



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY  
WASHINGTON D.C. 20460

OFFICE OF THE ADMINISTRATOR  
SCIENCE ADVISORY BOARD

May 5, 2020

EPA-CASAC-20-005

The Honorable Andrew R. Wheeler  
Administrator  
U.S. Environmental Protection Agency  
1200 Pennsylvania Avenue, N.W.  
Washington, D.C. 20460

Subject: Consultation on the EPA's *Review of the Secondary Standards for Ecological Effects of Oxides of Nitrogen, Oxides of Sulfur, and Particulate Matter: Risk and Exposure Assessment Planning Document (August - 2018)*

Dear Administrator Wheeler:

EPA's Clean Air Scientific Advisory Committee (CASAC) Secondary NAAQS Review Panel for Oxides of Nitrogen and Sulfur held a public meeting on September 6, 2018, to conduct a consultation with EPA staff on the EPA's *Review of the Secondary Standards for Ecological Effects of Oxides of Nitrogen, Oxides of Sulfur, and Particulate Matter: Risk and Exposure Assessment Planning Document (August - 2018)*. The Panel generally found the Draft Risk and Exposure Assessment Planning Document to be a useful roadmap for conducting the Risk and Exposure Assessment.

The Science Advisory Board Staff Office has developed the consultation as a mechanism to provide individual expert comments for the EPA's consideration early in the implementation of a project or action. A consultation is conducted under the normal requirements of the Federal Advisory Committee Act (FACA), as amended (5 U.S.C., App.), which include advance notice of the public meeting in the Federal Register.

No consensus report is provided to the EPA because no consensus advice is given. The individual written comments that were provided by CASAC Panel members are provided in Enclosure A.

We thank the EPA for the opportunity to provide advice early in the development of the Risk and Exposure Assessment and look forward to reviewing the completed Risk and Exposure Assessment.

Sincerely,

/s/

Dr. Louis Anthony Cox, Jr.  
Chair  
Clean Air Scientific  
Advisory Committee

/s/

Dr. Ronald J. Kendall  
Chair  
CASAC Secondary NAAQS  
Review Panel for Oxides of  
Nitrogen and Sulfur

/s/

Dr. Ivan J. Fernandez  
Immediate Past Chair  
CASAC Secondary NAAQS  
Review Panel for Oxides of  
Nitrogen and Sulfur

Enclosure

## NOTICE

This report has been written as part of the activities of the EPA's Clean Air Scientific Advisory Committee (CASAC), a federal advisory committee independently chartered to provide extramural scientific information and advice to the Administrator and other officials of the EPA. The CASAC provides balanced, expert assessment of scientific matters related to issues and problems facing the agency. This report has not been reviewed for approval by the agency and, hence, the contents of this report do not represent the views and policies of the EPA, nor of other agencies within the Executive Branch of the federal government. In addition, any mention of trade names or commercial products does not constitute a recommendation for use. The CASAC reports are posted on the EPA website at: <http://www.epa.gov/casac>.

**U.S. Environmental Protection Agency  
Clean Air Scientific Advisory Committee  
Secondary NAAQS Review Panel for Oxides of Nitrogen and Sulfur**

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**Mr. Aaron Yeow**, Designated Federal Officer, U.S. Environmental Protection Agency, Science Advisory Board, Washington, DC

## Enclosure A

**Individual Comments from Members of the CASAC Secondary  
NAAQS Review Panel for Oxides of Nitrogen and Sulfur on  
EPA’s *Review of the Secondary Standards for Ecological Effects of Oxides of Nitrogen, Oxides of  
Sulfur, and Particulate Matter: Risk and Exposure Assessment Planning Document*  
(August - 2018)**

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## Dr. Edith Allen

### Ecological Risk Assessment:

1. *The overall approach for quantitatively evaluating ecological effects of atmospheric deposition on acidification and nitrogen enrichment of freshwater and terrestrial ecosystems using critical loads and exposure-response curves. [Section 4.3]*

Response:

The overall approach is appropriate. Comparing changes in response receptors based on CL to current air quality standards will give a good estimate whether current primary and secondary standards are adequate.

2. *The refinement of freshwater critical loads developed in the last review to include new scientific evidence on ANC levels and ecological effects. [Section 4.3.1]*

No comment from E. Allen

3. *The use of different base cation aluminum (Bc:Al) levels for purposes of deriving forest soil acidification critical loads, in particular, using Bc:Al ratios of 1, 10, or a range of values. [Section 4.3.2.1]*

No comment from E. Allen

4. *The use of the exposure-response functions to evaluate acidification and nitrogen enrichment effects on individual tree species and communities in case study areas. [Section 4.3.2.2]*

Response:

The criteria for selection of case study areas are appropriate, but I have questions about one criterion, that sites recently affected by air pollution be emphasized. Are there are enough sites with recent air quality declines to apply the criterion for recent declines in air quality? Will most of these be in the drier western and central US? For long-lived tree species, this is not an appropriate criterion. It will be easier to assess the choice of case studies when those chosen are listed.

5. *The approach for assessing variability/co-variability and characterizing uncertainty in the assessment of ecological effects of atmospheric deposition on acidification and nitrogen enrichment of freshwater and terrestrial ecosystems using critical loads and exposure-response curves. [Sections 4.3, 4.5.2 and 4.5.3]*

Response:

The discussion on uncertainty is good. I agree that uncertainty for mycorrhizal response will be high, as data are limited, but will be better for other species. A qualitative assessment of uncertainty may be the best approach, based on quantitative assessment, and thus is appropriate (p. 4-23).

Table 4-3: Should CL for tree survival and growth response be included in this table (from Horn et al. in review)?

**4.3.2.3.4 Forests and Grasslands p. 4-20**--This paragraph does not add much--could be shortened and combined with 4.3.2.2.1 Trees and 4.3.2.3.3 Herbs/Shrubs. Or if there is a goal different from Trees, Herbs/Shrubs, specific to grasslands, then describe the goal here.

Overall, Section 4 would benefit from a summary paragraph or table. This could include a list of all receptors (trees, lichens, soil acidification, etc.) to be analyzed using steps 1-8 on pp. 4-9 to 4-10, and a list of models and data to assess CLs.



## **Dr. Praveen Amar**

*EPA has asked the CASAC to comment on the REA Planning Document focusing on: 1) the overall analytical approach, 2) the ambient air quality analyses, and 3) the ecological risk assessment.*

*The details of the three areas for CASAC to comment on are included in CASAC Charge and are outlined below:*

### **CASAC Charge on Comments on the Overall Analytical Approach**

- 1. The introductory and background information and, in particular, the conceptual model and key technical issues. [Chapter 1]*
- 2. As the context for the quantitative assessments described in Chapter 4, the identification of limitations and/or uncertainties related to ecological risk and exposure as assessed in the previous NAAQS reviews and the extent to which they may be addressed by currently available information, tools and methods, thus supporting a conclusion that new or updated assessments of risk and exposure may be warranted to provide estimates with appreciably reduced uncertainty to inform decisions in the current review regarding the adequacy of the existing standards in protecting public welfare from adverse effects, and, as appropriate, similar consideration of potential alternatives. [Chapters 2 and 3]*
- 3. The overall analytical approach for the Risk and Exposure Assessment (REA) and its appropriateness for linking ambient concentrations, atmospheric deposition and ecological effects of interest. [Section 4.1]*
- 4. The proposed criteria and approach for selecting case study areas to evaluate potential risks and exposures in freshwater and terrestrial ecosystems. [Section 4.4]*

### **CASAC Charge on Comments on the Ambient Air Quality Analyses**

*The approach for the assessment of atmospheric deposition to rely on measurements of atmospheric concentration and deposition where available, and chemical transport model simulations to provide data for chemical species and locations where measurements are either not available or limited. [Section 4.2]*

- 1. The approach described to develop a spatially complete set of annual average deposition across the continental US for 2014-2016, as well as the proposed method of analyzing potential error and uncertainty. [Sections 4.2.1 and 4.5.1]*
- 2. The approach for using statistical models to estimate deposition response factors that relate a change in ambient concentration to a change in atmospheric deposition, to be used for adjusting air quality in study area locations, and for quantitatively assessing the uncertainty and variability in the adjusted air quality and deposition in various study locations. [Sections 4.2.2 and 4.5.1]*

### **CASAC Charge on Comment on the Ecological Risk Assessment**

- 1. The overall approach for quantitatively evaluating ecological effects of atmospheric deposition on acidification and nitrogen enrichment of freshwater and terrestrial ecosystems using critical loads and exposure-response curves. [Section 4.3]*

2. *The refinement of freshwater critical loads developed in the last review to include new scientific evidence on ANC levels and ecological effects. [Section 4.3.1]*
3. *The use of different base cation aluminum (Bc:Al) levels for purposes of deriving forest soil acidification critical loads, in particular, using Bc:Al ratios of 1, 10, or a range of values. [Section 4.3.2.1]*
4. *The use of the exposure-response functions to evaluate acidification and nitrogen enrichment effects on individual tree species and communities in case study areas. [Section 4.3.2.2]*
5. *The approach for assessing variability/co-variability and characterizing uncertainty in the assessment of ecological effects of atmospheric deposition on acidification and nitrogen enrichment of freshwater and terrestrial ecosystems using critical loads and exposure-response curves. [Sections 4.3, 4.5.2 and 4.5.3]*

My comments mostly focus on the Charge on Ambient Air Quality Analyses, with some comments also on other sections of the REA PD.

### **General Comments on Executive Summary**

Executive Summary is well written. Some comments follow:

Page ES-1 (Line 21): Please replace: “given the contribution of nitrogen compounds to PM including but not limited to those related to oxides of nitrogen..” with a sentence that clearly states the contribution of oxides of nitrogen AND reduced nitrogen compounds such as ammonia.

I strongly agree with the major finding of this document, that based on large amount of measurement data available since the 2008 assessment, as well as advancements in analytical tools (including TDEP), chemical transport models (CTMs), data fusion approaches including satellite data, plus substantial decreases in emissions of NO<sub>x</sub> and SO<sub>2</sub>, and increases (substantial, perhaps?) in NH<sub>3</sub> emissions since 2008, EPA needs to undertake an updated, detailed and quantitative risk and exposure assessment.

The write up on Page ES-2 (full page) is a good description of what EPA intends to do regarding quantitative analyses of aquatic and terrestrial ecosystems effects (acidification and nitrogen enrichment) using latest data (since the 2008 ISA and REA) on critical loads and exposure-response functions. I support the need to undertake this REA at both of the two scales: national scale as well as at the scale of case study areas. There is a high probability that the uncertainties associated with the quantitative assessment of this REA would be much lower than those in the previous REA because of the availability of more extensive air quality and deposition data as well as the availability of new analytical tools that EPA proposes to apply.

