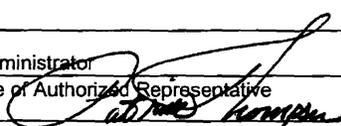


APPLICATION FOR FEDERAL ASSISTANCE

1. TYPE OF SUBMISSION: Application		2. DATE SUBMITTED July 25, 2006	Applicant Identifier B 2007	
<input type="checkbox"/> Construction		3. DATE RECEIVED BY STATE	State Application Identifier OH 891002-M564-6601	
<input checked="" type="checkbox"/> Non-Construction		4. DATE RECEIVED BY FEDERAL AGENCY	Federal Identifier A 005512-07	
5. APPLICANT INFORMATION				
Legal Name: Board of County Commissioners		Organizational Unit: Department: Environmental Services		
Organizational DUNS: 134718100		Division: Air Quality Management		
Address: Street: Hamilton County, OH County Administration Building 138 East Court Street, Room 603		Name and telephone number of person to be contacted on matters involving this application (give area code)		
City: Cincinnati		Prefix: Mr.	First Name: Cory	
County: Hamilton		Middle Name R.		
State: OH		Zip Code 45202		Suffix:
Country: USA		Email: cory.chadwick@hamilton-co.org		
6. EMPLOYER IDENTIFICATION NUMBER (EIN): 31-6000063		Phone Number (give area code) (513) 946 - 7777	Fax Number (give area code) (513) 946 - 7778	
8. TYPE OF APPLICATION: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision If Revision, enter appropriate letter(s) in box(es) (See back of form for description of letters.) Other (specify) <input type="checkbox"/> <input type="checkbox"/>		7. TYPE OF APPLICANT: (See back of form for Application Types) Other (specify) Multi- County		
10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER: TITLE (Name of Program): 66-001		9. NAME OF FEDERAL AGENCY: U.S. Environmental Protection Agency		
12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.): Butler, Clermont, Hamilton, and Warren Counties		11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT: Air Quality Management 66.001		
13. PROPOSED PROJECT Start Date: 10/01/06		14. CONGRESSIONAL DISTRICTS OF: a. Applicant Second		
Ending Date: 09/30/07		b. Project 1,2,6,8		
15. ESTIMATED FUNDING:		16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?		
a. Federal	\$ 607,656 ⁰⁰	a. Yes. <input checked="" type="checkbox"/> THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON		
b. Applicant	\$ 485,500 ⁰⁰	DATE:		
c. State	\$ 614,722 ⁰⁰	b. No. <input type="checkbox"/> PROGRAM IS NOT COVERED BY E. O. 12372		
d. Local	\$ ⁰⁰	<input type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW		
e. Other	\$ ⁰⁰	17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT?		
f. Program Income	\$ ⁰⁰	<input type="checkbox"/> Yes If "Yes" attach an explanation. <input checked="" type="checkbox"/> No		
g. TOTAL	\$ 1,707,878 ⁰⁰			
18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT. THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.				
a. Authorized Representative				
Prefix Mr.	First Name Patrick		Middle Name	
Last Name Thompson		Suffix		
b. Title County Administrator		c. Telephone Number (give area code) (513) 946 - 7777		
d. Signature of Authorized Representative 		e. Date Signed 7-2-06		

INSTRUCTIONS FOR THE SF-424

Public reporting burden for this collection of information is estimated to average 45 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0043), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

This is a standard form used by applicants as a required face sheet for pre-applications and applications submitted for Federal assistance. It will be used by Federal agencies to obtain applicant certification that States which have established a review and comment procedure in response to Executive Order 12372 and have selected the program to be included in their process, have been given an opportunity to review the applicant's submission.

Item:	Entry:	Item:	Entry:																
1.	Select Type of Submission.	11.	Enter a brief descriptive title of the project. If more than one program is involved, you should append an explanation on a separate sheet. If appropriate (e.g., construction or real property projects), attach a map showing project location. For preapplications, use a separate sheet to provide a summary description of this project.																
2.	Date application submitted to Federal agency (or State if applicable) and applicant's control number (if applicable).	12.	List only the largest political entities affected (e.g., State, counties, cities).																
3.	State use only (if applicable).	13.	Enter the proposed start date and end date of the project.																
4.	Enter Date Received by Federal Agency Federal identifier number: If this application is a continuation or revision to an existing award, enter the present Federal Identifier number. If for a new project, leave blank.	14.	List the applicant's Congressional District and any District(s) affected by the program or project																
5.	Enter legal name of applicant, name of primary organizational unit (including division, if applicable), which will undertake the assistance activity, enter the organization's DUNS number (received from Dun and Bradstreet), enter the complete address of the applicant (including country), and name, telephone number, e-mail and fax of the person to contact on matters related to this application.	15.	Amount requested or to be contributed during the first funding/budget period by each contributor. Value of in kind contributions should be included on appropriate lines as applicable. If the action will result in a dollar change to an existing award, indicate only the amount of the change. For decreases, enclose the amounts in parentheses. If both basic and supplemental amounts are included, show breakdown on an attached sheet. For multiple program funding, use totals and show breakdown using same categories as item 15.																
6.	Enter Employer Identification Number (EIN) as assigned by the Internal Revenue Service.	16.	Applicants should contact the State Single Point of Contact (SPOC) for Federal Executive Order 12372 to determine whether the application is subject to the State intergovernmental review process.																
7.	Select the appropriate letter in the space provided. <table style="width: 100%; border: none;"> <tr> <td style="width: 50%;">A. State</td> <td style="width: 50%;">I. State Controlled Institution of Higher Learning</td> </tr> <tr> <td>B. County</td> <td>J. Private University</td> </tr> <tr> <td>C. Municipal</td> <td>K. Indian Tribe</td> </tr> <tr> <td>D. Township</td> <td>L. Individual</td> </tr> <tr> <td>E. Interstate</td> <td>M. Profit Organization</td> </tr> <tr> <td>F. Intermunicipal</td> <td>N. Other (Specify)</td> </tr> <tr> <td>G. Special District</td> <td>O. Not for Profit Organization</td> </tr> <tr> <td>H. Independent School District</td> <td></td> </tr> </table>	A. State	I. State Controlled Institution of Higher Learning	B. County	J. Private University	C. Municipal	K. Indian Tribe	D. Township	L. Individual	E. Interstate	M. Profit Organization	F. Intermunicipal	N. Other (Specify)	G. Special District	O. Not for Profit Organization	H. Independent School District		17.	This question applies to the applicant organization, not the person who signs as the authorized representative. Categories of debt include delinquent audit disallowances, loans and taxes.
A. State	I. State Controlled Institution of Higher Learning																		
B. County	J. Private University																		
C. Municipal	K. Indian Tribe																		
D. Township	L. Individual																		
E. Interstate	M. Profit Organization																		
F. Intermunicipal	N. Other (Specify)																		
G. Special District	O. Not for Profit Organization																		
H. Independent School District																			
8.	Select the type from the following list: <ul style="list-style-type: none"> • "New" means a new assistance award. • "Continuation" means an extension for an additional funding/budget period for a project with a projected completion date. • "Revision" means any change in the Federal Government's financial obligation or contingent liability from an existing obligation. If a revision enter the appropriate letter: <table style="width: 100%; border: none;"> <tr> <td style="width: 50%;">A. Increase Award</td> <td style="width: 50%;">B. Decrease Award</td> </tr> <tr> <td>C. Increase Duration</td> <td>D. Decrease Duration</td> </tr> </table> 	A. Increase Award	B. Decrease Award	C. Increase Duration	D. Decrease Duration	18.	To be signed by the authorized representative of the applicant. A copy of the governing body's authorization for you to sign this application as official representative must be on file in the applicant's office. (Certain Federal agencies may require that this authorization be submitted as part of the application.)												
A. Increase Award	B. Decrease Award																		
C. Increase Duration	D. Decrease Duration																		
9.	Name of Federal agency from which assistance is being requested with this application.																		
10.	Use the Catalog of Federal Domestic Assistance number and title of the program under which assistance is requested.																		

BUDGET INFORMATION - Non-Construction Programs

OMB Approval No. 0348-0044

SECTION A - BUDGET SUMMARY						
Grant Program Function or Activity (a)	Catalog of Federal Domestic Assistance Number (b)	Estimated Unobligated Funds		New or Revised Budget		
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
1.		\$	\$	\$ 607,656.00	\$ 1,100,222.00	\$ 1,707,878.00
2.						0.00
3.						0.00
4.						0.00
5. Totals		\$ 0.00	\$ 0.00	\$ 607,656.00	\$ 1,100,222.00	\$ 1,707,878.00
SECTION B - BUDGET CATEGORIES						
6. Object Class Categories	GRANT PROGRAM, FUNCTION OR ACTIVITY				Total (5)	
	(1)	(2)	(3)	(4)		
a. Personnel	\$ 1,040,939.00	\$	\$	\$	\$ 1,040,939.00	
b. Fringe Benefits	292,505.00				292,505.00	
c. Travel	25,028.00				25,028.00	
d. Equipment	54,858.00				54,858.00	
e. Supplies	18,275.00				18,275.00	
f. Contractual	131,089.00				131,089.00	
g. Construction					0.00	
h. Other	17,632.00				17,632.00	
i. Total Direct Charges (sum of 6a-6h)	1,580,326.00	0.00	0.00	0.00	1,580,326.00	
j. Indirect Charges	127,552.00				127,552.00	
k. TOTALS (sum of 6i and 6j)	\$ 1,707,878.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 1,707,878.00	
7. Program Income	\$	\$	\$	\$	\$ 0.00	

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SECTION C - NON-FEDERAL RESOURCES				
(a) Grant Program	(b) Applicant	(c) State	(d) Other Sources	(e) TOTALS
8. Air Quality Management	\$ 485,500.00	\$ 614,722.00	\$	\$ 1,100,222.00
9.				0.00
10.				0.00
11.				0.00
12. TOTAL (sum of lines 8-11)	\$ 485,500.00	\$ 614,722.00	\$ 0.00	\$ 1,100,222.00

SECTION D - FORECASTED CASH NEEDS					
	Total for 1st Year	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
13. Federal	\$ 607,656.00	\$ 151,914.00	\$ 151,914.00	\$ 151,914.00	\$ 151,914.00
14. Non-Federal	1,100,222.00	275,055.50	275,055.50	275,055.50	275,055.50
15. TOTAL (sum of lines 13 and 14)	\$ 1,707,878.00	\$ 426,969.50	\$ 426,969.50	\$ 426,969.50	\$ 426,969.50

SECTION E - BUDGET ESTIMATES OF FEDERAL FUNDS NEEDED FOR BALANCE OF THE PROJECT				
(a) Grant Program	FUTURE FUNDING PERIODS (Years)			
	(b) First	(c) Second	(d) Third	(e) Fourth
16. Air Quality Management	\$	\$	\$	\$
17.				
18.				
19.				
20. TOTAL (sum of lines 16-19)	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00

SECTION F - OTHER BUDGET INFORMATION	
21. Direct Charges:	22. Indirect Charges: 12.3% of Personnel
23. Remarks:	

INSTRUCTIONS FOR THE SF-424A

Public reporting burden for this collection of information is estimated to average 180 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0044), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

General Instructions

This form is designed so that application can be made for funds from one or more grant programs. In preparing the budget, adhere to any existing Federal grantor agency guidelines which prescribe how and whether budgeted amounts should be separately shown for different functions or activities within the program. For some programs, grantor agencies may require budgets to be separately shown by function or activity. For other programs, grantor agencies may require a breakdown by function or activity. Sections A, B, C, and D should include budget estimates for the whole project except when applying for assistance which requires Federal authorization in annual or other funding period increments. In the latter case, Sections A, B, C, and D should provide the budget for the first budget period (usually a year) and Section E should present the need for Federal assistance in the subsequent budget periods. All applications should contain a breakdown by the object class categories shown in Lines a-k of Section B.

Section A. Budget Summary Lines 1-4 Columns (a) and (b)

For applications pertaining to a *single* Federal grant program (Federal Domestic Assistance Catalog number) and *not requiring* a functional or activity breakdown, enter on Line 1 under Column (a) the Catalog program title and the Catalog number in Column (b).

For applications pertaining to a *single* program *requiring* budget amounts by multiple functions or activities, enter the name of each activity or function on each line in Column (a), and enter the Catalog number in Column (b). For applications pertaining to multiple programs where none of the programs require a breakdown by function or activity, enter the Catalog program title on each line in *Column (a)* and the respective Catalog number on each line in Column (b).

