Suggest – **Difference in CO2** atmosphere sees over a **specific time due to** use of biomass for energy in the current year
- Proposed Framework for BAF does not give “difference in CO2” correctly for forest sources with long C recovery times
- “Difference in CO2” is determined by fraction of forest C recovery by time t
- Baseline = Forest C recovery **target amount** at time t
- $FCR(t) = \frac{\text{fraction current yr emissions recovered}}{\text{given recovery target}}$  by t
- Should compute FCR(t) for forest sources, by region, by forest condition/removal rates
- Forest Service models (FVS, RPA Forest models) could estimate FCR(t) for regional levels of harvest, various forest conditions out 50+ years

**Thank you**



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