To reiterate, I agree with EPA’s succinct conclusion (page 3-28, 3-29, Chapter 3) that “it is appropriate to develop a risk and exposure assessment based on the newly available air quality and ecological information, to inform the current review.”

### **Comments on Ambient Air Quality Analyses: Chapter 4 (Sections 4.2, 4.2.1, 4.2.2 and 4, 5.1)**

Chapter 4 describes a plan for quantitative assessment under the REA. The quantitative assessment methods for considering current conditions for national scale air quality concentrations as well as future air quality scenarios are covered in Section 4.2. (4.2.1 and 4.2.2). The subjects of variability and uncertainty as they relate to air quality are discussed in Section 4.5.1

Chapter 4 notes that the goal is to “characterize ecological risk and exposure associated with NO<sub>x</sub>, SO<sub>x</sub>, and PM for current air quality conditions as well as for when air quality is just meeting the current standards, and if appropriate, when meeting alternative air quality standards.” It is obvious that the words “current standards” and “alternative standards” mean NAAQS (primary and secondary) for NO<sub>2</sub>, SO<sub>2</sub>, PM<sub>2.5</sub> or PM<sub>10</sub> (Table 4.1). However, as this document and the second draft ISA clearly note that the nitrogen deposition is now dominated by reduced nitrogen (“Ammonia”), it is not clear how the approach outlined in Section 4.2 will deal with ammonia under “current standards” and “alternative standards” scenarios when ammonia is simply not a controlling variable or pollutant or a “controlling standard” (the words used in this Chapter). As I see it, this may be a lost opportunity to address ammonia as an explicit “controlling standard” at least for scientific analysis. This is also inconsistent with how this REA PD in other sections (Executive Summary, Chapters 1, 2 and 3) recognizes the increasing importance of higher ammonia emissions as NO<sub>x</sub> and SO<sub>2</sub> emissions are on a downward trajectory.

There are a number of references in this Section to “adjusting air quality” at the scale of case study areas such that current air quality standards or potential air quality standards are “just being met”. It is not clear to me what are the methods and approaches to “adjust air quality.” Are we adjusting all pollutants (NO, NO<sub>2</sub>, SO<sub>2</sub>, PM<sub>2.5</sub> (sulfates and nitrates?) or some of them? How do we decide? Would this approach result in higher ambient concentrations and/or increased emissions in upwind areas? Are there more robust and rigorous techniques to accomplish REA’s mission? In any case, an example of how this would actually be accomplished would be very useful.

Page 4-6 (Lines 16-17) and Page 4-7: I found this section to be very difficult to understand conceptually. This section recognizes “unique technical challenges in adjusting air quality to reflect just meeting multiple standards, particularly given that the indicator pollutants for those standards are related through emissions, chemistry, and transport.” This section then goes on to describe three challenges (pollutants have different spatial variability, some are emitted directly, others are not; sensitive ecosystems are located far from large emission sources; and some components of PM that do not contribute to N and S deposition, etc.). And, on top of that, reduced nitrogen/NH<sub>3</sub> is not even explicitly mentioned.

I think the mathematics/models for finding an “optimum” solution for the problem as we have defined it here, a problem with so “many” variables consisting of so “many” contributing sources, “many” primary and secondary pollutants, and “many” primary and secondary NAAQS (current conditions and future scenarios) are rather complex. However, what is being proposed does not sound very convincing to me as a robust approach. Again, an example of how this would be actually undertaken would be very helpful.

The proposed approach on the consideration of variability and uncertainty (section 4.5) and its specific application to air quality (section 4.5.1) is good and worth pursuing. The adherence to WHO (2008) approach when appropriate and variation from such an approach when justified is an acceptable approach. The appropriate and combined use of merger of CMAQ model predictions and measurements from various networks (NADP, TDEP) is explained at the right level of detail. One comment I have is that REA needs to explain clearly by what is meant by “develop a framework” (page 4-24, Line 31). It is noted that REA will examine its findings collectively from its uncertainty assessments by “developing a framework” to integrate them into a quantitative assessment of the total uncertainty associated with estimate of the deposition response factors. Please provide more details on what would be included in such a “framework”.

Regarding the “standard” application of CMAQ model, is CMAQ capable of calculating wet deposition of various N and S compounds? Or, does it only calculate dry deposition estimates that are then combined with estimates of wet deposition from measurements at NADP (TDEP) and other measurement sites? This REA notes at different places that CMAQ is capable of only estimating dry deposition and at other places, it notes that CMAQ is capable of estimating total (wet and dry) deposition. (see lines 21-22 on page 4-5). Please clarify.

## Dr. James Boyd

3-25, lines 22-26

Replace existing language: “The most comprehensive model is that of ecosystem services, which can provide information on the linkages between changes in ecological effects and known or anticipated effects to public welfare. Ecosystem services can be generally defined as the benefits that individuals and organizations obtain from ecosystems.”

With

“However, ecosystem services analysis is a particularly well-established (and broadly applied) framework that emphasizes linkages between changes in ecological outcomes and changes in public welfare. In general, ecosystem services analysis involves collaboration between natural and social scientists in order to quantitatively link changes in ecological goods and services to changes in social welfare.”

3-25, lines 29

“...anticipated adverse or positive effect on public welfare.”

3-27, line 6

“...estimates of ecosystem services benefits affected by...”

## Dr. Douglas Burns

### Comment on the Overall Analytical Approach.

1. *The introductory and background information and, in particular, the conceptual model and key technical issues. [Chapter 1]*

The conceptual model looks fine to me and is consistent with the logic in the CAA. My only comment is that you consider including microorganisms as well as animals and plants.

2. *As the context for the quantitative assessments described in Chapter 4, the identification of limitations and/or uncertainties related to ecological risk and exposure as assessed in the previous NAAQS reviews and the extent to which they may be addressed by currently available information, tools and methods, thus supporting a conclusion that new or updated assessments of risk and exposure may be warranted to provide estimates with appreciably reduced uncertainty to inform decisions in the current review regarding the adequacy of the existing standards in protecting public welfare from adverse effects, and, as appropriate, similar consideration of potential alternatives. [Chapters 2 and 3]*

Regarding the evaluation of biogeochemical effects in soils, I am not supportive of using the Bc/Al ratio because it is not a very sensitive indicator of risk. Most recent studies have been using base saturation as a more sensitive indicator.

3. *The overall analytical approach for the Risk and Exposure Assessment (REA) and its appropriateness for linking ambient concentrations, atmospheric deposition and ecological effects of interest. [Section 4.1]*

The air quality approach looks complex and I am most concerned about including a mix of measurement sources that skew towards urban sites (NCore) whereas others skew towards rural sites (CASTNET, NTN). Seems like it will be difficult to evaluate uncertainty given the mix of measurement sources. Also, using annual averages does not seem to be consistent with some of the standards that are based on maximum values.

4. *The proposed criteria and approach for selecting case study areas to evaluate potential risks and exposures in freshwater and terrestrial ecosystems. [Section 4.4]*

The list of criteria proposed looks sound. The areas with the most abundant data sets will tend to be those that meet the other criteria for sensitivity, public welfare, and influence of current air quality on deposition-related effects.

## **Ms. Lauraine Chestnut**

### Overall Analytical Approach

Applying CL from the literature: It makes sense to be planning to use the extensive CL information available in the literature. However, when deciding which CL evidence to use, it is important to include consideration of what the ecosystem services are that the CL is protecting. Why does the change that the CL would protect against matter?

CL is essentially a threshold model. Everything is not necessarily lost because of an exceedance. How can this be factored into a policy assessment? Is any change adverse? Geographic scope of the analysis is an important dimension as well as the severity of the effect.

Using case studies is appropriate when there is so much variability in the ecosystems across the county. It will be important to be able to say something about the relevance of the conclusions for any given case study to other similar areas, even if only qualitative statements can be made.

It is good to include consideration of uncertainty and the many ways it enters the analyses. Many of these uncertainties cannot be quantified, but their significance can be assessed. What matters most is if there are uncertainties that if known would likely alter the conclusions in a substantive way. Sensitivity analysis can help with this determination.

## **Dr. Mark Fenn**

### **Comments on Overall Analytical Approach**

I conclude that new and updated assessments of risk and exposure are warranted, considering more recent data, tools and information available for this purpose. Considering the lack of deposition data, especially for dry deposition, the approaches suggested for the overall analytical approach for the REA and its appropriateness for linking ambient concentrations, atmospheric deposition and ecological effects, employs effective leveraging of the available data in order to accomplish the objectives of the REA.

---page 4-21: Regarding criteria for selecting case studies, the first point mentioned is the influence of current air quality on deposition-related effects; that is to select areas in which historical deposition isn't the driving stressor. I imagine most or most likely all of the case studies selected will in fact have major historical deposition impacts, but the saving grace is likely the existence of spatial deposition gradients, or in some cases that the CL is based on N amendment studies.

Also, in the section "Diversity and Occurrence" on page 4-21, may want to also mention the value of including case studies across a diversity of climatic conditions, because responses and mechanisms of response, sensitivity of receptors, as well as mechanisms of deposition vary greatly with climatic conditions.

---Section 4.1.1 (pp 4-1 to 4-2): Certainly for many areas that are meeting the secondary and primary standards for NO<sub>2</sub>, SO<sub>2</sub> and PM the CL will be exceeded for the lower CL values (i.e., for sensitive receptors), largely because of the combined deposition of NO<sub>x</sub> and NH<sub>x</sub>, and possibly in combination with S deposition. How is this accounted for---especially the major effect that reduced N can have?

---Small scale variation in deposition can be very large with land cover, vegetation species variation, elevation and topography. In some habitats this can occur at scales much smaller than even the 4-km grid scale of the finer resolution models.

---p 1-6, lines 20-21: Shouldn't the contribution of S compounds to PM be also mentioned in this sentence?

---p 1-9, Figure 1-2: I would just comment that direct exposure studies have been done for lichens as well as plants (e.g., NH<sub>3</sub>, for which a critical level has been established for lichens by Cape et al. 2009), but based on data in Europe, so I can see why this could be left out of the conceptual model since the focus is on the U.S.

---p 4-2, lines 8-12: Won't the available air quality data be biased toward urban sites? Or is this referring to CastNet and AMoN sites? Where is this air quality data from?

### **Comments on Ambient Air Quality Analyses**

The greatest challenge for the assessment of atmospheric deposition is the fact that there is no gold standard for dry deposition to which the widely-used simulated dry deposition can be compared. And even for the best available methods for calculating the dry deposition flux (e.g., inferential; eddy flux), there is also considerable uncertainty and very little data in the U.S. Similarly, fog or cloudwater



deposition can be an important deposition component in montane and coastal sites and for this we have relatively little data and the models don't capture this component well either. In contrast, for wet deposition there are ample reliable NADP/NTN empirical measurements for model comparison across the country, although considerable interpolation is still needed as coverage is still limited (particularly for certain habitats/regions), notwithstanding this being our most extensive network.