For applications pertaining to *multiple* programs where one or more programs *require* a breakdown by function or activity, prepare a separate sheet for each program requiring the breakdown. Additional sheets should be used when one form does not provide adequate space for all breakdown of data required. However, when more than one sheet is used, the first page should provide the summary totals by programs.

Lines 1-4, Columns (c) through (g)

For *new applications*, leave Column (c) and (d) blank. For each line entry in Columns (a) and (b), enter in Columns (e), (f), and (g) the appropriate amounts of funds needed to support the project for the first funding period (usually a year).

For *continuing grant program applications*, submit these forms before the end of each funding period as required by the grantor agency. Enter in Columns (c) and (d) the estimated amounts of funds which will remain unobligated at the end of the grant funding period only if the Federal grantor agency instructions provide for this. Otherwise, leave these columns blank. Enter in columns (e) and (f) the amounts of funds needed for the upcoming period. The amount(s) in Column (g) should be the sum of amounts in Columns (e) and (f).

For *supplemental grants and changes* to existing grants, do not use Columns (c) and (d). Enter in Column (e) the amount of the increase or decrease of Federal funds and enter in Column (f) the amount of the increase or decrease of non-Federal funds. In Column (g) enter the new total budgeted amount (Federal and non-Federal) which includes the total previous authorized budgeted amounts plus or minus, as appropriate, the amounts shown in Columns (e) and (f). The amount(s) in Column (g) should not equal the sum of amounts in Columns (e) and (f).

Line 5 - Show the totals for all columns used.

Section B Budget Categories

In the column headings (1) through (4), enter the titles of the same programs, functions, and activities shown on Lines 1-4, Column (a), Section A. When additional sheets are prepared for Section A, provide similar column headings on each sheet. For each program, function or activity, fill in the total requirements for funds (both Federal and non-Federal) by object class categories.

Line 6a-i - Show the totals of Lines 6a to 6h in each column.

Line 6j - Show the amount of indirect cost.

Line 6k - Enter the total of amounts on Lines 6i and 6j. For all applications for new grants and continuation grants the total amount in column (5), Line 6k, should be the same as the total amount shown in Section A, Column (g), Line 5. For supplemental grants and changes to grants, the total amount of the increase or decrease as shown in Columns (1)-(4), Line 6k should be the same as the sum of the amounts in Section A, Columns (e) and (f) on Line 5.

Line 7 - Enter the estimated amount of income, if any, expected to be generated from this project. Do not add or subtract this amount from the total project amount, Show under the program

INSTRUCTIONS FOR THE SF-424A (continued)

narrative statement the nature and source of income. The estimated amount of program income may be considered by the Federal grantor agency in determining the total amount of the grant.

Section C. Non-Federal Resources

Lines 8-11 Enter amounts of non-Federal resources that will be used on the grant. If in-kind contributions are included, provide a brief explanation on a separate sheet.

Column (a) - Enter the program titles identical to Column (a), Section A. A breakdown by function or activity is not necessary.

Column (b) - Enter the contribution to be made by the applicant.

Column (c) - Enter the amount of the State's cash and in-kind contribution if the applicant is not a State or State agency. Applicants which are a State or State agencies should leave this column blank.

Column (d) - Enter the amount of cash and in-kind contributions to be made from all other sources.

Column (e) - Enter totals of Columns (b), (c), and (d).

Line 12 - Enter the total for each of Columns (b)-(e). The amount in Column (e) should be equal to the amount on Line 5, Column (f), Section A.

Section D. Forecasted Cash Needs

Line 13 - Enter the amount of cash needed by quarter from the grantor agency during the first year.

Line 14 - Enter the amount of cash from all other sources needed by quarter during the first year.

Line 15 - Enter the totals of amounts on Lines 13 and 14.

Section E. Budget Estimates of Federal Funds Needed for Balance of the Project

Lines 16-19 - Enter in Column (a) the same grant program titles shown in Column (a), Section A. A breakdown by function or activity is not necessary. For new applications and continuation grant applications, enter in the proper columns amounts of Federal funds which will be needed to complete the program or project over the succeeding funding periods (usually in years). This section need not be completed for revisions (amendments, changes, or supplements) to funds for the current year of existing grants.

If more than four lines are needed to list the program titles, submit additional schedules as necessary.

Line 20 - Enter the total for each of the Columns (b)-(e). When additional schedules are prepared for this Section, annotate accordingly and show the overall totals on this line.

Section F. Other Budget Information

Line 21 - Use this space to explain amounts for individual direct object class cost categories that may appear to be out of the ordinary or to explain the details as required by the Federal grantor agency.

Line 22 - Enter the type of indirect rate (provisional, predetermined, final or fixed) that will be in effect during the funding period, the estimated amount of the base to which the rate is applied, and the total indirect expense.

Line 23 - Provide any other explanations or comments deemed necessary.

ASSURANCES - CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

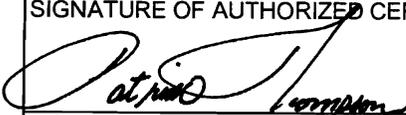
PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title, or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal interest in the title of real property in accordance with awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progress reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681 1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL 	TITLE County Administrator
APPLICANT ORGANIZATION Hamilton County Board of County Commissioners	DATE SUBMITTED August 1, 2006

United States Environmental Protection Agency
Washington, DC 20460

**Certification Regarding
Debarment, Suspension, and Other Responsibility Matters**

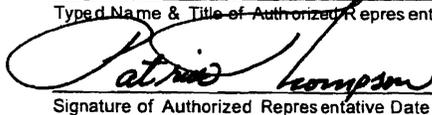
The prospective participant certifies to the best of its knowledge and belief that it and the principals:

- (a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
- (b) Have not within a three year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction: violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- (c) Are not presently indicted for otherwise criminally or civilly charged by a government entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and
- (d) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State, or local) terminated or cause or default.

I understand that a false statement on this certification may be ground for rejection of this proposal or termination of the award. In addition, under 18 USC Sec. 1001, a false statement may result in a fine of up to \$10,000 or imprisonment for up to 5 years, or both.

Patrick Thompson, County Administrator

Typed Name & Title of Authorized Representative


Signature of Authorized Representative

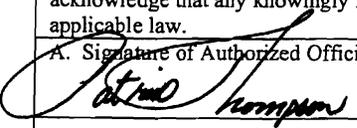
I am unable to certify to the above statements. My explanation is attached.



Washington, DC 20460
Preaward Compliance Review Report for
All Applicants Requesting Federal Financial Assistance

FORM Approved
 OMB No. 2030-0020
 Expires 12-31-05

Note: Read instructions before completing form.

I. A. Applicant (Name, City, State) Hamilton Board of Commissioners 138 E. Court Street Cincinnati, OH 45202	B. Recipient (Name, City, State) Hamilton County Board of Commissioners 138 E. Court Street Cincinnati, OH 45202	C. EPA Project No. A 005512-07
II. Brief description of proposed project, program or activity.		
III. Are any civil rights lawsuits or complaints pending against applicant and/or recipient? If yes, list those complaints and the disposition of each complaint.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
IV. Have any civil rights compliance reviews of the applicant and/or recipient been conducted by any Federal agency during the two years prior to this application for activities which would receive EPA assistance? If yes, list those compliance reviews and status of each review.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
V. Is any other Federal financial assistance being applied for or is any other Federal financial assistance being applied to any portion of this project, program or activity? If yes, list the other Federal Agency(s), describe the associated work and the dollar amount of assistance.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
VI. If entire community under the applicant's jurisdiction is not served under the existing facilities/services, or will not be served under the proposed plan, give reasons why.		
VII. Population Characteristics		Number of People
1. A. Population of Entire Service Area		1,514,470
B. Minority Population of Entire Service Area		230,669
2. A. Population Currently Being Served		1,514,470
B. Minority Population Currently Being Served		230,669
3. A. Population to be Served by Project, Program or Activity		1,514,470
B. Minority Population to be Served by Project, Program or Activity		230,669
4. A. Population to Remain Without Service		-0-
B. Minority Population to Remain Without Service		-0-
VII. Will all new facilities or alterations to existing facilities financed by these funds be designed and constructed to be readily accessible to and usable by handicapped persons? If no, explain how a regulatory exception (40 CFR 7.70) applies.		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
IX. Give the schedule for future projects, programs or activities (or of future plans), by which services will be provided to all beneficiaries within applicant's jurisdiction. If there is no schedule, explain why. We serve the community on a year-to-year basis		
X. I certify that the statements I have made on this form and all attachments thereto are true, accurate and complete. I acknowledge that any knowingly false or misleading statement may be punishable by fine or imprisonment or both under applicable law.		
A. Signature of Authorized Official 	B. Title of Authorized Official County Administrator	C. Date 8-2-06
For the U.S. Environmental Protection Agency		
<input type="checkbox"/> Approved <input type="checkbox"/> Disapproved	Authorized EPA Official	Date

CERTIFICATION REGARDING LOBBYING

**CERTIFICATION FOR CONTRACTS, GRANTS,
LOANS, AND COOPERATIVE AGREEMENTS**

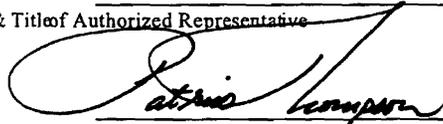
The undersigned certifies, to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all sub-awards at all tiers (including sub-contracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and that all sub-recipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31 U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Patrick Thompson, County Administrator

Typed Name & Title of Authorized Representative

 8-2-06

Signature of Authorized Representative

Date



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
WASHINGTON, DC 20460

PROCUREMENT SYSTEM CERTIFICATION

Form Approved
OMB No. 2000-0453
Approval expires 10-31-87

APPLICANT'S NAME

Board of County Commissioners

ASSISTANCE APPLICATION NUMBER

A 005512-07

APPLICANT'S ADDRESS

Hamilton County, Ohio
Room # 603 - County Administration Building
138 E. Court Street
Cincinnati, OH 45202

SECTION I - INSTRUCTIONS

The applicant must complete and submit a copy of this form with each application for EPA Assistance. If the applicant has certified its procurement system to EPA within the past 2 years and the system has not been substantially revised, complete Part A in Section II, then sign and date the form. If the system has not been certified within the past 2 years, complete Part B, then sign and date the form.

SECTION II - CERTIFICATION

A.

I affirm that the applicant has within the past 2 years certified to EPA that its procurement system complies with 40 CFR Part 31 and that the system meets the requirements in 40 CFR Part 31. The date of the applicant's latest certification is:

MONTH/YEAR

B.

Based upon my evaluation of the applicant's procurement system, I, as authorized representative of the applicant: *(Check one of the following:)*

1. **CERTIFY** that the applicant's procurement system will meet all of the requirements of 40 CFR Part 31 before undertaking any procurement action with EPA assistance

Please furnish citations to applicable procurement ordinances and regulations

2. **DO NOT CERTIFY THE APPLICANT'S PROCUREMENT SYSTEM.** The applicant agrees to follow the requirements of 40 CFR Part 31, including the procedures in Appendix A, and allow EPA preaward review of proposed procurement actions that will use EPA assistance.

TYPED NAME AND TITLE

Patrick Thompson, County Administrator

SIGNATURE

DATE

8-2-06

Organization Name: Hamilton County Environmental Services

CERTIFICATION -- DRUG FREE WORKPLACE ACT OF 1988

The recipient certifies that it will provide a drug-free workplace by:

- (a) publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the recipient's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
- (b) establishing a drug-free awareness program to inform employees about
 - (1) the dangers of drug abuse in the workplace;
 - (2) the recipient's policy of maintaining a drug-free workplace;
 - (3) any available drug counseling, rehabilitation, and employee assistance programs; and
 - (4) the penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
- (c) making it a requirement that each employee to be engaged in the performance of the project be given a copy of the statement required by paragraph (a);
- (d) notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the award, the employee will --
 - (1) abide by the terms of the statement; and
 - (2) notify the employer of any criminal drug statute conviction for a violation occurring in the workplace no later than five days after such convictions;
- (e) notifying the Award Official within ten days after receiving notice under subparagraph (d)(2) from an employee or otherwise receiving actual notice of such conviction;
- (f) taking one of the following actions, within 30 days of receiving notice under subparagraph (d)(2), with respect to any employee who is so convicted --
 - (1) taking appropriate personnel action against such an employee, up to and including termination; or
 - (2) requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State or local health, law enforcement, or other appropriate agency;

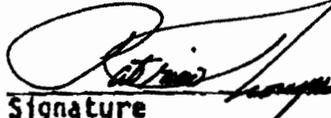
(g) making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e), and (f).