I would just comment that because of the limitations mentioned in the previous paragraph (and other limitations such as the need for broader spatial coverage), we increasingly rely on simulated atmospheric deposition results that are rarely validated or compared to empirical deposition data. Ecologists using such simulated deposition seem to get lulled into "believing" the "colorful" deposition maps, and rarely acknowledge the considerable uncertainty and errors in the models.

### **Comments on Ecological Risk Assessment**

The overall approach for quantitatively evaluating ecological effects is based on the best available relationships. If the Horn et al. study on forest tree mortality and growth responses across CONUS is published and can be used, this would be a valuable and major addition to the assessment in terms of effects of N and S on a large number of tree species.

---p 4-20, lines 33-34: Here and elsewhere potential alternative air quality standards (if appropriate) are mentioned. This begs for some explanation or description of how the alternative standards compare to current standards and what determines if this is appropriate.

---Assessing Uncertainty: On page 4-23, lines 29-32 it states that uncertainty will be assessed by examining the difference between modeled values and measurements. This appears to be true for wet deposition, but I find it troubling that dry deposition will be assessed three different ways that all involve variants of model simulation. Considering the high uncertainty in the models, this is problematic--- although I now see a proposal to compare CMAQ output to empirically-derived air concentrations and wet deposition (page 4-24, lines 23-25), which is what I was going to suggest be done, using data from networks such as CastNet, IMPROVE and AMoN. One advantage of the IMPROVE data is that it includes some higher elevation or wilderness sites, where model performance is particularly uncertain and rarely evaluated. Of course, this approach wouldn't compare deposition per se, but does allow for evaluation of how well the models are estimating concentrations (and wet deposition) of key pollutants, from which deposition is determined.

---Section 4.3.1.2 Freshwater Nitrogen Enrichment: I think the approach of using the CLs, and where possible, the response functions from Baron et al. (2011), Williams et al. (2017), and Nanus et al. (2017) is promising. However, the high level of uncertainty in estimating deposition in high elevation catchments, widespread in the western U.S., should be acknowledged and accounted for. This is true for empirical measurements and model estimates. Niwot Ridge is an example of a place that does have more intensive and comprehensive measurements of the array of reactive nitrogenous pollutants (at least in the short-term), and associated N deposition calculations.

---pp 4-25, lines 4-14: I agree with the discussion in this paragraph. A key source of uncertainty is that CLs are frequently determined with one deposition method (i.e., throughfall, wet deposition, etc.) and exceedance with another (typically total deposition estimates from TDEP or CMAQ model) --- and this issue certainly occurs within the REA document. Another key point is that the empirical deposition methods generally include only the most prominent N species (except that organic N is usually not measured), while the simulation models include an array of inorganic and organic N species. On the

other hand, the simulation models generally do a highly inadequate job of accounting for cloudwater deposition, while throughfall does include cloudwater deposition.

---p 4-12, lines 7-9: This is presumably a result of declining emissions of NO<sub>x</sub> and SO<sub>2</sub> to form acidic SO<sub>4</sub> and NO<sub>3</sub> that can react with NH<sub>3</sub> to form NH<sub>4</sub> particulates, as described in the following paper:

Butler, T., Vermeulen, F., Lehmann, C.M., Likens, G.E. and Puchalski, M. 2016. Increasing ammonia concentration trends in large regions of the USA derived from the NADP/AMoN network. *Atmos. Environ.* 146: 132-140.

This can even result in increasing NH<sub>3</sub> concentrations without increases in NH<sub>3</sub> emissions.

---p 4-13, line 24: What is a “target CL?” I’m assuming this refers to a policy-derived acceptable CL, but seems the use of this term needs to be made clear.

---p 4-13, Footnote 48: Lake chemistry data for Baron et al. 2011 are from surveys from the mid 1980s. These data are quite dated---this should be acknowledged.

---p 4-25: In Table 4-3, in the second row (Lichens), last column (and also in the text of the document), it should be noted that the use of IMPROVE PM<sub>2.5</sub> N data in relation to lichen response is really a critical level not a critical load, because this is the response to an atmospheric concentration (not to deposition per se) of N in PM<sub>2.5</sub> (Root et al., 2015). In this study Root et al. (2015) also reported critical loads (kg N/ha/yr) for lichen responses by estimating throughfall N deposition from lichen tissue N concentration using the relationships given in Root et al. (2013).

### **Minor Editorial Suggestions:**

---p 1-2, line 11: I would change the last word, ‘with’ to ‘as’.

---p 1-2, lines 14-15: Edit to read: “...standard is 50 µg/m<sup>3</sup> as an annual arithmetic mean averaged over three years, and the secondary....”.

---p 4-2, lines 7-8: Aren’t the values for the primary and secondary standards the same? If so, is this sentence needed?

---p 4-3, footnotes at bottom of page: Second footnote should be number 45.

---p 4-9, line 21: I believe this should be Table 4-2, not Table 4-1.

---p 4-15, line 30: The word “to” is missing from this sentence.

---p 4-18, lines 31-32: This is redundant---already stated that Wilson et al. 2013 includes all 94 species from Horn et al (in review).

---p 4-19, line 7: The publication by Allen et al. 2016 isn’t found in the References section.

---p 4-22, Footnote 51: Inasmuch as the California case study includes Sequoia Kings Canyon NP which is in central California, I’d suggest renaming this case study as “southern/central California”.

---p 4-25, line 15: This should be Table 4-3.

---p 4-28, line 19: Change ‘as’ to ‘has’.

## Dr. Ivan Fernandez

The draft REA is well written and organized, and lays out a logical approach, based on the ISA, for prioritizing quantitative analyses to be conducted. The document supports the planned REA with the foundation of science captured in the second draft ISA, as well as the work of the 2009 REA. This draft REA mentions the importance of changing patterns of temperature and precipitation in determining future risk in several instances, but can strengthen the discussion of the importance of these environmental trends in determining future risk from N and S pollution while still confining the discussion to the N and S focus. The use of case studies and the strategy to identify them is a good approach. Some of the criteria mentioned appear to favor sites without significant deposition history or sensitive species. If this eliminates whole regions that are particularly impacted by atmospheric N and S deposition, the case study criteria should be revisited.

PDF Page	Line	Comment
Executive Summary		
13	15-18	This is a complex sentence with what appears to be some awkward wording (e.g., ‘when just meeting the current standards’?) that I think can be clarified.
Introduction		
15	27	‘...atmospheric transformations and loading [of N] to ecosystems.’
20	Footnote 11	Same as above.
20	35	Should this be ‘Impacts to public welfare...’?
21	11	Put comma after ‘below’
22	Fig. 1-2	In the conceptual diagram, do the lines between ambient air and plant surfaces, soils and surface waters imply the direct deposition? That is, in a forest, does throughfall enhancement of deposition loading to the soil fall within the arrow to soils?
Chapter 2		
24	28	‘Sources [of] total loading of N and S [were] discussed...’
28	25	‘...[and] ANC concentrations...’
29	29	‘...indicated that [a] large...’
30	7	‘...for each ecoregion(s)...’
30	24	‘...to a[n] ANC...’
33	7	‘...watershed [is] small...’
34	29	Change ‘was’ to ‘were’
34	32	This paragraph refers to Bc/Al as a ratio, value, and level. I would be consistent and call it a ration throughout.
35	4-9	This whole paragraph should be in the past tense.
38	6	‘...whose influence [have] not been fully...’
Chapter 3		
41	5	For the record, humans have significant control over factors that govern NOx emissions from wildfires and soils. The use of this term here may be technically informed elsewhere in documentation for a particular meaning.
41	9	‘...atmospheric transformations and loading [of N] to ecosystems.’
42	6	Delete ‘, which’

42	14	Delete 'Both'
43	31	Change 'has' to 'have'
49	16-18	This sentence appears to be missing something or is awkwardly worded.
51	1	Mountain(s)
51	5	Adirondack(s)
53	3	Northeast
53	21	Fix subscript/superscript issues (NO <sub>3</sub> -) throughout the document.
53	29-34	Can more be said about how this decision will be made to move beyond the 'unsure' status?
54	3	Comma after 'N'; also, what is the intended meaning of 'strength' as used in this sentence?
54	10	Change 'has' to 'have'
57	21	If the study included interactions with temperature and precipitation, this would be a good place to note the interaction with climate as well as acidification.
58	11	Change 'are' to 'is'
60	26	Change 'is' to 'are'
64	18-25	This section might be clarified. It begins by suggestion the literature search, not included in the ISA, deals with references that are not about nitrogen or sulfur deposition. This raises some uncertainty as why they are included. In lines 24-25 it states these references do provide linkages to deposition.
65	7	Delete 'some'
Chapter 4		
72	30	Perhaps state under 'current conditions of N and S deposition' since 2014-2016 includes I believe the first, fourth, and thirty fourth record warm years in the US and so are unique environments over the last century overall.
73	14	Delete second 'the'
74	36	Comma after 'relationships'?
77	20	'Examine if the residual [is] lower...'
79	5	'...[total] precipitation...'
81	10	It could be useful to add a sentence here noting the literature that points to rising DOC in many surface waters of the most heavily impacted regions like the Northeast, underscoring the importance of including the BCS metric in this analysis.
82	29-30	What is meant by 'atmospheric deposition patterns'?
83	18	Comma after 'N'

84	1-9	A general comment here on the use of Bc/Al ratios, well grounded in the literature. Often these use data from nationally available data on soils, usually NRCS, that is represented as an aggregated datum across the various horizons of soil, mostly mineral soil. Metrics like Bc/Al might be calibrated differently for organic O horizons, or forest floors. It would be useful to be clear on what 'soil' is for these analyses. Likewise, a metric like ANC applied to a somewhat more homogenous lake water body is conceptually similar to the Bc/Al application to soils, but is notably different on specifics. This is less critical for terrestrial aquatic linkages concerns, but more critical when considering the biological response of higher plants and soil microbial communities. It is also worth noting that the relatively rapid declines in deposition of acidifying compounds means soils may be in a state of recovery, which proceeds chromatographically from the top down.
85	15	Change 'this' to 'these'
87	21	Change to 'We note in our evaluation that, ...'
93	32	Delete the 'to'
94	34	Change 'bases' to 'basis'
95	19	Change 'as' to 'has'

## Dr. Frank Gilliam

### Comments on the Ecological Risk Assessment

1. *The overall approach for quantitatively evaluating ecological effects of atmospheric deposition on acidification and nitrogen enrichment of freshwater and terrestrial ecosystems using critical loads and exposure-response curves. [Section 4.3]*

The overall approach proposed in Section 4.3 appears extremely valid, especially in providing what is likely the first synoptic assessment of the efficacy of the Clean Air Act that has ultimately resulted in notable declines in atmospheric deposition of N and S, as well as the acidity associated with both. The use of models (a bit out of my area of expertise) seems particularly promising, especially the MAGIC model that has been used with such success in the past and is, indeed, well associated with our understanding of that the text calls 'pre-acidification' conditions as a benchmark for current and future recovery.

2. *The refinement of freshwater critical loads developed in the last review to include new scientific evidence on ANC levels and ecological effects. [Section 4.3.1]*

The use of CLs has gained significant traction in North America over the past decade or so, becoming essentially as well-developed here as it is in Europe. The proposed use of combining CL data/information from models with empirical data from the most recent peer-reviewed literature is excellent. I agree that use of ANC, although not always causally linked with the response of aquatic organisms, is a valuable metric for overall stream health. Extensive data are available to support this.

3. *The use of different base cation aluminum (Bc:Al) levels for purposes of deriving forest soil acidification critical loads, in particular, using Bc:Al ratios of 1, 10, or a range of values. [Section 4.3.2.1]*

I am aware of some way-earlier dialogue regarding the validity/usefulness of BC:Al and its real meaning in the context of forest health. I have long thought that it is both quite valid and useful in integrating several factors that significantly impact the growth of not only trees, but also (though less often considered, unfortunately), forest herb communities. The proposed range (1-10) has a lot of justification, considering the several studies in northeast U.S. I would state as a caution, however, that the proposal should be clear to articulate on what basis (molar versus mass) the ratios are derived, for I have seen both in the literature and different critical ratios result, depending on how they are calculated.

4. *The use of the exposure-response functions to evaluate acidification and nitrogen enrichment effects on individual tree species and communities in case study areas. [Section 4.3.2.2]*

This section is at once the most interesting to me and the hardest to assess. This proposed effort relies heavily on work (Horn et al., in review) that is not available. Thus, it is difficult to make critical comments. Furthermore, although the study sounds very impressive in assessing 156 species of trees, its use in the proposed work appears to be heavily contingent on its being accepted.

5. *The approach for assessing variability/co-variability and characterizing uncertainty in the assessment of ecological effects of atmospheric deposition on acidification and nitrogen enrichment of freshwater and terrestrial ecosystems using critical loads and exposure-response curves.*  
*[Sections 4.3, 4.5.2 and 4.5.3]*

Although this is an area with which I am less familiar, it appears that the proposal has done an extensive job in identifying sources of uncertainty, well summarized in Table 4-3 (although it is incorrectly referred to as Table 4-2 (page 4-25, line 15). I would strongly suggest that recent work of Ruth Yanai at SUNY—ESF on this topic of uncertainty would inform this component quite well.

## Dr. Daven Henze

1. *The approach for the assessment of atmospheric deposition to rely on measurements of atmospheric concentration and deposition where available, and chemical transport model simulations to provide data for chemical species and locations where measurements are either not available or limited. [Section 4.2]*

General: I was hoping the multi-model estimates would come into play in assessment of deposition values themselves, rather than only for use as uncertainty quantification.

4-2, Fig 4-1: This figure only lists CMAQ. However, ES-20 says “models,” and 3-9 (line 18) also mentions using multiple models. Later, on page 4-24, use of CAMx is discussed. Since advances in modeling are used as part of the justification for focusing REA on air quality information (3-28, lines 6-10), this might be highlighted here.

4-5, 10-14: There is also remote sensing observations (of NO<sub>2</sub> and NH<sub>3</sub>) that could be used for evaluation (remote sensing of SO<sub>2</sub> not likely much use here).

2. *The approach described to develop a spatially complete set of annual average deposition across the continental US for 2014-2016, as well as the proposed method of analyzing potential error and uncertainty. [Sections 4.2.1 and 4.5.1]*

Given this is a published, well studied approach (from Schwede and Lear, 2014), I have no further suggestions on the methods other than to reiterate comments from above (multi-models could be used for more than sensitivity / UQ, and remote sensing might be of value for evaluation).

3. *The approach for using statistical models to estimate deposition response factors that relate a change in ambient concentration to a change in atmospheric deposition, to be used for adjusting air quality in study area locations, and for quantitatively assessing the uncertainty and variability in the adjusted air quality and deposition in various study locations. [Sections 4.2.2 and 4.5.1]*

I had a few comments regarding the use of statistical modeling to arrive at deposition levels under adjusted conditions:

- 4-6: The adjustment cases seem like they could potentially be inconsistent, in terms of atmospheric chemical state, so it's not clear to me what the realism of these scenarios is – especially those that would entail increases in concentrations where we don't expect them to rise (4-7, 22) -- perhaps more of a counterfactual point of comparison? Was it considered instead to adjust emissions (although some trial and error required to see what adjustments are required to meet standards)?
- 4-7, 32: Lee et al. (2016) estimated areas of influence for Class I areas. If the study areas are not as pristine / remote, the area of influence may not need to be as large.
- In particular, for the statistical modeling:
  - o 4-8, 35: It seems like empirical statistical relationships were going to be developed, perhaps to be spatially gap-filled using model estimates. However, in the complete



description of the steps to be taken, it seems the empirical information will only be used for evaluation of a statistical model built purely on output from a single model. And for this evaluation step, it doesn't sound as if there is a very clear plan on how to use the observational data.

- 4-10: Step 7 seems rather vague; the residuals will always be lower for the grid-cell specific models. The criteria "is representative and does not obscure variability in the transference ratio" is not very clear and could use refinement. It is not known what is meant by "nationally relevant" in Step 8.
- 4-10: I admire the aims and innovation presented here. Still, it seems like there is a risk of over-fitting in this procedure; a more objective approach using performance metrics determined through cross validation or comparison to the in situ measurements seems warranted. Overall, I'm a bit the approach laid forth has yet to be fully developed or tested (unlike the methods discussed for developing estimates of the current state of deposition), and is also lacking somewhat in sophistication. There is a large literature on statistical estimation in the environment, using methods from Bayesian analysis to machine learning, which might be able better (i.e., holistically and objectively) combine a wider variety of inputs, such as meteorological conditions, in situ or remote sensing measurements, as well as estimates from multiple models.

In terms of uncertainty analysis:

- 4-24: The residuals are not an estimate of the deposition response factor; rather, they present an estimate of the error in the statistical model's prediction of deposition. The error in the response factor itself would differ by a factor of  $\text{transpose}(G) * G$  where the columns of matrix  $G$  consist of the vectors of independent data used in the regression i.e., modeled atmospheric concentration time series in each grid cell (or each aggregated spatial region).
- 4-24, 24: Agreed, but what steps taken if this doesn't work out, or work out well / often enough?
- 4-24, 31: "Develop a framework" sounds vague.

Additional comments not directly related to charge:

ES-2, 15: Here it is proposed to evaluate the risk under a scenario under range of potential conditions (meeting current standard and alternative standards). Could EPA clarify how this type of analysis differs from the charge of the RIA? Additionally, could they clarify here which standards are being referred to (i.e., secondary SO<sub>x</sub>/NO<sub>x</sub>/PM or others such as primary PM, etc.)?

3-3, line 32: There are also trends estimates from space (IASI) that US NH<sub>3</sub> concentrations in have increased by up to about 0.1 ppb/yr (Warner et al., 2017, <https://doi.org/10.1002/2016GL072305>)

3-4, 17-30: With the point of this section to be, in part, a summary of measurements that are newly available since the previous review, it would perhaps be more effective to include in these descriptions a snapshot of what the measurement coverage used to look like during the last REA, compared to now.

3-4, 17-30: NH<sub>3</sub> remote sensing, especially CrIS, is another new development. Even if this has yet to be fully incorporated into the scientific assessment, the measurements exist and the science they will drive is likely on the way.

3-5, 24: NH<sub>3</sub> emissions → NH<sub>3</sub> fluxes

3-5, 31: It's notable in Fig 3-4 that there are also increases. Suggest add comments / explanations for these as well. Are we seeing the impact of long-range transport, or other?

Fig 3-7: Increase in North Carolina? Is this owing to rise in NH<sub>3</sub> emissions as well? Or encountering a situation where decreases in SO<sub>2</sub> emissions upwind is leading to more transport of ammonium nitrate to remote regions (see Paulot et al., ES&T, 2013)?

4-10, line 20: residual lower → residual is lower

4-12, 6-9, and Fig 4-2: Would it be more consistent with the oxidized example to compare measurements of NH<sub>x</sub> to NH<sub>x</sub> deposition?

## **Dr. Donna Kenski**

### **Charge: Comment on Overall Analytical Approach**

The REA document is clear and easy to follow. The decisions to include assessments for selected ecological effects are made with adequate justification in a straightforward manner. A lot of very recent information (citations from 2017 and 2018) has been incorporated in this draft, which is especially important given the large changes in S, N and PM deposition in recent years.

Chapters 2 and 3 are clearly written. The sections on Key Uncertainties/Limitations (2.4.1.2, 2.4.2.2, 2.4.3.2, 2.4.4.2, 2.4.5.2) are very well done. This REA has the most rigorous and consistent treatment of uncertainty I've seen in a NAAQS review; I like the level of detail (not overwhelming) and the inclusion of uncertainty as part of each section.

### **Charge: Comment on Ambient Air Quality Analyses**

The proposed air quality analyses are reasonably comprehensive and, because they are mostly similar to those in previous EPA NAAQS reviews, well documented and described. However, a few aspects left me puzzled. In order to adjust air quality to just meet the standard(s) in a study area (most of which are national parks or Class 1 areas that have relatively low concentrations of the criteria pollutants), it seems inevitable that the upwind air quality must then exceed the standards. The REA mentions that this must be considered (p. 4-7, lines 9-11) but there is no hint as to how it will be resolved. Will an imaginary source be added to the study area to generate the required emissions? The previous reviews of the primary SO<sub>2</sub>, NO<sub>2</sub>, and PM NAAQS have adjusted concentrations using statistical models, but those focus on adjusting concentrations where observed concentrations are already high, and can be accomplished with physically reasonable assumptions. This REA is proposing something quite different, in that you will be adjusting concentrations where they are most likely low compared to surrounding areas. I can't imagine a realistic scenario that raises the study area concentrations to just meet the standard that doesn't also force concentrations surrounding the area to unreasonable levels. Some additional clarification is warranted.

Similarly, the explanation of the controlling standard was a little fuzzy and I had trouble imagining how it would actually be identified, given the varying spatial scales of the relevant criteria pollutants. Perhaps an example would help.

Another potential issue is spatial and temporal variability in model performance; the CMAQ normalized mean bias statistics cited (p. 4-5) look pretty good, but these could be quite different when one drills down to the study areas. I note that model performance in the study area is one of the aspects of data availability and adequacy proposed as study area selection criteria (p. 4-22), so it looks like you already have this covered.