THE RECIPIENT SHALL INSERT IN THE SPACE PROVIDED BELOW THE SITE(S) FOR THE PERFORMANCE OF WORK DONE IN CONNECTION WITH THE SPECIFIC AWARD

PLACE OF PERFORMANCE (street address, city, county, state, zip code)

Patrick Thompson, County Administrator

Name and Title of Authorized Representative


Signature

8-2-06
Date

2007 CEL

CEL - BREAKDOWN					
	2005		2006	2007	
PART I		PART I		PART I	
NONRECURRENT EQUIPMENT		NONRECURRENT EQUIPMENT		NONRECURRENT EQUIPMENT	
(2) STRIP CHART	\$14,400.00	(2) STRIP CHART	\$14,400.00	(1) AT CANNISTER SAMPLER	\$8,400.00
(2) OZONE INSTRUMENT	\$15,400.00	(2) OZONE INSTRUMENT	\$15,400.00	(2) OZONE INSTRUMENT	\$15,400.00
(2) DATA LOGGER	\$10,200.00	(2) DATA LOGGER	\$10,200.00	(2) DATA LOGGER	\$12,200.00
(1) SULFUR DIOXIDE INSTR.	\$9,840.00	(1) SULFUR DIOXIDE INSTR.	\$9,800.00	(1) SULFUR DIOXIDE INSTR.	\$10,840.00
(1) CARBON MONOXIDE INSTF	\$7,860.00	(1) OXIDE NITROGEN INSTR.	\$8,900.00	(1) CARBON MONOXIDE INSTF	\$8,900.00
	\$57,700		\$58,700		\$55,740
PART II		PART II		PART II	
NONRECURRENT EXPENDITURE	\$57,700	NONRECURRENT EXPENDITURE	\$58,700	NONRECURRENT EXPENDITURE	\$55,740
SHARING RATIO: NON-FED.	63.4367%	SHARING RATIO: NON-FED.	63.8754%	SHARING RATIO: NON-FED.	64.4204%
NONFED. NONRECUR. EXPENDI	\$36,603	NONFED. NONRECUR. EXPENDI	\$37,495	NONFED. NONRECUR. EXPENDI	\$35,908
PART III		PART III		PART III	
PROGRAM EXPENDITURE	\$1,681,823	PROGRAM EXPENDITURE	\$1,682,113	PROGRAM EXPENDITURE	\$1,707,878
SHARING RATIO: NON-FED.	63.4367%	SHARING RATIO: NON-FED.	63.8754%	SHARING RATIO: NON-FED.	64.4204%
NON-FEDERAL EXPENDITURE	\$1,066,893	NON-FEDERAL EXPENDITURE	\$1,074,456	NON-FEDERAL EXPENDITURE	\$1,100,222
(NON-FEDERAL NONRECUR)	\$36,603	(NON-FEDERAL NONRECUR)	\$37,495	(NON-FEDERAL NONRECUR)	\$35,908
CEL	\$1,030,290	CEL	\$1,036,962	CEL	\$1,064,314
POTENTIAL CEL SHORTFALL	#REF!	POTENTIAL CEL SHORTFALL	\$6,672	POTENTIAL CEL SHORTFALL	\$27,353
NO SHORTFALL	63.4367%	NO SHORTFALL	63.8754%	NO SHORTFALL	64.4204%

HAMILTON COUNTY AIR QUALITY MANAGEMENT
BUDGET INFORMATION FFY '07

A.	<u>PERSONNEL</u>		1,040,939
B.	<u>FRINGE BENEFITS</u>		292,505
C.	<u>TRAVEL</u>		
	COUNTY VEHICLES	9,272	
	PRIVATE VEHICLES	911	
	OUT OF TOWN	14,845	
			25,028
D.	<u>EQUIPMENT</u>		
	OFFICE FURNITURE	1,876	
	DATA PROCESSING	23,109	
	SULFUR DIOXIDE INSTRUMENT	29,873	
			54,858
E.	<u>SUPPLIES</u>		
	OFFICE	4,287	
	MISCELLANEOUS	214	
	LAB	4,931	
	CHEMICAL	2,680	
	HARDWARE	6,163	
			18,275
F.	<u>CONTRACTUAL</u>		
	COPIERS	1,554	
	MEDICAL MONITORING	1,822	
	MISC TESTING	16,185	
	CUSTODIAL SERVICE	78,568	
	EQUIPMENT REPAIR	2,572	
	ADVERTISING	1,608	
	PRINTING/PUBLISHING	13,720	
	TRAINING	8,093	
	PROSECUTOR	6,967	
			131,089
G.	<u>CONSTRUCTION</u>		- 0 -
H.	<u>OTHER</u>		
	POSTAGE	5,359	
	TELEPHONE	4,770	
	GAS/ELECTRIC	3,215	
	WATER	54	
	SUBSCRIPTIONS	3,162	
	DELIVERY	1,072	
			17,632
I.	<u>TOTAL DIRECT COSTS</u>		1,580,246
J.	<u>INDIRECT COSTS</u>		127,632
K.	<u>TOTAL</u>		\$1,707,878

**Department of Environmental Services
Air Quality Management**

Breakdown of Salaries by Job Titles

(1) Director (65% of annual salary)	\$ 25,744
(1) Air Quality Manager	-0-
(1) Systems Administrator (80% of annual salary)	19,481
(1) Systems Technician (80% of annual salary)	15,459
(1) Operations Coordinator (60% of annual salary)	17,036
(1) Accountant (80% of annual salary)	-0-
(1) Administrative Assistant (80% of annual salary)	17,840
(1) Env. Adm. Coordinator (75% of annual salary)	20,875
(1) Administrative Secretary	20,310
(1) Public Affairs Coordinator (50% of annual salary)	13,537
(1) Public Affairs Specialist	18,152
(1) Public Affairs Specialist (50% of annual salary)	9,077
(1) Monitoring & Analysis Supervisor	39,408
(1) Quality Assurance Coordinator (80% of annual salary)	23,138
(1) Environmental Analysis Coordinator	30,852
(1) Testing Evaluation Coordinator	25,581
(1) Environmental Technician 1	8,192
(3) Environmental Technician 2	64,203
(3) Environmental Technician 2 (80% of annual salary)	51,362
(1) Environmental Technician 1 (80% of annual salary)	12,841
(1) Asbestos Program Specialist	21,680
(1) Permit & Enforcement Section Supervisor	34,662
(4) Permit & Enforcement Area Supervisor	108,656
(2) Environmental Compliance Specialist 1	40,671
(10) Environmental Compliance Specialist 2	231,124
(2) Environmental Compliance Specialist 3	55,180
(1) Gasoline Dispensing Facilities Specialist	17,234
(1) Environmental Permit Technician	18,650
(3.34) Environmental Intern	<u>24,592</u>
Subtotal	\$ 985,537
Bonus & Overtime	55,402
Total	<u>\$1,040,939</u>

Total number of Full-time employees: 42.20

Total number of Part-time employees: 3.34

100% of all the work done by these employees is for the Department of Environmental Services, Air Quality Management. The employees listed on this breakdown are 100% with Air Quality taking out all factors. One factor being that some employees split their time doing work for Air Quality and Solid Waste. So the salary amount for Solid Waste is not in the breakdown for Air Quality's Salaries. So entail, 100% of what is mention above is spent on only Air Quality related issue.

Department of Environmental Services
Air Quality Management

Breakdown of Fringe Benefits

Public Employees Retirement System	\$135,584
Worker's Compensation	6,730
Mandatory Medicare	12,433
Health Care Coverage	135,168
Life Insurance	2,088
EAP	<u>502</u>
Total	<u>\$ 292,505</u>

Retirement System Breakdown and Explanation

Public Employees Retirement System(P.E.R.S.) This retirement planned is given to all new employees of Air Quality Program. The employer must contribute 13.70% of the employee's gross salary towards their retirement. Forty-Five point five-forth(45.54) of our employees participate in P.E.R.S.

Their salaries total with overtime: $\$989,664 \times 13.70\% = \$135,584$

Worker's Compensation

Salaries of eligible individual / 100 x \$0.96153

$\$699,926 / 100 \times \$0.96153 = \$6,730$

Mandatory Medicare

Currently all employees that was hired after 1986 are required to pay into Medicare. Employee's salaries of those required to pay Medicare x 1.43%

$\$869,441 \times 1.43\% = \$12,433$

Health Care Coverage

The following amounts are paid out for each of the following coverage on a monthly basis:

DMO Dental	\$ 1,217.00
New Health	4,589.00
CoChoice Health Plan	4,055.00
Dental Care Plus	<u>1,403.00</u>
Total	$\$11,264.00 \times 12 \text{ Months} = \$135,168$

Life Insurance

All Full-time employee salaries times 0.2%

$\$1,044,000 \times 0.2\% = \$2,088$

Employee Assistance Program (EAP)

All employees x \$0.918 x 12 months

$45.54 \times \$0.918 \times 12 = \502

**Department of Environmental Services
Air Quality Management**

Travel Breakdown

On the pages to follow is a breakdown of travel on a per diem basis. However, please note that listed below are trips requested for 2006 and their approximate breakdown.

Three Individuals attending the State & Local Fall Conference

Lodging	\$ 300.00	
Per Diem	<u>140.00</u>	
Total	\$ 440.00	x 3 = \$1,320

Four trips to State/Local Meetings

Lodging	\$300.00	
Transportation	100.00	
Per Diem	<u>140.00</u>	
Total	\$540.00	x 4 = \$2,160

Three Individuals attending both Spring & Fall "STAPPA/ALAPCOA Membership Meeting"

Registration	\$300.00	
Lodging	400.00	
Transportation	400.00	
Per Diem	<u>175.00</u>	
Total	\$1,275.00	x 3 ind. x 2 trips = \$7,650

Three people for National Conference

Registration	\$ 300.00	
Lodging	363.33	
Transportation	400.00	
Per Diem	<u>175.00</u>	
Total	\$1,238.33	x 3 = \$3,715

*Cost for Maintenance & Repairs	\$ 2,680.00
*Cost for Fuel & Oil Lubricants	\$ 6,592.00
*Cost for Reimbursement for personal vehicles	\$ 911.00

*Federal assistance will not applant any non-Federal funds that would otherwise be available for maintaining the on-going Section 105 supported program.

*There was no interagency or intergovernmental agreements or subagreements.

*The contract with OEPA is still in the process of being agreed upon with OEPA. Once the signed contract has been received and reviewed, we will send a copy out to your department.

*The December 31, 2003 audit is the last audit that was done to our department. The date the audit was submitted to our cognizant Federal Agency was June 24, 2004. The County's cognizant agency is the US Department of Health and Human Services. A copy of the last audit is submitted with this application.

*Contracts duration are done on a yearly basis.

*"Cost for Mileage" represents mileage claimed by departmental personnel for travel of own vehicle for county business. "Cost for Fuel" represents cost for fuel for county vehicles. "Cost for Maintenance and Repairs" represents the cost to maintain and repair all department vehicles.

**Department of Environmental Services
Air Quality Program**

Explanation of Category Breakdown

SUPPLIES

Office and miscellaneous supplies are what is requested in this area. Miscellaneous supplies are items used in the office such as paper towels, toilet tissue, cleaning supplies, and other items which are not considered office supplies.

CONTRACTUAL

Includes the cost for lease of copiers, miscellaneous testing of canisters. It also includes repairs of equipment and air conditioner as well as custodial service for our building. We included prices for printing and training.

INDIRECT COSTS

The County handles Indirect Cost on a Cost Allocation Plan basis. In which, they will bill us for any cost incurred by other departments for projects or services rendered to our department. The County assures me that we are approved for any federal requirements. This amount represents 12.3% of personnel. The total for indirect cost is \$127,552.