The methodology for developing deposition predictors (pp. 4-9, 4-10) was laid out well. I was surprised, though, that Table 4-2 says NH<sub>x</sub> will not be included because it is not part of this review. The ISA has devoted considerable resources to showing that NH<sub>x</sub> is frequently a significant part of total N deposition so it is not clear why, at this point in the process, it is off the table. Don't we need response factors for both oxidized and reduced nitrogen? Even if we don't have a regulatory mechanism for controlling

NH<sub>x</sub>, understanding the sensitivity of the study areas to changes in NH<sub>x</sub> seems like an important piece of the puzzle to understand. Please explain further.

### **Comment on the Ecological Risk Assessment**

I have no additional comments on this part of the document.

### **Minor comments, typos, etc.:**

Chapter 1: Figure 1-2 could use a bit more elaboration and/or a more descriptive title. Although the text says it describes the conceptual model, it really only shows exposure pathways, which don't constitute a conceptual model by themselves.

p. 1-3, line 8: delete comma after methodologies

p. 1-8, line 11: delete comma after Figure 1-2

p.2-4, line 27: was -> were

p. 2-7, line 3: were -> was

p. 2-12, line 31: I think the 50% and 75% should be reversed; the lower Bc/AI should have a more negative impact on tree response.

p.3-3, lines 1-4: All through the ISA we've been using NO<sub>y</sub> to describe the sum of NO, NO<sub>2</sub>, and the various oxidation products. Why is NO<sub>z</sub> used here? Let's stick with NO<sub>y</sub>.

p. 3-3., line 24: delete 'likely'. These declines in emissions are certainly the result of national control programs.

p. 3-4, line 6-7: Fix this sentence fragment. It looks like it should read "...in the presence of NH<sub>3</sub>, *it forms* particulate nitrate, which deposits via dry deposition *more slowly* than both HNO<sub>3</sub> and NH<sub>3</sub>.

p. 3-4, line 20: Networks -> Network

Figures 3-2 through 3-7: the scale is illegible on all these maps; please improve the resolution

p. 3-15, lines 9-12: Break up this run-on sentence.

p. 3-15, line 20: Are the + signs supposed to be ± ?

p. 3-16, line 10: has -> have

p. 4-3, footnote 44: Sensitivity is *defined* as

p. 4-15, line 30: compared *to*

p. 4-17, line 16: modeling is misspelled

p. 4-18, line 35: sulfur -> S

p. 4-22, footnote: modeled is misspelled

## Dr. William McDowell

1. *The overall approach for quantitatively evaluating ecological effects of atmospheric deposition on acidification and nitrogen enrichment of freshwater and terrestrial ecosystems using critical loads and exposure-response curves. [Section 4.3]*

The overall approach for evaluating ecological effects of atmospheric deposition on acidification and nitrogen enrichment of freshwater and terrestrial ecosystems using critical loads and exposure-response curves is sound. Critical loads provide a useful “threshold” indication of the point at which demonstrable harm to the ecosystem can be quantified, and these can be inferred using a variety of approaches. Exposure-response curves (by which I mean pollutant deposition and ecosystem response) are extremely valuable for understanding how increases or decreases in pollutant loads result in damage to freshwater and terrestrial ecosystems. With long-term data sets on exposure and response that are now available after decades of study in many aquatic and terrestrial systems, actual exposure-response curves can now be constructed for assessing ecological effects.

2. *The refinement of freshwater critical loads developed in the last review to include new scientific evidence on ANC levels and ecological effects. [Section 4.3.1]*

The proposed approach is not completely clear to me. “Atmospheric N and S deposition in recent decades have shown marked decreases due to significant power sector, industrial, and mobile emissions reductions, allowing for the chemical and biological recovery of water bodies (see Section 1.11.1 of the second draft ISA for more information on recovery). Therefore, the evaluation of current ecological conditions will need to be done in the context that aquatic ecosystems are on a recovery trajectory in response to decreases in atmospheric N and/or S deposition.” Agreed, very clearly stated. The next step is not clear: “We intend to evaluate current conditions of aquatic ecosystems and their response to atmospheric N and/or S deposition using steady-state and target CLs and model output from biogeochemical models.” First, the definitions of steady state and target CLs are not obvious to me. More importantly, in my understanding CL is a threshold, with a binary condition: either load exceeds the CL value, or it does not. Why not use a dose-response framework built on actual trajectories of monitored aquatic ecosystems? Establish a target for biogeochemical “recovery” or some other desired endpoint in a lake, for example, and then estimate the air quality standards that will result in achieving the target.

3. *The use of different base cation aluminum (Bc:Al) levels for purposes of deriving forest soil acidification critical loads, in particular, using Bc:Al ratios of 1, 10, or a range of values. [Section 4.3.2.1]*

The use of Bc:Al or some other measure of base cation status in soils is appropriate as a tool for determining critical loads, because these measures implicitly incorporate site-specific conditions (geology, past deposition loads, past vegetation history) and are field-based measurements for which spatial and analytical variability can be relatively easy to assess. I recommend values of base cation status that are sufficient for a broad range of tree species as the target for determining CL for soil acidification. This allows for the fact that vegetative composition can change over relatively short time periods, and the base cation status used should not presume that a specific community structure (deciduous vs coniferous) “should” be found at a site, given that climate is changing.

4. *The use of the exposure-response functions to evaluate acidification and nitrogen enrichment effects on individual tree species and communities in case study areas. [Section 4.3.2.2]*

The proposed approach is very appropriate. Both the overall approach, as well as the focus on well-studied case study areas where additional data and insights are available, appears to be appropriate. My assessment is based on the data as described from the Horn et al. paper, which is not yet available. Thus, it must be considered tentative until that paper can be assessed.

5. *The approach for assessing variability/co-variability and characterizing uncertainty in the assessment of ecological effects of atmospheric deposition on acidification and nitrogen enrichment of freshwater and terrestrial ecosystems using critical loads and exposure-response curves. [Sections 4.3, 4.5.2 and 4.5.3]*

Equal balance should be given to the CL and exposure-response curves, as they represent two different approaches (binary, vs continuous functions). The two approaches may result in different implications for estimating uncertainty, either the magnitude or the direction of uncertainty. Overall, the newly published tools for assessing variability such as Horn (submitted) are quite valuable. Other recent papers highlight ways to quantify uncertainty in watershed-scale biogeochemical fluxes, e.g. Appling et al. (2015) {Appling, A.P., M.C. Leon, and W. H. McDowell. 2015. Reducing bias and quantifying uncertainty in watershed flux estimates: The R package loadflex. *Ecosphere* 6 Issue 12 article 269}

In addition to these responses to the specific charge questions, I offer the following comments:

1. Inclusion of NH<sub>3</sub> is a valuable addition to the REA assessment (as summarized in p. 1-2, lines 24-27), because it plays a role in the creation of oxides of S and N, and it also is an important component of total atmospheric loading. This is an essential element needed to develop a realistic plan.
2. The REA raises a critically important point that has been part of earlier assessments: impacts of regulated pollutants must be placed in the context of other pollutant inputs at that site. As stated on page 2-2 lines 24-28, “Thus, some ecosystems may be solely impacted by atmospheric deposition (e.g., high elevation lakes), while ecological effects attributed to N and S in other systems might be largely due to non-atmospheric sources (e.g., high order streams). Sources to total loading of N and S was discussed more in the 2011 NO<sub>x</sub>/SO<sub>x</sub> PA in consideration of the standard-setting process.” This is a very important point that should be fully considered in the REA process. Where terrestrial and aquatic ecosystems are affected by multiple and large drivers such as fertilization of agricultural land, sewage inputs to lakes and rivers, is it useful to do an assessment of atmospheric deposition impacts when other impacts are quantitatively larger? Shouldn't the focus be on the carefully selected case studies that are of high value to the public welfare and susceptible to negative effects from the regulated pollutants?
3. Page 3-13 line 5. The REA should consider some additional papers relevant to the establishment of critical loads. These include Zhou et al. (2015) {Zhou, Q., C. T. Driscoll, T. J. Sullivan, and A. Pourmokhtarian. 2015. Factors influencing critical and target loads for the acidification of lake-watersheds in the Adirondack region of New York. *Biogeochemistry* 124:353-369}. This paper highlights the importance of, and the difficulties involved in, assigning responses in dissolved organic matter and organic acids to declining inputs of oxides of N and S. It also documents the effectiveness of declining atmospheric deposition of S, compared to declining N,

in increasing ANC in lakes. Another paper that should be considered is Gavin et al. (2018), which describes the long-term response of high elevation lakes in Maine to changing air quality and atmospheric deposition {Gavin, A.L., S. J. Nelson, A. J. Klemmer, I.J. Fernandez, K.E. Strock, and W.H. McDowell. 2018. Acidification and climate linkages to increased dissolved organic carbon in high elevation lakes. Water Resources Research 54. <https://doi.org/10.1029/2017WR020963>}.

4. Page 4-15: I believe that the proposed use of regional data sets to establish regional CLs is warranted. The strategy outlined in lines 12-28 on this page should be implemented.

## Dr. Erik Nelson

### Comment on the Overall Analytical Approach

1. *The introductory and background information and, in particular, the conceptual model and key technical issues. [Chapter 1]*

- There are many allusions to public welfare in chapter 1 but it is never properly defined. What do we mean exactly by public welfare and how can it be affected by deposition of N, S, and PM? Do we need to give some examples of public welfare and how it can be affected by N, S, and PM deposition?
- The chapter mentions that the REA would be concerned with how N, S, and PM deposition impacts plant, animal and aquatic life. But our welfare in this context is defined by how we interpret and experience these impacts; the impacts on plant, animal and aquatic life in of themselves are not relevant to our welfare. Therefore, the REA should be concerned with the risks to our experiences and interactions with the physical world caused by changes in plant, animal and aquatic life due to changes in the atmospheric deposition of N, S, and PM.
- On the key technical issues. I think these should all be considered via their impact on human welfare. Therefore, I would make the bullet point “Impacts to public welfare” part of the text that introduces the “key technical issues” and then infuse all other bullet points with public welfare considerations. (As an aside, the focus on Class I areas seems misplaced; don’t we care about public welfare in all places, not just conserved areas?) Below are some detailed thoughts in the key technical issues.
  - Source contributions and loading:
    - It would seem to me that we need to define which ecological process we care about and how each process is affected by the various sources of N and S. For example, the aquatic dead zone in the Gulf of Mexico is primarily a N via surface water problem. Maybe we can say:

“Using analytical tools and data to help understand which pollutants are affecting the various ecological processes Americans care about will be important, particularly in the case of reduced nitrogen impacts. This will help define which sources of N, S, and PM are relevant to this proposed REA and which sources are not”

- “Historic air quality...”
  - Again, we should interpret this issue via welfare. For areas already inundated with deposition the potential to reduce risks to the ecological processes we care amount will be low. But in areas where deposition has been historically low the risk to changing the ecological processes we care about are large.