County of Hamilton, Ohio

Federal Entity Identification Number 31-6000063

Report on Federal Awards in Accordance With

OMB Circular A-133

Year Ended December 31, 2003

County of Hamilton, Ohio
Index
December 31, 2003

	<u>Page(s)</u>
Report of Independent Auditors on Compliance and on Internal Control over Financial Reporting Based on an Audit of Financial Statements Performed in Accordance with <i>Government Auditing Standards</i>	1-2
Report of Independent Auditors on Compliance with Requirements Applicable to Each Major Program and Internal Control Over Compliance in Accordance with OMB Circular A-133	3-4
Schedule of Expenditures of Federal Awards	5-8
Notes to Schedule of Expenditures of Federal Awards.....	9-10
Schedule Findings and Questioned Costs	
Section I – Summary of Auditor’s Results.....	11-12
Section II – Financial Statement Findings	13
Section III – Federal Award Findings and Questioned Costs	14
Section IV – Summary Schedule of Prior Audit Findings	15

PricewaterhouseCoopers LLP
720 E. Pete Rose Way
Suite 400
Cincinnati, Ohio 45202
Telephone (513) 723 4700
Facsimile (513) 723 4777

Report of Independent Auditors on Compliance and on Internal Control Over Financial Reporting Based on an Audit of Financial Statements Performed in Accordance With *Government Auditing Standards*

To The Honorable County Auditor, County Treasurer
and the Board of County Commissioners
County of Hamilton, Ohio:

We have audited the basic financial statements of the County of Hamilton, Ohio (the "County") as of and for the year ended December 31, 2003, and have issued our report thereon dated June 24, 2004. The financial statements of the Metropolitan Sewer District of Greater Cincinnati ("MSD") fund were audited by other auditors whose report thereon was furnished to us, hence our opinion insofar as it relates to the amounts included for the MSD fund is based solely on the report of the other auditors. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States.

Compliance

As part of obtaining reasonable assurance about whether the County's basic financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts and grants, noncompliance with which could have a direct and material effect on the determination of basic financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit and, accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance that are required to be reported under *Government Auditing Standards*. However, we noted certain immaterial instances of noncompliance that we have reported to management of the County in a separate letter dated June 24, 2004.

Internal Control Over Financial Reporting

In planning and performing our audit, we considered the County's internal control over financial reporting in order to determine our auditing procedures for the purpose of expressing our opinion on the basic financial statements and not to provide assurance on the internal control over financial reporting. Our consideration of the internal control over financial reporting would not necessarily disclose all matters in the internal control over financial reporting that might be material weaknesses. A material weakness is a condition in which the design or operation of one or more of the internal control components does not reduce to a relatively low level the risk that misstatements in amounts

The Honorable County Auditor, County Treasurer
and the Board of County Commissioners
County of Hamilton, Ohio:

that would be material in relation to the basic financial statements being audited may occur and not be detected within a timely period by employees in the normal course of performing their assigned functions. We noted no matters involving the internal control over financial reporting and its operation that we consider to be material weaknesses. However, we noted other matters involving the internal control over financial reporting, which we have reported to management of the County in a separate letter dated June 24, 2004.

This report is intended solely for the information and use of the County's management, the Board of County Commissioners, federal awarding agencies and pass-through entities, and the Auditor of State of Ohio and is not intended to be and should not be used by anyone other than those specified parties.

PriceWaterhouseCoopers LLP

October 19, 2004

**Report of Independent Auditors on Compliance With Requirements
Applicable to Each Major Program and Internal Control Over Compliance
in Accordance With OMB Circular A-133**

To The Honorable County Auditor, County Treasurer,
and the Board of County Commissioners
County of Hamilton, Ohio:

Compliance

We have audited the compliance of the County of Hamilton, Ohio (the "County") with the types of compliance requirements described in the *U.S. Office of Management and Budget (OMB) Circular A-133 Compliance Supplement* that are applicable to each of its major federal programs for the year ended December 31, 2003. The County's major federal programs are identified in the summary of auditor's results section of the accompanying schedule of findings and questioned costs. Compliance with the requirements of laws, regulations, contracts and grants applicable to each of its major federal programs is the responsibility of the County's management. Our responsibility is to express an opinion on the County's compliance based on our audit.

We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the County's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances. We believe that our audit provides a reasonable basis for our opinion. Our audit does not provide a legal determination on the County's compliance with those requirements.

As described in item 2003-1 in the accompanying schedule of findings and questioned costs, the County did not comply with requirements regarding the calculation of tenant's rent and verification and document retention of background checks that are applicable to its Section 8 Housing Choice Voucher Program. Compliance with such requirements is necessary, in our opinion, for the County to comply with the requirements applicable to that program.

In our opinion, except for the noncompliance described in the preceding paragraph, the County complied, in all material respects, with the requirements referred to above that are applicable to each of its major federal programs for the year ended December 31, 2003.

The Honorable County Auditor, County Treasurer
and the Board of County Commissioners
County of Hamilton, Ohio

Internal Control Over Compliance

The management of the County is responsible for establishing and maintaining effective internal control over compliance with requirements of laws, regulations, contracts and grants applicable to federal programs. In planning and performing our audit, we considered the County's internal control over compliance with requirements that could have a direct and material effect on a major federal program in order to determine our auditing procedures for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with OMB Circular A-133.

Our consideration of the internal control over compliance would not necessarily disclose all matters in the internal control that might be material weaknesses. A material weakness is a condition in which the design or operation of one or more of the internal control components does not reduce to a relatively low level the risk that noncompliance with applicable requirements of laws, regulations, contracts and grants that would be material in relation to a major federal program being audited may occur and not be detected within a timely period by employees in the normal course of performing their assigned functions. We noted no matters involving the internal control structure over compliance and its operation that we consider to be material weaknesses.

Schedule of Expenditures of Federal Awards

We have audited the basic financial statements of the County as of and for the year ended December 31, 2003, and have issued our report thereon dated June 24, 2004. Our audit was performed for the purpose of forming an opinion on the basic financial statements taken as a whole. The accompanying schedule of expenditures of federal awards is not presented for purposes of additional analysis as required by OMB Circular A-133 and is not a required part of the basic financial statements and, in our opinion, is fairly stated, in all material respects, in relation to the basic financial statements taken as a whole.

This report is intended solely for the information and use of the County's management, the Board of County Commissioners, federal awarding agencies and pass-through entities, and the Auditor of State of Ohio and is not intended to be and should not be used by anyone other than those specified parties.

PriceWaterhouseCoopers LLP

October 19, 2004

County of Hamilton, Ohio
Schedule of Expenditures of Federal Awards
Year Ended December 31, 2003

<u>Federal Grantor/Pass-Through Grantor/Program or Cluster Title</u>	<u>County of Hamilton Department or Board</u>	<u>Federal CFDA Number</u>	<u>Pass-Through Entity Identifying Number</u>	<u>Federal Expenditures</u>
U.S. Department of Agriculture				
<i>Child Nutrition Cluster:</i>				
Pass-through the Ohio Department of Education:				
School Breakfast Program	Juvenile Court	10.553		\$ 119,541
National School Lunch Program	Juvenile Court	10.555		311,195 (a)
National School Lunch Program	Mental Retardation and Developmental Disabilities (MRDD)	10.555		46,385 (a)
Total U.S. Department of Agriculture				<u>\$ 477,121</u>
U.S. Department of Labor				
Pass-through the Ohio Department of Jobs and Family Services:				
<i>Workforce Investment Act Cluster:</i>				
WIA Adult Program	Jobs and Family Services (JFS)	17.258		\$ 586,533
WIA Youth Activities	JFS	17.259		478,128
WIA Dislocated Workers	JFS	17.260		342,223
Total U.S. Department of Labor				<u>\$ 1,406,884</u>
U.S. Department of Education				
Pass-through the Ohio Department of Rehabilitations and Corrections:				
Adult Education-State Grant Program	Sheriff	84.002	2003-PRSC-0002	\$ 104,333
Title I Program for Neglected and Delinquent Children	Sheriff	84.013	2003T1.ED-0002	44,279
Adult Education	River City Correctional Center	84.unknown	2004-PRSC-013	30,239
Pass-through the Ohio Department of Education:				
Safe and Drug-Free Schools and Communities - State Grants	Alcohol and Drug Addition Services (ADAS)	84.186	COME#WP02Y8	305,000
<i>Special Education Cluster:</i>				
Special Education-Preschool Grants	MRDD	84.173		194,317
Special Education-Grants to States	MRDD	84.027		212,673
Total U.S. Department of Education				<u>\$ 890,841</u>

The accompanying notes are an integral part of this schedule.

County of Hamilton, Ohio
Schedule of Expenditures of Federal Awards
Year Ended December 31, 2003

<u>Federal Grantor/Pass-Through Grantor/Program or Cluster Title</u>	<u>County of Hamilton Department or Board</u>	<u>Federal CFDA Number</u>	<u>Pass-Through Entity Identifying Number</u>	<u>Federal Expenditures</u>
U.S. Department of Health and Human Services				
Direct Program:				
Medical Assistance Program (Title XIX)	MRDD	93.778		\$ 10,129,079 (b)
Pass-through the Ohio Department of Alcohol and Drug Abuse:				
Block Grants for Prevention and Treatment of Substance Abuse Medical Assistance Program (Medicaid; Title XIX)	ADAS	93.959	COME-ADA-WP02YV	5,602,461
	ADAS	93.778		1,345,749 (b)
Pass-through the Ohio Department of Mental Health:				
Projects for Assistance in Transition From Homelessness	Mental Health	93.150		187,350
Social Services Block Grant (Title XX)	Mental Health	93.667		714,567 (c)
Medical Assistance Program (Medicaid; Title XIX)	Mental Health	93.778		16,118,543 (b)
Block Grants for Community Mental Health Services	Mental Health	93.958		772,085
Pass-through the Ohio Department of Mental Retardation and Development Disabilities:				
Social Services Block Grant (Title XX)	MRDD	93.667		798,923 (c)
Medical Assistance Program (Medicaid; Title XIX)	MRDD	93.778		<u>1,476,466 (b)</u>
Total U.S. Department of Health and Human Services				<u>\$ 37,145,223</u>

The accompanying notes are an integral part of this schedule.

County of Hamilton, Ohio
Schedule of Expenditures of Federal Awards
Year Ended December 31, 2003

<u>Federal Grantor/Pass-Through Grantor/Program or Cluster Title</u>	<u>County of Hamilton Department or Board</u>	<u>Federal CFDA Number</u>	<u>Pass-Through Entity Identifying Number</u>	<u>Federal Expenditures</u>
U.S. Department of Housing and Urban Development				
Direct Programs:				
Community Development Block Grants/Entitlement Grants	Community Development	14.218		\$ 3,899,834
Emergency Shelter Grants Program	JFS	14.231		186,058 (d)
Emergency Shelter Grants Program	Community Development	14.231		120,000 (d)
HOME Investment Partnerships Program	Community Development	14.239		1,438,823
Supportive Housing Program	JFS	14.235		125,680
Section 8 Housing Choice Vouchers	Community Development	14.871		<u>19,249,818</u>
Total U.S. Department of Housing and Urban Development				<u>\$ 25,020,213</u>
U.S. Department of Justice				
Direct Programs:				
Local Law Enforcement Block Grants Program	Municipal Court's Pre-Trial Services	16.592		\$ 446,268
Public Safety Partnership and Community Policing Grants ("COPS" Grants)	Sheriff	16.710		40,136
Pass-through the Ohio Attorney General:				
Crime Victim Assistance	Prosecuting Attorney	16.575	2003VADSCE424	135,104
Crime Laboratory Improvement	Coroner	16.564	2003-DN-BX-K104	6,429
Pass-through the Ohio Department of Youth Services:				
Juvenile Accountability Incentive Block Grants	Juvenile Court	16.523		504,834
Pass-through the Office of Criminal Justice Services:				
National Institute of Justice Research, Evaluation, and Development Project Grants	Coroner	16.560	2003-PC-NFS-7803	10,314
Byrne Formula Grant Program	River City Correctional Center	16.579	2002-RS-SAT-106	<u>38,144</u>
Total U.S. Department of Justice				<u>\$ 1,181,229</u>

The accompanying notes are an integral part of this schedule.

County of Hamilton, Ohio
Schedule of Expenditures of Federal Awards
Year Ended December 31, 2003

<u>Federal Grantor/Pass-Through Grantor/Program or Cluster Title</u>	<u>County of Hamilton Department or Board</u>	<u>Federal CFDA Number</u>	<u>Pass-Through Entity Identifying Number</u>	<u>Federal Expenditures</u>
U.S. Department of Transportation Pass-through the Ohio Environmental of Public Safety: Highway Planning and Construction	Engineer	20.205	3250	\$ 2,101,267
U.S. Environmental Protection Agency Direct Programs: Air Pollution Control Program Support	Environmental Services	66.001		\$ 593,773
Surveys, Studies, Investigations and Special Purpose Grants	Environmental Services	66.606		418,753
Total U.S. Environmental Protection Agency				\$ 1,012,526
U.S. Department of Homeland Security Pass-through the Ohio Emergency Management Agency: State Domestic Preparedness Equipment Support Program	Emergency Mgmt. Agency	97.004		\$ 89,362
State and Local All Hazards Emergency Operations Plans	Emergency Mgmt. Agency	97.051		106,662
Pre-Disaster Mitigation	Emergency Mgmt. Agency	97.047		51,394
Pass-through the United Way of America: Emergency Food and Shelter National Board Program	JFS	97.024		18,270
Total U.S. Department of Homeland Security				\$ 265,688
U.S. Department of Energy Direct Program: State Energy Program	Environmental Services	81.041		\$ 29,144
Total Expenditures of Federal Awards				\$ 69,530,136

The accompanying notes are an integral part of this schedule.

County of Hamilton, Ohio
Notes to Schedule of Expenditures of Federal Awards
Year Ended December 31, 2003

1. Summary of Significant Accounting Policies:

- a. **Basis of Presentation:** The accompanying Schedule of Expenditures of Federal Awards includes the federal grant activity of the County of Hamilton, Ohio (the "County") recorded on the cash basis of accounting. The information in this schedule is presented in accordance with the requirements of OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*, hence some amounts may differ from amounts used in the preparation of the basic financial statements. Also, certain information may not agree with other federal award reports that the County submits to federal granting agencies because, among other reasons, the other federal award reports may be prepared for a different fiscal period or include cumulative data rather than data for the current year only.
- b. **Subrecipients:** Certain funds are passed through to subgrantee organizations by the County. Expenditures incurred by the subgrantees and reimbursed by the County are presented in the Schedule of Expenditures of Federal Awards. The County is also the subrecipient of federal funds that have been subject to testing and are reported as expenditures and listed as federal pass-through funds.
- c. **Basis for Valuing Noncash Commodities:** Distributions of noncash commodities are reported as expenditures in the amount of the estimated fair value of those commodities when they were received.

2. CFDA (Catalog of Federal Domestic Assistance) Number Not Available:

The River City Correctional Center is the recipient of a grant for which a CFDA number is not available. This grant has been included in the Schedule of Expenditures of Federal Awards.

3. Total Expenditures by Federal CFDA Number:

Total expenditures under each federal grant is disclosed within the accompanying Schedule of Expenditures of Federal Awards for all but the following CFDA numbers:

(a)	10.555	\$ 357,580
(b)	93.778	29,069,837
(c)	93.667	1,513,490
(d)	14.231	306,058

County of Hamilton, Ohio
Notes to Schedule of Expenditures of Federal Awards
Year Ended December 31, 2003

4. Clusters of Federal Programs:

Certain federal programs with different CFDA numbers are defined as a cluster of programs because they are closely related and share common compliance requirements. In 2003, the County expended money under more than one federal program in each of the following clusters:

	Total Federal <u>Expenditures</u>
Child Nutrition Cluster	\$ 477,121
Workforce Investment Act Cluster	1,406,884
Special Education Cluster	406,990

County of Hamilton, Ohio
Schedule of Findings and Questioned Costs
Section I – Summary of Auditor’s Results
Year Ended December 31, 2003

Financial Statements

Type of auditor’s report issued: Unqualified

Internal control over financing reporting:

- Material weaknesses identified? No
- Reportable conditions identified that are not considered to be material weaknesses? None reported

Noncompliance material to financial statements noted? No

Federal Awards

Internal control over major programs:

- Material weaknesses identified? No
- Reportable conditions identified that are not considered to be material weaknesses? No

Type of auditor’s report issued on compliance for major programs: Unqualified except for Section 8 Housing Choice Voucher Program which was disclosed

Any audit findings disclosed that are required to be reported in accordance with Section 510 (a) of Circular A-133? Yes

County of Hamilton, Ohio
 Schedule of Findings and Questioned Costs
 Section I – Summary of Auditor’s Results
 Year Ended December 31, 2003

Programs Audited as Major

<u>Name of Federal Program or Cluster</u>	<u>CFDA Numbers</u>
Block Grants for Prevention and Treatment of Substance Abuse	93.959
Community Development Block Grant/Entitlement Grant	14.218
HOME Investment Partnership Program	14.239
Section 8 Housing Choice Vouchers	14.871
Local Law Enforcement Block Grants Program	16.592
Highway Planning and Construction	20.205

Dollar threshold used to distinguish between type A
 and type B programs: \$2,085,904
 Auditee qualified as low-risk auditee? Yes

County of Hamilton, Ohio
Schedule of Findings and Questioned Costs
Section II – Financial Statement Findings
Year Ended December 31, 2003

No items noted.

County of Hamilton, Ohio
Schedule of Findings and Questioned Costs
Section III – Federal Awards Findings and Questioned Costs
Year Ended December 31, 2003

Finding 2003-1: Improve the controls over tenant rent calculations, as well as file documentation and retention.

Condition

Grant 14.871, Section 8 Housing Choice Voucher Program, was reviewed by the U.S. Department of Housing and Urban Development initially in October 2002 and re-reviewed in March 2004. The re-review concluded that the Department of Community Development did not satisfactorily implement all the corrective actions contained in the approved Corrective Action Plan. Therefore, HUD selected a new sample of 31 participant files and found that 26 files had systematic errors. Under PIH Notice 2003-34, HUD is withholding ten percent of the monthly scheduled administrative fees until the Department has fully implemented the outstanding actions.

The public housing agency must calculate the correct rent payment for each tenant; however, during our testing, it was noted that one tenant out of the thirty had his/her rent payment incorrectly calculated, resulting in the tenant overpaying \$12 per month.

Criteria

The computation of the correct tenant rent amount as well as the performance and retention of the appropriate background checks must be properly performed.

Cause/Effect

Already HUD is withholding ten percent of the monthly administrative fees.

Recommendation

We recommend that the County implement all the corrective actions approved through the HUD review and verify on a go-forward basis that the proper controls are in place to eliminate such errors.

Management's Action Plan

Management has now implemented procedures, forms, and training with staff to fully comply with the Corrective Action Plan previously submitted to HUD, as well as a new Plan designed to have more frequent file reviews and tracking forms to monitor staff compliance.

Please note that the number of files reviewed by HUD included many where rent calculations were correct, but other procedures mandated by HUD were not followed explicitly. The number of files where the rent calculation was in error were 11 out of the 32 reviewed.

An appeal is being submitted to HUD to request cancellation of the sanction, as this office is now in compliance.

County of Hamilton, Ohio
Summary Schedule of Prior Audit Findings
Year Ended December 31, 2003

2001-1: Grant 93.959, Block Grants for Prevention and Treatment of Substance Abuse, contained a sub-recipient audit requirement that was not complied with by the County's ADAS department in the prior year. For 2003, ADAS management obtained all sub-recipient's annual audit reports that met the federal threshold requirement resulting in full compliance with the grant.

County of Hamilton, Ohio
Report on Federal Financial Assistance
In Accordance With OMB Circular A-133
Year Ended December 31, 2003

ADDITIONAL INFORMATION

Lead Auditor: Richard C. Stover

Telephone Number: (513) 768-4592

Our audits were performed between December 2003 and June 2004 at the County's facilities as follows:

<u>Location</u>	<u>Description of Facility</u>	<u>Dates Visited</u>
Cincinnati	County of Hamilton - Administrative Building	December 2003 - June 2004

MAXIMUS
Allocated Costs By Department

I Service Departments	COMMUNITY DEVEL	SECTION 8 HSING	METRO SEWER DIST	ENVIRONMNTL SVCS	COMMISS OFFICE	COMMUNICATNS CTR	BLDG COMMISS
DING CAPITAL CHARGES	0.00	0.00	0.00	0.00	15,902.73	0.00	5,491.95
IPMENT CAPITAL CHARGES	0.00	0.00	0.00	0.00	0.00	0.00	0.00
PROPERTY/LIABILITY	0.00	0.00	0.00	1,352.30	1,348.45	29,603.91	465.68
ECOMMUNICATIONS	7,027.77	7,207.28	0.00	34,649.21	11,903.78	749.03	20,649.21
JNTY FACILITIES	0.00	0.00	0.00	161,197.65	67,741.75	43,132.66	23,394.40
BUILDING MAINTENANCE	0.00	0.00	0.00	0.00	0.00	0.00	0.00
MINISTRATOR'S OFFICE	3,331.72	3,061.90	35,798.93	13,463.37	2,611.62	17,245.73	6,619.12
RSONNEL DEPARTMENT	3,949.82	6,268.27	0.00	35,511.32	11,398.78	46,634.68	15,043.01
MINISTRATIVE SERVICES	23,598.47	18,435.60	27,087.77	24,294.70	9,138.42	21,830.85	9,527.77
RCHASING DEPARTMENT	803.71	127.66	1.64	9,348.77	978.43	3,456.44	2,545.73
ROFILM/RECORDS/MAIL	190.65	3,514.51	0.00	3,426.39	18,721.27	0.00	12,018.29
MANAGEMENT SYSTEMS	94.67	205.13	0.00	899.42	173.57	1,151.90	441.82
SK MANAGEMENT	178.31	382.01	0.00	1,674.95	323.24	2,145.12	0.00
ROSECUTING ATTORNEY	0.00	0.00	102,348.35	0.00	0.00	0.00	0.00
OUNTY TREASURER	7,077.65	32,261.46	21.47	8,483.97	273.87	2,173.28	9,957.96
OUNTY AUDITOR	11,923.20	118,368.77	543.06	22,964.03	1,756.15	11,881.13	7,093.21
Total Allocated	58,173.97	189,832.59	165,799.22	317,268.08	142,272.08	180,004.73	113,248.15
oll Forward	0.00	0.00	0.00	0.00	0.00	0.00	0.00
ost With Roll Forward	58,173.97	189,832.59	165,799.22	317,268.08	142,272.08	180,004.73	113,248.15
djustments	0.00	0.00	0.00	0.00	0.00	0.00	0.00
roposed Costs	58,173.97	189,832.59	165,799.22	317,268.08	142,272.08	180,004.73	113,248.15

HCES

FFY07 Projected Program Accomplishments

The Hamilton County Environmental Services' (HCES) mission statement: To protect, preserve and enhance the environmental quality of our community.

The Clean Air Act Amendments (CAAA) of 1990 required states to develop and implement a number of regulatory programs. HCES in partnership with Ohio EPA will develop goals to ensure the long term success of the state's air program. These goals include attaining the National Ambient Air Quality Standards (NAAQS), timely and efficient issuance of permits, reducing air toxics, and providing quality service. The goals and strategies of HCES are also aligned (from a local perspective) to U.S. EPA's regional and national priorities. The below goals encompass the many activities performed by HCES, including activities which are not funded by U.S. EPA 105 grant dollars.

The goals of HCES are:

1. **Provide Quality Service** - HCES - Air Quality Management Division (AQMD) strives to meet all Hamilton County's principles of customer service, and implement its quality management systems, as described in the quality management plan, on an ongoing basis. To measure the effectiveness of the services and programs offered to the public, the AQMD mails surveys cards to the callers of the 24-hour Air Quality Complaint Hotline. On a scale of one to five (one being "poor", five being "excellent"), the AQMD scores, on average, an excellent in the service categories relating to promptness, courteous treatment, and knowledge of the inspector.
2. **Attain and Maintain the National Air Quality Standards** - Attain and maintain the national air quality standards for Southwestern Ohio. HCES will work with U.S. EPA and Ohio EPA to attain and maintain new or revised national ambient air quality standards in Southwestern Ohio's four counties by 2010 or within the time frame specified by U.S. EPA.
3. **Monitor Air Quality** - Operate a comprehensive air quality monitoring network which (a) provides timely monitoring data, (b) ensures that 100% of the monitors meet the U.S. EPA standards for data capture and precision accuracy, and (c) verifies data when violations are measured and assesses whether the readings were accurate.
4. **Timely Enforcement** - HCES through both local and state laws will implement a fair and timely enforcement program. Support for state and federal enforcement actions will meet all established time lines.
5. **Help Achieve Compliance** - Monitor compliance of high priority facilities (Title V permits, FESOPs and synthetic minor PTIs). Through technical assistance, inspections, and appropriate enforcement actions, help ensure at least 95% of the Title V facilities will maintain substantial compliance (i.e., no emission or control requirement violations) with air regulations and permit requirements. Also, inspect 100% of Gasoline Dispensing Facility (GDF) with Stage II controls.
6. **Timely and Efficient Permit Issuance** - Provide for the processing of all permit actions on a timely basis, including (a) renewal applications for Title V permits, (b) assist Ohio EPA by providing Ohio EPA significant Title V permit modifications within a reasonable amount of time to meet Ohio EPA's 9 month criteria after receiving a complete application, (c) addressing all Permits-to-Install to Ohio EPA within 45 days, and (d) forwarding all State Permits-to-Operate as resources allow.
7. **Improve Use of Information Technology** - Continually improve HCES' use of information technology by expanding web-based information for citizens and HCES' technical resources

for industries (including regulations, technical guidance, permitting status, and environmental data). HCES will also continually strive to keep up to date with current technologies in order to provide information to the public in the most common and current formats through the completion of an annual comprehensive analysis of the hardware, and software used. Wireless modems have also been installed on all ambient air monitors for faster downloading of data and enhancement of ozone and PM mapping. HCES will continue to electronically scan all incoming air permit documents with an ultimate goal of electronic public access to air permits.