- “Timescale of effects ...”
  - Again, we should interpret this issue via welfare. Some ecological processes we care about can be very responsive to changes in N, S, and PM on a short-time scale; others respond very slowly to change in deposition rates.

“This affects both the exposure period necessary for effects on the ecological processes that people care about to become adverse, as well as the uncertainty associated with the ability of the REA to assess these impacts on public welfare.”

- Page 1-8, line 14: We say “As described in chapter 4, the REA for this review will include an evaluation of the relationship between emissions of the three criteria pollutants (and their precursors) and ambient air concentrations, as well as their contribution to direct as well as deposition-related exposures to biota, soils, sediments and surface waters.” Then don’t we have to say something like:

“Finally, we will evaluate how deposition’s impact on biota, soils, sediments and surface waters could affect the ecological process that Americans value and care about, including food production, recreational experiences, aesthetic experiences, and the importance they place on ecological integrity.”

- Figure 1.2: This figure has to include a link to the human dimension. How do the deposition exposures affect how we interpret and experience the physical world? How do the deposition exposures affect our welfare?
2. *As the context for the quantitative assessments described in Chapter 4, the identification of limitations and/or uncertainties related to ecological risk and exposure as assessed in the previous NAAQS reviews and the extent to which they may be addressed by currently available information, tools and methods, thus supporting a conclusion that new or updated assessments of risk and exposure may be warranted to provide estimates with appreciably reduced uncertainty to inform decisions in the current review regarding the adequacy of the existing standards in protecting public welfare from adverse effects, and, as appropriate, similar consideration of potential alternatives. [Chapters 2 and 3]*

With regard to chapter 3...

- I would delete the first bullet point on page 3-1; I do not think it is necessary given the second bullet point.
- I agree with the assessment not to carry out an ecosystem service analysis in the proposed REA. But I do think the proposed REA can be a bit more strategic about the use of the ecosystem services concept:
  - The proposed REA will assess how freshwater and terrestrial systems respond to changes in levels of N and S via atmospheric deposition (and other sources) with exposure-response curves. After assessing the relationships an informed discussion of the links between ecological state and ecosystem services could be had.



- It appears that the case studies section will be the place where the REA authors can have the more in-depth discussion on the links between changes in ecological responses, probabilistic changes in ecosystem services, and probabilistic change in public welfare that I mentioned above. I am fine with that.

4. *The proposed criteria and approach for selecting case study areas to evaluate potential risks and exposures in freshwater and terrestrial ecosystems. [Section 4.4]*

- Please make sure that all lands in the U.S. are represented not just public and conserved lands. We need to include at least one urban area, at least one suburban area, at least one exurban area, and at least one landscape dominated by agriculture use.
- Otherwise I agree with the criteria and approach for selecting case study areas.

## Dr. Hans Paerl

Comments on EPA: *Review of the Secondary Standards for Ecological Effects of Oxides of Nitrogen, Oxides of Sulfur, and Particulate Matter: Risk and Exposure Assessment Planning Document*, by Hans Paerl

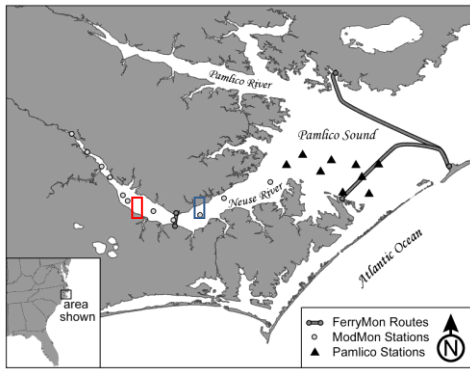
Please note: My comments mainly deal with impacts of atmospheric N enrichment on estuarine and coastal waters, specifically potential linkages of N enrichment on pH (acidification) of receiving waters. I am concerned that the “connections” between N enrichment are largely speculative and not supported by long-term monitoring of pH and related environmental variables in estuarine ecosystems, specifically the two largest systems in the US, Chesapeake Bay and the Albemarle-Pamlico Sound System. Below, I elaborate on this in my responses to what has been written in the *Review* as well as the *Integrated Science Assessment for Oxides of Nitrogen, Oxides of Sulfur, and Particulate Matter: Ecological Criteria (Second External Review Draft)*

3-23, lines 1-6. It is stated that “Specifically, N has been recognized as a possible contributing factor to coastal acidification because the CO<sub>2</sub> produced by organic matter decomposition in eutrophic waters can contribute CO<sub>2</sub> to the water column along with the dissolution of atmospheric anthropogenic CO<sub>2</sub>, decreasing the pH (see second draft ISA, Appendix section 10.5). Given the new scientific information available supporting this effect, the second draft ISA found that the relationship between atmospheric N deposition and increased nutrient-enhanced coastal acidification is likely causal.”

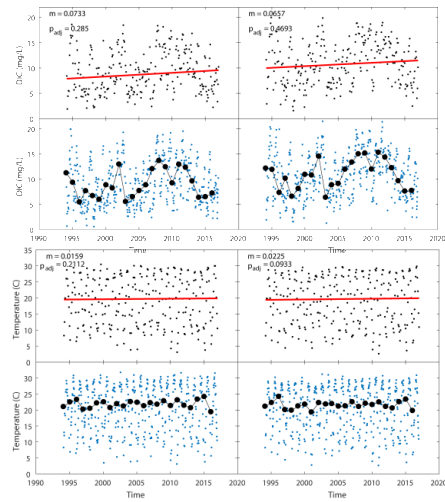
I don't agree with this statement. The “new scientific information” is perhaps (but not for certain) mainly relevant to oligotrophic open ocean water, not estuarine and nearshore waters. A recent study by Baumann and Smith (2018)\* of long-term data bases of pH and trophic state (as Chla) on numerous EPA-NEP and NOAA-NEERS estuarine sites, shown no clear relationship between trophic state and acidification. Furthermore, long-term (>20 year) data bases from Chesapeake Bay (Courtesy of Chesapeake Bay Program) and the Neuse River Estuary, NC (Courtesy of Neuse River ModMon Program), show a great amount of variability, and no clear trend in pH (Figs. 1 and 2). Acidification is controlled by multiple interacting factors including rates of primary production (CO<sub>2</sub> fixation) which have been increasing due to eutrophication, tending to drive pH up, and mineralization of autochthonous and allochthonous organic matter, driving pH down. The net results are highly variable. One important fact is that with regard to autochthonous (within system) processes, it is impossible to mineralize more organic matter (driving pH down) than what is produced by autotrophs (algae and higher plants) (driving pH up), So, with regard to eutrophication, one might expect pH to rise, unless every C molecule that is fixed is mineralized, in which case one would expect no net change in pH. In the Neuse R. Estuary, it looks like pH has risen at upstream station 70, while at downstream station 120 there is no significant trend (Fig. 1).

\*Baumann, H and E. M. Smith. 2018. Quantifying Metabolically Driven pH and Oxygen Fluctuations in US Nearshore Habitats at Diel to Interannual Time Scales. *Estuaries and Coasts* 41:1102–1117 DOI 10.1007/s12237-017-0321-3.

Figure 1: pH data from the eutrophic Neuse River Estuary, collected by the UNC-CH IMS ModMon project (<http://www.unc.edu/ims/neuse/modmon/>) below:



□ Station 70      □ Station 120



Long-term changes in pH, DIC and Temp. in the Neuse River Estuary (Data from ModMon Program) <http://www.unc.edu/ims/neuse/modmon/>

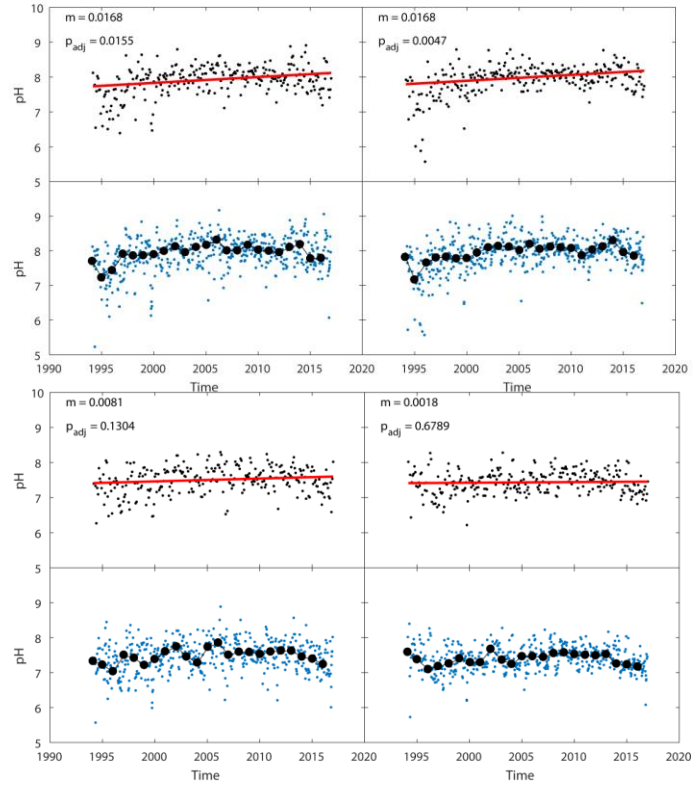
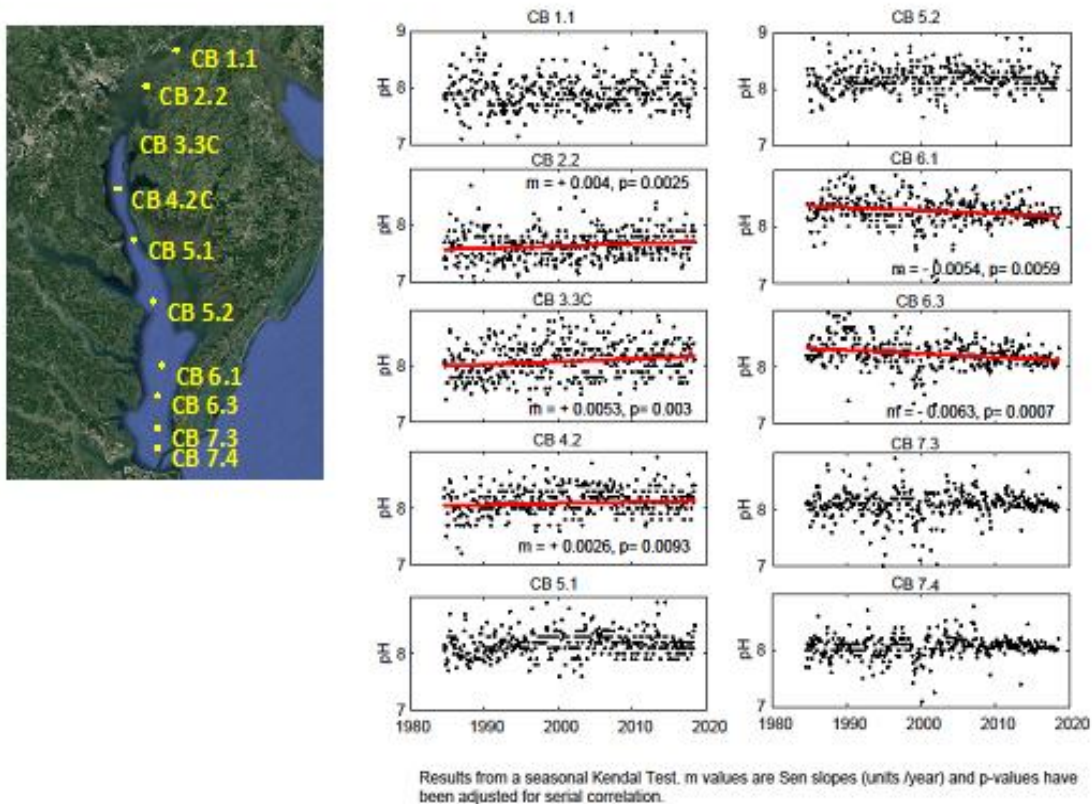


Figure 2: Long-term pH data from various locations in the Chesapeake Bay from 1984-2017. Data are courtesy of the EPA Chesapeake Bay Program, 2018 (<https://www.chesapeakebay.net>).