8. **On-going Outreach and Education Programs** - HCES recognizes that communication, education, and outreach are key components of an efficient and effective program. Through public awareness and participation in HCES activities and issues, the program goals will be achieved. HCES will continue to identify creative and cost/time-efficient methods of communicating the program's mission to the Southwest Ohio region. HCES will roll-out an anti-idling campaign for southwest Ohio in late fall of 2006. We will continue to work with area colleges and universities to provide technical assistance and curriculum development for Air Quality courses.
9. **Complaint Response Program** - HCES will respond in a timely manner to all air quality complaints as outlined in the Department's Standard Operating Procedure (AIR-PE-O.I.No.6). HCES will continue to operate a 24-hour complaint response program for complaints received after normal business hours.

Objective 1.1 Healthier Outdoor Air - Through 2010, working with partners, protect human health and the environment by attaining and maintaining health-based air-quality standards and reducing the risk from toxic air pollutants.

Sub-objectives	Strategic Targets	Commitments
<p>Sub-objective 1.1.1: More People Breathing Cleaner Air. By 2010, working with partners, improve air quality to healthy levels for 39 percent of the people who live in areas where the air does not meet new national standards for fine particles in 2001 and for 60 percent who live in areas not meeting new national standards for 8-hour ozone in 2001.^{2,3} While some areas may not reach attainment of these new standards because of air pollutant concentrations that sometimes exceed the allowable levels, air quality will improve for an additional 27 percent of the people who live in areas not meeting new standards for 8-hour ozone in 2001. Maintain attainment status for the 123.7 million people who had healthy air for the criteria pollutants in 2001.</p>	<ul style="list-style-type: none"> • By 2010, reduce stationary source emissions of sulfur dioxide by 6.7 million tons from the 2000 level of 11.2 million tons, and by 2008, reduce stationary source emissions of nitrogen oxides by 3 million tons from the 2000 level of 5.1 million tons. By 2010, reduce mobile source emissions of nitrogen oxides by 3.4 million tons from the 2000 level of 11.8 million tons; volatile organic compounds by 1.7 million tons from the 2000 level of 7.7 million tons; and fine particles by 122,400 tons from the 2000 level of 510,550 tons. 	<p><u>Administration</u></p> <ol style="list-style-type: none"> 1) HCES will negotiate with U.S. EPA and the Ohio EPA in submitting a final grant application. 2) HCES will submit a comprehensive end-of-the-year progress report by November 1, 2007. 3) HCES will submit a final 2006 Financial Status Report by December 31, 2006 and certify that CEL is met. 4) HCES will implement MBE, WBE, and EEO. 5) HCES will submit an FY 2008 Section 105 Application by August 1, 2007 if the final national program guidance is available from U.S. EPA by July 1, 2007. HCES will continue to work with U.S. EPA to develop a workplan that includes U.S. EPA's goals, as well as outputs and outcomes. 6) HCES will submit the final FY 2007 Financial Status Report (FSR) or extension request will be submitted by December 31, 2007. 7) HCES will not supplant any non-Federal funds that would otherwise be available for maintaining the ongoing Section 105 supported program. 8) HCES will work with U.S. EPA to identify training which U.S. EPA may conduct in Ohio for Ohio EPA and LAAs. Ohio EPA, in conjunction with OLAPCOA, will coordinate this training when scheduled. <p><u>Public Information and Outreach</u></p> <ol style="list-style-type: none"> 1) HCES provide awareness efforts through the dissemination of numerous publications, including two newsletters, an annual report, and an array of topic-specific print pieces. HCES also involves the public in air quality issues through special events, speaking engagements, and website development. The local media is kept informed through press releases, articles, and interviews. Additionally, HCES provides air quality education assistance to schools and teachers in the region through workshops, presentations, assemblies, and a teacher-specific newsletter.

		<p>2) HCES will continue to provide the Air Quality Index (AQI) and a daily report on pollen and mold through a public phone hotline, local media, and HCES website, to area residents.</p> <p>3) HCES will work with local Meteorologists to provide smog alert forecasts for the four county southwestern Ohio area.</p> <p>4) Participate in U.S. EPA enviroflash program. Allowing instant Air Quality updates via email or cell phone.</p>
<p>Sub-objective 1.1.1. More People Breathing Cleaner Air. By 2010, working with partners, improve air quality to healthy levels for 39 percent of the people who live in areas where the air does not meet new national standards for fine particles in 2001 and for 60 percent who live in areas not meeting new national standards for 8-hour ozone in 2001.2,3 While some areas may not reach attainment of these new standards because of air pollutant concentrations that sometimes exceed the allowable levels, air quality will improve for an additional 27 percent of the people who live in areas not meeting new standards for 8- hour ozone in 2001. Maintain attainment status for the 123.7 million people who had healthy air for the criteria pollutants in 2001.</p>	<ul style="list-style-type: none"> By 2010, reduce stationary source emissions of sulfur dioxide by 6.7 million tons from the 2000 level of 11.2 million tons, and by 2008, reduce stationary source emissions of nitrogen oxides by 3 million tons from the 2000 level of 5.1 million tons. By 2010, reduce mobile source emissions of nitrogen oxides by 3.4 million tons from the 2000 level of 11.8 million tons; volatile organic compounds by 1.7 million tons from the 2000 level of 7.7 million tons; and fine particles by 122,400 tons from the 2000 level of 510,560 tons. 	<p>Ozone SIP Development</p> <ol style="list-style-type: none"> As resources allow, work with Ohio EPA to assess where voluntary ozone control measures make sense and commit to work with U.S. EPA, communities, and sources to implement voluntary control measures. Voluntary projects may include: <ol style="list-style-type: none"> Support the use of biodiesel and E85 fuels by city, municipality and township fleets. Facilitate contacts between government fleets and fuel distribution; HCES will encourage school districts to develop and implement anti-idling policies and diesel retrofits to reduce school children's exposure to diesel emissions; we also will encourage the installation of diesel retrofits on both on-road and off-road diesel trucks and equipment used by the engineering and public works departments in all four Ohio counties we have responsibility for; Potential emission reductions from diesel fleets through the use of contract language for applicable construction projects and school bus contracts; and Identifying other voluntary programs besides diesel work for early reductions for ozone and PM as well as air toxics. HCES will work with Ohio EPA and OKI (our regional transportation organization) through the Regional Ozone Coalition and Clean Air Consortium to develop programs, volunteer and regulatory; necessary to ensure the Cincinnati area reaches attainment for both ozone and PM 2.5. HCES will assist OKI, through the Regional Ozone Coalition, in developing Section 110 SIPs for the 8-hour ozone standard and PM 2.5 standards in accordance with U.S. EPA's implementation schedule. HCES will stay informed of the activities of the U.S. EPA and NACAA workgroups.

<p>Sub-objective 1.1.1: More People Breathing Cleaner Air. By 2010, working with partners, improve air quality to healthy levels for 39 percent of the people who live in areas where the air does not meet new national standards for fine particles in 2001 and for 60 percent who live in areas not meeting new national standards for 8-hour ozone in 2001.2,3 While some areas may not reach attainment of these new standards because of air pollutant concentrations that sometimes exceed the allowable levels, air quality will improve for an additional 27 percent of the people who live in areas not meeting new standards for 8- hour ozone in 2001. Maintain attainment status for the 123.7 million people who had healthy air for the criteria pollutants in 2001.</p>	<ul style="list-style-type: none"> • By 2010, reduce stationary source emissions of sulfur dioxide by 6.7 million tons from the 2000 level of 11.2 million tons, and by 2008, reduce stationary source emissions of nitrogen oxides by 3 million tons from the 2000 level of 5.1 million tons. By 2010, reduce mobile source emissions of nitrogen oxides by 3.4 million tons from the 2000 level of 11.8 million tons; volatile organic compounds by 1.7 million tons from the 2000 level of 7.7 million tons; and fine particles by 122,400 tons from the 2000 level of 510,550 tons. 	<p><u>PM-10 and PM-2.5 SIP Development</u></p> <ol style="list-style-type: none"> 1. HCES will continue to implement the standards, plans and strategies and take action to preserve air quality improvements already made. 2. HCES will review the plan to address the new fine particulate standard in accordance with U.S. EPA's implementation plan. HCES will work with Ohio EPA to assess where voluntary PM2.5 controls measures make sense and work with EPA, communities, and sources to implement voluntary control measures. <p><u>Mobile Source Program</u></p> <ol style="list-style-type: none"> 1. Anti-tampering law inspections and enforcement activities will continue. A minimum of 2 per year will be initiated.
<p>Sub-objective 1.1.1: More People Breathing Cleaner Air. By 2010, working with partners, improve air quality to healthy levels for 39 percent of the people who live in areas where the air does not meet new national standards for fine particles in 2001 and for 60 percent who live in areas not meeting new national standards for 8-hour ozone in 2001.2,3 While some areas may not reach attainment of these new standards because of air pollutant concentrations that sometimes exceed the allowable levels, air quality will improve for an additional 27 percent of the people who live in areas not meeting new standards for 8- hour ozone in 2001. Maintain attainment status for the 123.7 million people who had healthy air for the criteria</p>	<ul style="list-style-type: none"> • By 2010, reduce stationary source emissions of sulfur dioxide by 6.7 million tons from the 2000 level of 11.2 million tons, and by 2008, reduce stationary source emissions of nitrogen oxides by 3 million tons from the 2000 level of 5.1 million tons. By 2010, reduce mobile source emissions of nitrogen oxides by 3.4 million tons from the 2000 level of 11.8 million tons; volatile organic compounds by 1.7 million tons from the 2000 level of 7.7 million tons; and fine particles by 122,400 tons from the 2000 level of 510,550 tons. 	<p><u>Regional Haze</u></p> <ol style="list-style-type: none"> 1. Ohio EPA will work with the Midwest RPO to begin to develop the technical information (modeling and emission inventory) for a SIP for regional haze. HCES will participate in the policy and technical committees as appropriate and continue to operate Midwest Hazecam, until the end of the year. 2. Funding cuts by U.S. EPA to LADCO has put operation of the Hazecam in jeopardy. We are able to fund its operation through 2006 but after that no funding has been identified to continue its operation.

pollutants in 2001.		
<p>Sub-objective 1.1.1: More People Breathing Cleaner Air. By 2010, working with partners, improve air quality to healthy levels for 39 percent of the people who live in areas where the air does not meet new national standards for fine particles in 2001 and for 60 percent who live in areas not meeting new national standards for 8-hour ozone in 2001.2,3 While some areas may not reach attainment of these new standards because of air pollutant concentrations that sometimes exceed the allowable levels, air quality will improve for an additional 27 percent of the people who live in areas not meeting new standards for 8- hour ozone in 2001. Maintain attainment status for the 123.7 million people who had healthy air for the criteria pollutants in 2001.</p>	<ul style="list-style-type: none"> • By 2010, reduce stationary source emissions of sulfur dioxide by 6.7 million tons from the 2000 level of 11.2 million tons, and by 2008, reduce stationary source emissions of nitrogen oxides by 3 million tons from the 2000 level of 5.1 million tons. By 2010, reduce mobile source emissions of nitrogen oxides by 3.4 million tons from the 2000 level of 11.8 million tons; volatile organic compounds by 1.7 million tons from the 2000 level of 7.7 million tons; and fine particles by 122,400 tons from the 2000 level of 510,550 tons. 	<p><u>New Source Review PSD/NSPS/NESHAP</u></p> <ol style="list-style-type: none"> 1. Prepare and submit at least 90% of permit to install recommendations within 45 calendar days of the determination that the application is preliminarily complete. 2. HCES will recommend a 30-day public comment period for all major sources, major modifications, netting sources, synthetic minors and controversial sources and sources covered by the NESHAP's (except those facilities covered under the dry-cleaning, the hard and decorative chrome electroplating and chromium anodizing tanks, the halogenated solvent cleaning, and the printing and publishing industry MACTs). 3. HCES will assist Ohio EPA in reporting, in a timely manner, any determinations to the Best Available Control Technology/Lowest Achievable Emission Rate Clearinghouse. 4. HCES will participate in monthly permitting conference calls, so the Agency remains updated on important permitting issues 5. Where demand is sufficient to justify a full class, Ohio EPA will conduct annual training for new permit staff. Ohio EPA will notify U.S. EPA of any scheduled training to allow for U.S. EPA's participation. Ohio EPA will notify U.S. EPA of any need for training. HCES will send the appropriate personnel to any permit training provided by the Ohio EPA.

<p>Sub-objective 1.1.1: More People Breathing Cleaner Air. By 2010, working with partners, improve air quality to healthy levels for 39 percent of the people who live in areas where the air does not meet new national standards for fine particles in 2001 and for 60 percent who live in areas not meeting new national standards for 8-hour ozone in 2001.^{2,3} While some areas may not reach attainment of these new standards because of air pollutant concentrations that sometimes exceed the allowable levels, air quality will improve for an additional 27 percent of the people who live in areas not meeting new standards for 8- hour ozone in 2001. Maintain attainment status for the 123.7 million people who had healthy air for the criteria pollutants in 2001.</p>	<ul style="list-style-type: none"> • By 2010, reduce stationary source emissions of sulfur dioxide by 6.7 million tons from the 2000 level of 11.2 million tons, and by 2008, reduce stationary source emissions of nitrogen oxides by 3 million tons from the 2000 level of 5.1 million tons. By 2010, reduce mobile source emissions of nitrogen oxides by 3.4 million tons from the 2000 level of 11.8 million tons; volatile organic compounds by 1.7 million tons from the 2000 level of 7.7 million tons; and fine particles by 122,400 tons from the 2000 level of 510,550 tons. 	<p>Monitoring Operation of Ambient Air Monitoring Network</p> <ol style="list-style-type: none"> 1. HCES operates a comprehensive air quality monitoring network in accordance with the regulations specified in Title 40 Code of Federal Regulations (CFR) Part 58, as well as with U.S. EPA guidelines. 2. HCES will conduct add'l air monitoring as needed to determine the level of pollutants in the ambient air. 3. Appendix E, a list of monitoring sites, is revised each year and becomes a part of our grant package. Copies will be sent to Ohio EPA and to Region 5 by October 31, 2006; this will aid the Regional Office in their review as well as provide comments to the Local Agency. 4. Changes to the network are, and will be, sent to Ohio EPA and Region V as they occur. HCES will complete all phases of a network review regarding criteria pollutants and fine Particulate Matter (PM_{2.5}). The State will submit their review to U.S. EPA annually by December 1. 5. Monitoring equipment specifications are required to be sent to Region 5 for review before they are used. 6. HCES publishes an annual air quality data and progress report within eight months of the end of the calendar year. 7. HCES will keep Ohio EPA and Region 5 informed of any network changes within the four county area of Hamilton, Butler, Clermont, and Warren counties in Ohio. 8. HCES will revise annually Appendix E, a list of monitoring sites & submit to both Ohio EPA and Region 5. 9. The annual monitor age survey will be done this winter with a draft available by February. The results of the survey will be used to determine which monitors HCES will be required to purchase in the next contract year. A copy regarding the number and type of monitors to be purchased during the contract year will also be forwarded to Region 5. 10. HCES will continue to enter ozone and PM air quality forecasting data to the U.S. EPA's AirNow program. 11. HCES has installed and will begin operation of the trace gas monitoring system for NCore. On August 1, 2006, first complete year will be 2007. Trace Gas Carbon Monoxide, Sulfur Dioxide, and Oxides of Nitrogen will be generating data.
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<p>Sub-objective 1.1.1: More People Breathing Cleaner Air By 2010, working with partners, improve air quality to healthy levels for 39 percent of the people who live in areas where the air does not meet new national standards for fine particles in 2001 and for 60 percent who live in areas not meeting new national standards for 8-hour ozone in 2001.2,3 While some areas may not reach attainment of these new standards because of air pollutant concentrations that sometimes exceed the allowable levels, air quality will improve for an additional 27 percent of the people who live in areas not meeting new standards for 8- hour ozone in 2001. Maintain attainment status for the 123.7 million people who had healthy air for the criteria pollutants in 2001.</p>	<ul style="list-style-type: none"> • By 2010, reduce stationary source emissions of sulfur dioxide by 6.7 million tons from the 2000 level of 11.2 million tons, and by 2008, reduce stationary source emissions of nitrogen oxides by 3 million tons from the 2000 level of 5.1 million tons. By 2010, reduce mobile source emissions of nitrogen oxides by 3.4 million tons from the 2000 level of 11.8 million tons; volatile organic compounds by 1.7 million tons from the 2000 level of 7.7 million tons; and fine particles by 122,400 tons from the 2000 level of 510,550 tons. 	<p>Data Management</p> <ol style="list-style-type: none"> 1. HCES will continue to track data completeness on a monthly basis (the minimum data capture is 75%). Our goal is 85%. 2. HCES will report exceedances of the National Ambient Air Quality Standards (NAAQS) to Ohio EPA on an as-they-occur basis. 3. HCES will submit validated, edited ambient monitoring data to Ohio EPA within 45 days of the conclusion of the quarter. 4. HCES will report exceedances of the national ambient air quality standards (NAAQS) to Ohio EPA and Region 5 on a quarterly basis. Ozone and PM 2.5 exceedances will be reported on an as-they-occur basis to both OEPA and Region 5. 5. HCES will assist Ohio EPA in developing the annual SLAMS Report. <p>Quality Assurance</p> <ol style="list-style-type: none"> 1. HCES will participate in AREAL and Region 5 interlaboratory surveys for all criteria pollutants that are offered. HCES will participate in the National Performance Audit Program and the Performance Evaluation Program for all criteria pollutants that are offered. HCDOES will participate with Ohio EPA Audit Program. 2. HCES will participate in the Ohio EPA ozone certification/verification program for our ozone photometer.
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<p>Sub-objective 1.1.1: More People Breathing Cleaner Air. By 2010, working with partners, improve air quality to healthy levels for 39 percent of the people who live in areas where the air does not meet new national standards for fine particles in 2001 and for 60 percent who live in areas not meeting new national standards for 8-hour ozone in 2001.2,3 While some areas may not reach attainment of these new standards because of air pollutant concentrations that sometimes exceed the allowable levels, air quality will improve for an additional 27 percent of the people who live in areas not meeting new standards for 8- hour ozone in 2001. Maintain attainment status for the 123.7 million people who had healthy air for the criteria pollutants in 2001.</p>	<ul style="list-style-type: none"> • By 2010, reduce stationary source emissions of sulfur dioxide by 6.7 million tons from the 2000 level of 11.2 million tons, and by 2008, reduce stationary source emissions of nitrogen oxides by 3 million tons from the 2000 level of 5.1 million tons. By 2010, reduce mobile source emissions of nitrogen oxides by 3.4 million tons from the 2000 level of 11.8 million tons; volatile organic compounds by 1.7 million tons from the 2000 level of 7.7 million tons; and fine particles by 122,400 tons from the 2000 level of 510,550 tons. 	<ol style="list-style-type: none"> 3. HCES will conduct performance audits as per 40 CFR Part 58. 4. HCES will ensure that all quality assurance calibration equipment is up-to-date, calibrated, certified to NIST standards and in good working order. HCES will ensure that all quality assurance calibration equipment is replaced as age or lack of accuracy or performance requires it. 5. HCES will ensure that all ozone calibration Standard Operating Procedures (SOP's) follow 40 CFR Part 58. 6. HCES will continue to monitor and maintain sufficient monitoring staffing levels at our offices. 7. HCES commits to participate in the Performance Evaluation Program (PEP) for accuracy and bias for PM2.5 offered by the Office of Air Quality Performance Standards and the Region 5 inter-laboratory surveys for all criteria pollutants. 8. HCES will ensure that all ambient monitoring sites have the required amount of precision and accuracy checks in accordance with 40 CFR Part 58, Appendix A. 9. HCES will ensure precision and accuracy data for criteria pollutants are submitted to Ohio EPA as stipulated in 40 CFR Part 57.35 10. HCES will work to obtain the precision and accuracy goals for 95% probability limits as follows: ± 15.0 for accuracy for manual methods (PM10, TSP, Pb), ± 15.0 for precision for all parameters and ± 20.0 for continuous methods. <p><u>Emissions Inventory</u></p> <ol style="list-style-type: none"> 1. HCES will continue to compile an annual Emission inventory for criteria pollutants and toxics for southwestern Ohio. <p><u>Complaint Response</u></p> <ol style="list-style-type: none"> 1. HCES will continue to provide a 24-hour complaint response program to the citizens of Butler, Clermont, Hamilton, and Warren Counties. This program provides a timely response to air quality complaints. 2. HCES will analyze samples taken during complaint investigations to determine the composition of the samples. This service is also provided to other Local Air Agencies throughout the State.
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<p>Sub-objective 1.1.2: Reduced Risk from Toxic Air Pollutants. By 2010, working with partners, reduce air toxics emissions and implement area specific approaches to reduce the risk to public health and the environment from toxic air pollutants.</p>	<ul style="list-style-type: none"> • By 2007, through maximum achievable control technology (MACT) standards, reduce air toxics emissions from major stationary sources by 1.7 million tons from the 1993 level of 2.7 million tons. • By 2010, through the President's Clear Skies legislation, reduce mercury emissions from electric-generating units by 22 tons from the 2000 level of 48 tons • By 2010, through federal standards, reduce air toxics emissions from mobile sources by 1.1 million tons from the 1996 level of 2.7 million tons. • By 2010, all of the 260,000 diesel school buses manufactured between model years 1991 and 2000 will be retrofitted either with better emission controls or equipment allowing use of cleaner fuels, and all 130,000 buses manufactured before 1991 but still in use in 2003 will be replaced. 	<p><u>Risk Reduction Strategies</u></p> <ol style="list-style-type: none"> 1. HCES will provide information to the public on Radon mitigation and safe asbestos handling and removal. 2. HCES, through Ohio EPA, has not been, and will not be, delegated any authority to regulate or enforce the Radionuclide NESHAPs found at 40 CFR 61. 3. Ohio EPA and U.S. EPA have signed the agreement to delegate authority for MACT standards to Ohio EPA. Ohio EPA will maintain an active MACT program. HCES will conduct inspections and develop permit recommendations for MACT applicable emissions unit. 4. HCES will participate in educational efforts such as workshops, training, and technical assistance. 5. HCES will continue to seek grant opportunities to assist school districts to retrofit school buses with emission reduction devices. 6. HCES will promote the use of SEPs to retrofit non-road and on-road diesel engines.
<p>Sub-objective 1.1.2: Reduced Risk from Toxic Air Pollutants. By 2010, working with partners, reduce air toxics emissions and implement area specific approaches to reduce the risk to public health and the environment from toxic air pollutants.</p>	<ul style="list-style-type: none"> • By 2007, through maximum achievable control technology (MACT) standards, reduce air toxics emissions from major stationary sources by 1.7 million tons from the 1993 level of 2.7 million tons. • By 2010, through federal standards, reduce air toxics emissions from mobile sources by 1.1 million tons from the 1996 level 	<p><u>Air Monitoring - Urban Air Toxics</u></p> <ol style="list-style-type: none"> 1. HCES is collecting urban air toxic data from 5 sites in the four county area. HCES will continue to submit volatile organic compound and metals data to Ohio EPA on a routine schedule. 2. HCES will conduct additional Air Toxic Monitoring in Addyston, Ohio near a chemical plant to determine the ambient concentration of various air toxics.

	<p>of 2.7 million tons.</p> <ul style="list-style-type: none"> • By 2010, all of the 260,000 diesel school buses manufactured between model years 1991 and 2000 will be retrofitted either with better emission controls or equipment allowing use of cleaner fuels, and all 130,000 buses manufactured before 1991 but still in use in 2003 will be replaced. 	<ol style="list-style-type: none"> 3. HCES will operate an Air Toxic sampler in Addyston, Ohio, which will take a sample if elevated levels of VOC are detected. 4. HCES will continue to operate a citizen's canister sampling program. This program allows citizens to take a sample of the ambient air, when they notice odors, and have it analyzed to determine what air toxics are present.
<p>Objective 1.3: Protect the Ozone Layer - By 2010, through worldwide action, ozone concentrations in the stratosphere will have stopped declining and slowly begun the process of recovery, and the risk to human health from overexposure to ultraviolet radiation, particularly among susceptible sub-populations, such as children, will be reduced.</p>		
<p>None</p>	<ul style="list-style-type: none"> • By 2010, atmospheric concentrations of the ozone-depleting substances CFC-11 and CFC-12 will have peaked at no more than 300 and 570 parts per trillion respectively, while production of these chemicals will be allowed only for very limited essential uses. • By 2010, all methyl bromide production and import, except for exemptions permitted by the Montreal Protocol, and 45 percent of all hydrochlorofluorocarbon (HCFC) production and import, will be phased out, further accelerating the recovery of the stratospheric ozone layer. 	<p><u>Climate Change</u></p> <ol style="list-style-type: none"> 1. HCES will continue to participate in activities such as education/outreach on stratospheric ozone, Title VI, and/or climate change. This includes responding to citizen complaints concerning CFR's. 2. HCES will continue to provide Title VI information to affected parties who request the information.