I therefore caution against making the statement “Given the new scientific information available supporting this effect, the second draft ISA found that the relationship between atmospheric N deposition and increased nutrient-enhanced coastal acidification is likely causal.”

This caution also applies to similar statements made in the Integrated Science Assessment for Oxides of Nitrogen, Oxides of Sulfur, and Particulate Matter: Ecological Criteria (Second External Review Draft), APPENDIX 10. BIOLOGICAL EFFECTS OF NITROGEN ENRICHMENT IN ESTUARIES AND NEAR-COASTAL SYSTEMS.

### Section 10.1 Introduction

Line 8. There is no “hard data” to support the “role of N in nutrient enhanced coastal acidification (Appendix ).” (More below on this topic).

P. 10-2, line 8. “altered growth, total primary production” could be changed to “altered (stimulated) primary production”

Lines 16-19, the statement is made “The body of evidence is suggestive, but not sufficient to infer, a causal relationship between N deposition and changes in biota, including altered physiology, species richness, community composition, and biodiversity due to nutrient-enhanced coastal acidification.” I do not agree with this statement. The biggest problem isn't acidification, but rather eutrophication and associated detrimental effects (HABs, hypoxia, food web issues, etc.)

P. 10-7, lines 26-27, it is stated that “The authors suggest that increased N deposition may enhance primary production and potentially lead to a shift from N to P limitation in this region” (referring to upper North Pacific Ocean).

This is quite speculative, and there's no real evidence that this is taking place, especially if we invoke denitrification as an important N sink.

P. 10-9, line 22. What is meant by “physical?”

### Section 10.5 **Nutrient Enhanced Coastal Acidification**

On P. 10-53, lines 32-34 state “several studies have suggested that the increased respiration caused by N enrichment may exacerbate coastal ocean acidification through alteration of the carbon cycle (Appendix 7.2.4).” However, at the same time, N-driven eutrophication (higher rates of primary production) has driven pH up. Therefore, the two processes have opposite effects on pH, with the net effect likely being no consistent trend in pH.

P. 10-54, in response to the text on lines 10-17, there is no conclusive evidence from intensive monitoring programs on the waters of Chesapeake Bay or the Neuse River Estuary, NC (largest tributary of the Pamlico Sound) that they have become significantly more acidic in the past several decades. Also, on P. 10-54, in response to lines 18-26, Acidification is more likely observable in open ocean environments, but may be masked by enhanced primary production (eutrophication) in estuarine and coastal waters where it will lead to increases in pH. Furthermore, it is difficult to envision how more organic matter can get mineralized (depressing pH) than is produced photosynthetically (causing the pH to rise). I don't feel comfortable pushing the ocean acidification issue, especially not in coastal and estuarine waters, where no clear trends have been shown to exist.

See Baumann and Smith 2018, *Estuaries and Coasts* 41:1102-1117.

P. 10-55, Figure 10-10. The Effects of nutrient-driven eutrophication (increased rates of primary production, leading to increases in pH) are not included in this schematic.

This comment also applies to lines 12-27 on P. 10-57, which similarly omit the potential for "basification" of estuarine and coastal waters due to N-enhanced rates of photosynthesis.

### Section 10.7. **Summary and Causal Determinations**

P. 10-59, lines 28-31 This is speculative and currently not supported with any long-term data set I'm aware of.

P. 10-64, lines 2-5. This is a very weak statement, and for good reason.....there is no convincing evidence for long-term acidification of US estuarine and coastal waters.

Again, see Baumann and Smith 2008, *Estuaries and Coasts* 41:1102-1116.

Lines 6-20, This is mainly based on discussions of open ocean water dynamics, but there is no long-term monitoring evidence showing a significant trend.

One last comment: P. 10-61, line 20. There's a difference between "seaweeds" and macroalgae. The term macroalgae is probably more appropriate.

## Mr. Richard Poirot

### Comment on the Overall Analytical Approach

1. *The introductory and background information and, in particular, the conceptual model and key technical issues. [Chapter 1]*

An initial, contrarian comment on the background: On pp. 1-3 & 1-4 it's stated that

“Section 109(b)(2) of 29 the CAA directs that a secondary standard is to “specify a level of air quality the attainment and maintenance of which, in the judgment of the Administrator, based on such criteria, is requisite to protect the public welfare from any known or anticipated adverse effects associated with the presence of [the] pollutant in the ambient air.”

Traditionally, this is taken to mean that the method to determine compliance with the NAAQS must be measured in the ambient air. Consequently, it's reasoned that a secondary SO<sub>x</sub>/NO<sub>x</sub> NAAQS must be related to ambient air (concentration) measurements - even though the measured substances are not in the forms (deposition) which are causing the adverse effects. They are merely correlated surrogates or indicators, which require additional model-derived “transfer functions” (fudge factors) to estimate the deposition from which the effects occur. This roundabout approach is clever and innovative, but it also adds considerable complexity, error and uncertainty that would be unnecessary if the best currently available (measure + model) estimates of deposition (TDep maps, with annual temporal and 4km spatial resolution) were used to determine (current conditions and) compliance. If you can argue that measured ambient concentrations are a reasonable surrogate for the deposition of (more or less) these same substances, isn't it equally (or more) true that the measured deposition of these substances is a reasonable (and obvious) indicator of the (immediately preceding) presence of these substances in the ambient air? CAAA Section 109(b)(2) says nothing about measurements. In past NAAQS reviews you've allowed use of surrogates (AAI), direct effects (PM light extinction), and model-only results (Primary SO<sub>2</sub>). It's not really a stretch to allow consideration of deposition measurements, with state-of-the-science PRISM and CMAQ model enhancements, to be used as the basis for determining compliance for secondary SO<sub>x</sub>/NO<sub>x</sub> NAAQS.

Other than this nit-pick, the presentation of background information, conceptual model and key technical issues are logically reasoned and clearly presented. Under “Key Technical Issues”, the identified issues are all well-justified and concisely described. I could see adding several additional issues including (1) “*Distribution of emission changes among pollutants, sources types and locations*”. This is an area (somewhat unique to the REA) where - after reading your more detailed descriptions in the following sections - I still have no idea how you're going to do it (especially if attainment of PM [& ozone] standards are considered). However you end up doing this, I encourage careful consideration of how emission controls to address NAAQS exceedances should be logically distributed - regionally and among source categories (i.e., would exceedance in the Adirondacks be addressed by NY state emission reductions only)? (2) “*Regional variability in ecosystem sensitivity*”. In the past review, the AAI employed a clever mechanism that incorporated regional differences in inherent sensitivity to acidification effects. In this way, the proposed AAI essentially allowed for different AQ standards in different regions. While it's expected that a similar approach might be taken for acidification effects in this review, it's not clear how a similar “regional sensitivity” approach might be employed for N dep. effects.



The brief presentation of the conceptual model is clearly described and nicely diagrammed (Figure 1-2). One additional (external) box that might be added to Fig. 1-2 (and also generally addressed more thoroughly in the ISA and REA) might be entitled something like “Concurrent Ecological Stressors” and include examples like “other criteria pollutants (ozone), climate change, phosphorus deposition, invasive species, insect/disease, etc.

- 2. As the context for the quantitative assessments described in Chapter 4, the identification of limitations and/or uncertainties related to ecological risk and exposure as assessed in the previous NAAQS reviews and the extent to which they may be addressed by currently available information, tools and methods, thus supporting a conclusion that new or updated assessments of risk and exposure may be warranted to provide estimates with appreciably reduced uncertainty to inform decisions in the current review regarding the adequacy of the existing standards in protecting public welfare from adverse effects, and, as appropriate, similar consideration of potential alternatives. [Chapters 2 and 3]*

Generally, I think chapters 2 and 3 do an outstanding job of identifying and then addressing key uncertainties identified in the last review. The clear focus on “here’s what we know now that we didn’t know then” is the best I’ve seen in a NAAQS review (this is my 10th)! The need/value of conducting a new REA - based on advances in current understanding is persuasively argued.

- 3. The overall analytical approach for the Risk and Exposure Assessment (REA) and its appropriateness for linking ambient concentrations, atmospheric deposition and ecological effects of interest. [Section 4.1]*

As indicated above, I think there are several good reasons why use of deposition measurements (or preferably model enhanced measurements of deposition) would be a superior indicator of both adverse effects, as well as compliance with any NAAQS intended to reduce these effects. Assuming the lawyers overrule me on this, I would also agree that the evidence presented in the ISA and approach proposed in the REA plan clearly support use of ambient air concentrations to assess S & N deposition effects (if that’s the best we can do).

- 4. The proposed criteria and approach for selecting case study areas to evaluate potential risks and exposures in freshwater and terrestrial ecosystems. [Section 4.4]*

The proposed criteria and approach for selecting case study areas are reasonable (with several objections noted in my line-by-line comments). However, I’m concerned by the “restrictiveness” of some of these criteria and the associated implication that it must be a really big deal to do the required modeling work in these few locations. It would not seem like an efficient use of scarce resources to spend a lot of effort identifying the “controlling pollutant” and/or worrying about the accuracy of “transference ratios.” See my related comments on question 1. I would have thought that a more efficient approach might begin with a general evaluation of assorted CLs and CL exceedances. About how much would we need to roll back NO<sub>x</sub> (and/or SO<sub>x</sub>) emissions to meet CLs regionally (20%, 40%, 60%)? Then apply those reductions for NO<sub>x</sub> (or SO<sub>x</sub> or NH<sub>x</sub>) nationally (using rational source-specific controls) and see where the chips fall and where the benefits lay.

## REA Plan, Line-by-Line Comments

ES-1, lines 20-23: It's not clear what you're getting at here. Why the focus on contributions of N (but not S) to PM? Is this "including but not limited to NOy" a way of saying we will also assess the contributions NHx to PM and N deposition?

p. 1-2, lines 8-11: This isn't really true, as the PM secondary standards (which have no required attainment dates) are, with one exception, set equal to the primary standards (which are to be attained within specified time frames). Once the primary standard is attained, subsequently attaining the same level at a more leisurely pace is nonsensical. These secondary standards protect nothing. The one exception is that the annual PM<sub>2.5</sub> secondary standard (15 ug/m<sup>3</sup>) is (irrationally) more lenient than the annual primary PM<sub>2.5</sub> standard (12 ug/m<sup>3</sup>). It has no practical protective application to anything, nor was it consistent with CASAC recommendations.

p. 1-2, lines 25-28: You could add "transport" after "transformations" on line 27. Gaseous SO<sub>2</sub>, NH<sub>3</sub><sup>+</sup> & HNO<sub>3</sub><sup>-</sup> all dry deposit relatively rapidly - near sources. But their transformation products (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> and NH<sub>4</sub>NO<sub>3</sub> are typically transported over longer distances. So NH<sub>3</sub> emissions enhance the transport and more distant deposition of other SOx and NOy species.

p. 2-2, lines 15-16: Do you mean "largest contributor to N deposition" or "largest contributor to acidifying deposition" or both?

p. 2-12, line 31: Is it possible these #s are reversed? Wouldn't the lower (0.6) Bc/Al ratio be associated with a higher (75%) probability of damage?

p. 3-4, line 14: Delete "Both" (you list three things).

p. 3-4, lines 31-33: Particulate NH<sub>4</sub> is not routinely measured in the IMPROVE network. It was temporarily measured at about a dozen southeastern sites starting in the late 1990s and ending in 2005. It is measured in the EPA CSN network (where it may have variable biases).

p. 4-1, lines 17-20: An adjustment to concentrations (and deposition) "just meeting current standards" generally implies an increase in emissions. Would this increase be based on bringing concentrations up to the levels of the current secondary standards at only the single worst location (very close to sources and not likely to be especially sensitive)? Or would it be more meaningful to consider scenarios where the current standards were just barely met in some of the broader regions most sensitive to acidification and/or N enrichment (for example the Adirondacks, southern Appalachians, Rocky Mtn., Sierra Nevada,...)? Would additional scenarios be considered for if worst case (or broad regional) concentrations were allowed to rise to the levels of the current primary standards (i.e. if current secondary NAAQS were vacated)?

p. 4-3, lines 5-11: When you consider "just meeting" current & alternative standards on a regional basis, would you adjust only regional emissions, or would you adjust emissions nationally to some common, lower level(s) of NOy and SOx? Could you include scenarios where NHx emissions were decreased?

Would the emissions changes used to evaluate alternative standards be based on attaining specific ambient air levels of SOx and NOy - such as those determinable by current ambient air monitoring networks - like CASTNet filter-pack + continuous NOy - that was proposed last time. If so (or if not)

will you evaluate the adequacy (or new funding needs) of existing ambient monitoring networks to determine compliance with the alternative standards that are evaluated?

p. 4-5, lines 5-10: Here, and on several other locations, I note an emphasis on particulate  $\text{NH}_4$  that I don't understand. Why is it important to know the contributions of gaseous  $\text{NH}_3$  and particulate  $\text{NH}_4$  to wet  $\text{NH}_4$  deposition? Especially since wet  $\text{NH}_4$  concentration (and deposition, enhanced by PRISM) is much more densely and accurately measured than  $\text{NH}_3$  or PM  $\text{NH}_4$ ? Similarly on lines 26-28: why is it important to know the relative contributions from gaseous  $\text{NH}_3$  and particulate  $\text{NH}_4$ , but not from wet  $\text{NH}_4$  deposition? I worry I'm missing something here in terms of the way you intend to use the available data.

pp. 4-6 to 4-8, section 4.2.2.1: The concepts discussed here seem extremely complex and would benefit from more detail or a few examples. I assume some (most) of the "study areas" are likely to be in areas where current secondary and primary  $\text{SO}_2$ ,  $\text{NO}_x$  and PM standards are being attained. So evaluating scenarios where current secondary and/or primary standards are "just attained" would often require increasing emissions, right? If  $\text{PM}_{2.5}$  becomes the "controlling standard", how do you decide to bump up current  $\text{PM}_{2.5}$  levels by increasing  $\text{SO}_x$  or  $\text{NO}_x$  emissions, and where should those emissions be increased? Conceivably, increasing  $\text{NO}_x$  to just meeting current  $\text{NO}_2$  standards would cause increases in ozone (and possible exceedances of ozone NAAQS) or have indirect effects from ozone enhancements of secondary PM formation rates. Similar kinds of questions arise in terms of how to choose which pollutant and which emissions locations for scenarios evaluating just meeting alternative new lower secondary standards.

p. 4-7, lines 32-36: I don't follow the logic of identifying a single "controlling standard" within each large 3,000 km diameter area. Within subsets of areas that large, there may well be several different controlling standards (or current nonattainment areas - especially if ozone is also considered, and it seems like it has to be). Possibly the single (highest) controlling standard for a large area might be different than that for the case study area. Again, this makes me wonder how you decide which emissions you increase or decrease and where. If  $\text{PM}_{2.5}$  is the controlling standard which I assume it, or  $\text{O}_3$ , would be for the Northeast (where there's currently some nonattainment and more areas "just under" (same for ozone), do you assume that current  $\text{PM}_{2.5}$  (& ozone) standard(s) would be attained - in assessing the "at the level of current standards" scenario? Are there necessarily regulatory mechanisms in place that would prohibit  $\text{NO}_x$  or  $\text{SO}_x$  emissions increases from all sources upwind of and contributing to PM nonattainment? Any reason not to consider increases in PM as "consequences" of increased  $\text{SO}_x$  or  $\text{NO}_x$  NAAQS?

pp. 4-8 to 4-12, section 4.2.2.2: This seems like a lot of effort to find surrogate indicators of substances that can be measured in the ambient air - when it is the total deposition of S and/or N that's associated with the identified effects - and also the metric one would like to see decreased if CLs are exceeded. The annual TDep maps provide the best currently available, lowest uncertainty (measurement + model) estimates of the parameters of concern with 4 km spatial resolution. Why couldn't these be used to determine compliance with alternative standards? Less preferable alternatives would be to rely on CMAQ model estimates of total deposition or NTN measured wet-only deposition. After all, modeling alone is currently employed to determine compliance with the 1-hr primary  $\text{SO}_2$  NAAQS.

p. 4-11, Table 4-2: the references to particulate  $\text{NO}_3$ ,  $\text{SO}_4$  and  $\text{NH}_4$  all indicate a  $\text{PM}_{2.5}$  size cut, but CASTNET filter packs are open-faced and have no (quantified) particle cut size. Also, I'm not sure why its necessary to consider "deposition response" factors for  $\text{NH}_x$  compounds (unless you're planning to

evaluate changes in NH<sub>x</sub> emissions - which is not a bad idea, but even then, you don't need an air quality indicator, unless you plan to propose an NH<sub>x</sub> NAAQS). The existing most recent TDep maps for NH<sub>x</sub> deposition are all you really need to characterize this, right? Possibly, since there is no NH<sub>x</sub> NAAQS and since NH<sub>x</sub> deposition appears to be increasing in many areas, you might want to project future NH<sub>x</sub> increases in some of your modeling (assume recent trends continue).

p. 4-17, lines 10-22: The BCw rate is clearly an important and uncertain factor. Is there any reason to also consider atmospheric dust deposition or wildfire ash. In the ISA, you attribute widespread increases in P concentrations in U.S. lakes and streams to increases in windblown dust. Wouldn't it be logical to expect increasing dust deposition to also contribute to increasing deposition of base cations in soil?

p. 4-19, lines 11-29: Cleavitt et al., 2015 (<https://doi.org/10.1639/0007-2745-118.3.304>) examined lichen health metrics along a spatial gradient of Northeastern U.S. sites with different levels of N and S deposition. They compared (aerosol surrogate) estimates of N deposition at their NE sites to the N CL estimates that Geiser et al. (2010) had developed for the Northwest. While varying degrees of damage were noted at all the NE sites, and the Geiser et al. CLs had been exceeded at all the NE sites, they were no longer exceeded at any of the NE sites. It was also noted that the NE lichen health metrics related more strongly to long-term cumulative N deposition (aggregated for 10+ years prior to lichen sampling) than to shorter-term (current year) N deposition.

p. 4-21, lines 8-23: I understand what you're saying, but think this (current but not historical damage) is a very difficult constraint to apply (or even to understand). It sounds almost like an priori determination that "we will ignore historically-damaged areas because these are beyond hope." Meanwhile, the best "chemical recovery" evidence we have comes from areas like acidified surface waters in the Northeast where extreme historical damage was observed. It also seems like a "recent damage only" approach would tend to select for relatively clean locations only - or clean areas with recent new sources? For areas where historical damage has occurred, the effect of current decreased levels of deposition is to sustain the damage and/or prolong the recovery period. We would want to know how (or if) decreasing current deposition would alter recovery periods compared to status quo. I suggest including one or more areas with clear-cut historical effects in your case study area collection.

p. 4-21, lines 39-40: Not clear what you mean by "ensuring inclusion of areas that reflect current atmospheric deposition patterns (e.g. areas dominated by oxidized as opposed to reduced forms of N)." I would think "current patterns" would show more areas dominated by reduced N than in the past, and might also expect some areas dominated by reduced N would also show recent increases. Granted, any NAAQS would focus on oxidized N, but it would still be of interest to know how affected ecosystems might respond to changes in reduced N. It could also be useful to show how much greater NO<sub>x</sub> emissions would need to be in areas with high NH<sub>x</sub>.

p. 4-22, lines 3-9: Several past considerations of secondary NAAQS (the previous SO<sub>x</sub>/NO<sub>x</sub> and previous PM<sub>2.5</sub> NAAQS reviews) have faulted the lack of adequate monitoring network as an important reason not to proceed with setting a NAAQS. For both SO<sub>x</sub>/NO<sub>x</sub> and PM<sub>2.5</sub> (PM light extinction), the Agency proposed setting up small pilot networks to test monitoring methods - but then never really followed through. So if you limit your focus to only those locations with superior air quality & deposition data, will the results be more broadly applicable. An assessment of how well current (CASTNet + NADP) AQ & deposition measurements compare with CMAQ can be conducted separately, and across the entire network(s).

p. 4-22 lines 10-13: I dislike this logic. No change at all would have complete certainty. So what?