OBJECTIVE 5.1: IMPROVE COMPLIANCE - By 2008, maximize compliance to protect human health and the environment through compliance assistance, compliance incentives, and enforcement by achieving a 5 percent increase in the pounds of pollution reduced, treated, or eliminated, 1 and achieving a 5 percent increase in the number of regulated entities making improvements in environmental management practices.

<p>Sub-objective 5.1.1: Compliance Assistance. By 2008, prevent noncompliance or reduce environmental risks through EPA compliance assistance by achieving: a 5 percentage point increase in the percent of regulated entities that improve their understanding of environmental requirements; a 5 percent increase in the number of regulated entities that improve environmental management practices; and a 5 percentage point increase in the percent of regulated entities that reduce, treat, or eliminate pollution. (Baseline to be determined for 2005.)</p>	<p>None</p>	<p>Compliance Assistance</p> <p>Facilities selected for compliance evaluations will be identified and justifications for swaps will be provided.</p> <ol style="list-style-type: none"> 1. HCES will conduct full-compliance inspections of non-Title V facilities as resources allow. HCES will conduct full-compliance evaluations at high priority facilities in accordance with the following schedule: 50% of the non-mega-site Title V facilities, 100% of the significant emission units at mega-site Title V facilities during the three-year period beginning on October 1, 2005 and ending on September 30, 2008, and 20% of synthetic minor facilities. Every significant emissions unit at a Title V facility or non-registration emissions unit at a synthetic minor facility will be fully inspected and evaluated under the criteria of the CMS for a full compliance evaluation. (A full compliance evaluation includes an inspection of each emissions unit and a comprehensive evaluation of the compliance status of each and every term of the applicable PTI(s) and operating permit for the emission unit.) Insignificant activities at a High Priority facility or registration emissions units at a synthetic minor facility may or may not be fully evaluated at the inspector's discretion. Ohio EPA notes that the activities associated with the inspection of the Title V facilities under this goal for high priority facilities are not covered by this grant. The results of the inspections will be submitted to U.S. EPA using Ohio EPA's new compliance monitoring software, and will be reported in a format compatible with AFS on a monthly basis.
<p>Sub-objective 5.1.1: Compliance Assistance. By 2008, prevent noncompliance or reduce environmental risks through EPA compliance assistance by achieving: a 5 percentage point increase in the percent of regulated entities that improve their understanding of environmental requirements; a 5 percent increase in the number of regulated entities that improve environmental management practices; and a 5 percentage point increase in the percent of regulated entities that reduce, treat, or eliminate pollution. (Baseline to be determined for 2005.)</p>	<p>None</p>	<ol style="list-style-type: none"> 2. The results of reviews of T5 annual compliance certifications and the results of stack tests (per the criteria of the CMS) will be submitted to Ohio EPA's using the compliance monitoring software. 3. HCES will continue to use the new inspection form and instructions, which were developed by a work group comprised of staff from Central Office, District Offices, and local air agencies, and finalized in FFY04. 4. Asbestos demo/reno sources and landfills will be inspected in accordance with the U.S. EPA's "Implementation Strategy for Revised Asbestos NESHAP" dated 1/91. All "top priority" jobs and all jobs involving citizen complaints will be inspected. Records will be maintained to document the use of the asbestos targeting system. Each inspection will be conducted in accordance with the "Asbestos NESHAP Strategy." Notification information will be entered into ACTS.

		<p>5. Data submitted by entities pursuant to federal regulations will be reviewed for completeness, accuracy, and compliance. Sources with delinquent or missing submissions will be identified in CETA. When appropriate, a written analysis of the review of each submission (except for asbestos demo/reno notices) will be prepared.</p> <p>6. On a quarterly basis, summaries of all EER will be submitted to Ohio EPA.</p>
<p>Sub-objective 5.1.1: Compliance Assistance. By 2008, prevent noncompliance or reduce environmental risks through EPA compliance assistance by achieving: a 5 percentage point increase in the percent of regulated entities that improve their understanding of environmental requirements; a 5 percent increase in the number of regulated entities that improve environmental management practices; and a 5 percentage point increase in the percent of regulated entities that reduce, treat, or eliminate pollution. (Baseline to be determined for 2005.3)</p>	<p>None</p>	<p>7. HCES will participate in conference calls with Ohio EPA to discuss the efforts to resolve the known violators. During these conference calls, newly discovered violators will be identified, and we will be prepared to discuss the date, case lead, evidence, time line for resolution, the status of cases subject to State agreements deferred to by the U.S. EPA, SEP project information for purposes of measuring pollution prevention and injunctive and penalty relief, which collects at least the economic benefit, or utilizes the principles of the Supplemental Enforcement Project (SEP) Policy dated 1/1/91.</p> <p>8. Copies of all Notice of Violations will be sent to the Ohio EPA and U.S. EPA.</p> <p>9. HCES will continue, upon request, to work with U.S. EPA in the development of enforcement cases for which the U.S. EPA has the lead role.</p> <p>10. HCES will conduct anti-tampering inspections at the request of Ohio EPA, in 2007.</p> <p>11. HCES will take local enforcement action when allowed to expedite resolution of violations.</p> <p>12. HCES will assist the City of Cincinnati in the enforcement of Title X violations.</p>

		2005	2006	2006	2007	2007	2007	2007
		Actual	Budget	Estimate	Request	Recommend	Approved	Approved
Objective -	Witness all stack test certifications annually.							
Demand -	Number of Potential stack test certifications to be observed	110	110	118	140	140	140	140
Workload -	Number of stack tests observed.	110	110	125	140	140	140	140
Effic./Prod -	Time to complete stack test certifications. (Hours)	8,327	8,327	9,462	10,597	10,597	10,597	10,597
Effect/Outcome -	Percentage of stack tests observed.	100%	100%	106%	100%	100%	100%	100%
Objective -	Complete all Title V Draft Permit renewals within required OEPA timeframes.							
Demand -	# of Title V Permit renewal applications to be processed.	13	15	20	20	20	20	20
Workload -	# of Title V renewals submitted to OEPA.	6	15	15	15	15	15	15
Effic./Prod -	Time Spent submitting Title V renewals to OEPA.	1,000	1,200	1,200	1,200	1,200	1,200	1,200
Effect/Outcome -	Percentage received Title V submitted to OEPA within guidelines.	46%	100%	75%	75%	75%	75%	75%
Objective -	Investigate and resolve all air quality complaints by providing knowledgeable, professional and courteous service.							
Demand -	Number of projected air quality complaints.	660	550	600	600	600	600	600
Workload -	Number of air quality complaints investigated & resolved	635	523	570	570	570	570	570
Effic./Prod -	Time spent investigating & resolving air quality complaints.(Hours)	3,300	2,550	3,000	3,000	3,000	3,000	3,000
Effect/Outcome -	Percentage of air quality complaints resolved.	96%	95%	95%	95%	95%	95%	95%
Objective -	Inspect a minimum of 25% of all asbestos demolition and renovation notifications.							
Demand -	# of expected notifications to be received	365	365	365	365	365	365	365
Workload -	Number of asbestos inspections performed.	135	140	135	142	142	142	142
Effic./Prod -	Time spent performing inspections.(Hours)	1,831	1,898	1,831	1,925	1,925	1,925	1,925
Effect/Outcome -	Percentage of asbestos inspections performed.	36.9%	38.3%	36.9%	38.9%	38.9%	38.9%	38.9%
Objective -	Submit 90% of completed Permit To Operate (PTO) recommendations to OEPA							
Demand -	Number of PTO applications expected.	298	400	325	300	300	300	300
Workload -	Number of completed PTO's submitted to OEPA.	315	375	309	285	285	285	285
Effic./Prod -	Time spent completing PTO applications.(Hours)	3,600	4,000	3,600	3,500	3,500	3,500	3,500
Effect/Outcome -	Percentage of completed PTO's submitted to OEPA.	106%	94%	95%	95%	95%	95%	95%

	2005	2006	2006	2006	2007	2007	2007	2007
	Actual	Budget	Estimate	Request	Recommend	Approved		
Objective -	Inspect & document the compliance status of air contaminant facilities as required by the state contract.							
Demand -	700	700	675	700	700	700		
Workload -	700	700	675	700	700	700		
Effic./Prod -	2,400	2,300	2,300	2,400	2,400	2,400		
Effect/Outcome -	100%	100%	100%	100%	100%	100%		
Objective -	Document facilities as part of emission inventory system (EIS).							
Demand -	310	300	310	310	310	310		
Workload -	310	300	310	310	310	310		
Effic./Prod -	3,203	3,000	3,203	3,203	3,203	3,203		
Effect/Outcome -	100%	100%	100%	100%	100%	100%		
Objective -	Submit 90% completed PTI recommendations to OEPA within 45 days of receipt.							
Demand -	152	110	130	130	130	130		
Workload -	146	99	117	117	117	117		
Effic./Prod -	9,000	9,500	8,600	8,600	8,600	8,600		
Effect/Outcome -	96%	90%	90%	90%	90%	90%		
Expenditures								
3 Personnel	2013172.51	0	2603007.52	0	0	0		
4 Other Expenditures	352564.37	0	584396.9	0	0	0		
5 Capital Outlay	87156.12	0	100700	0	0	0		
Total :	2452893	0	3288104.42	0	0	0		
Revenues								
5 Other Taxes	0	0	0	0	0	0		
20 Charges for Service Fees	431466.5	0	380500	0	0	0		
25 Fines & Forfeitures	0	0	0	0	0	0		
35 Other Intergovernmental	1685626	0	2643471	0	0	0		

Revenues	2005	2006	2006	2007	2007	2007
	Actual	Estimate	Budget	Request	Recommend	Approved
40 Miscellaneous	91066.97	0	168893.91	0	0	0
Total :	2208159.47	0	3192864.91	0	0	0

FTE	2005	2006	2006	2007	2007	2007
	Actual	Estimate	Budget	Request	Recommend	Approved
Total	48.06	48.37		48.37	0	0
New Positions	9999999999987	0		0	0	0

Departmental Comments:

Title V Renewals - This is a new category since previously issued Title V permits are coming up for renewal for the first time in 2004.

Air Quality Complaints - Due to the time it takes to complete a complaint investigation, all complaints received at the end of the year may not be completed until the start of the next year.

Twenty-five percent of the monitors are audited by the state or USEPA each quarter. All monitors are calibrated quarterly. Quality assurance guidelines require precision and accuracy reports within 45 days after the end of each calendar quarter.

Approximately 100 stack tests are certified annually. Emissions inventories are updated throughout the year. Workload is greater than demand in 2006 because more tests than anticipated.

15% of all asbestos notifications are required by contract with the Ohio EPA. We consistently exceed 30%.

A large number of Title V permits will be expiring in 2006. Title V permits expire at various times throughout the year. Permits which expire near the end of the year will not be processed and issued until the next year. Initial draft permit recommendations are to be submitted to Ohio EPA within 68 days of the preliminary completeness determination. The initial preliminary proposed permit development must be submitted within 23 days of assignment of the preliminary proposed permit development task. Initial proposed permit development must be submitted to Ohio EPA within 7 days of any conference requested by the permittee.

The number of PTO applications received have dropped since less permits expired during 2005.

The PTI Actual #'s for demand also includes the number of PTI modifications sent to Ohio EPA.

The PTI 2006 Budget # for demand did not include PTI modifications. A slight drop in PTI recommendations sent to Ohio EPA is expected since new PTI exemptions rules have been developed by Ohio EPA.

Budget Office Analysis:

Air Quality Management anticipates submitting 90% of completed Permit To Operate (PTO) recommendations to OEPA. In 2003, this division estimated that 349 permits would be completed. It is anticipated that the number of permits completed during 2004 will increase by 20% - 420 permits.

**HAMILTON COUNTY
ENVIRONMENTAL
SERVICES**

July 28, 2006

Mr. Richard Cox
U. S. EPA

Grants Management Section
Region 5-77 West Jackson Blvd.
Chicago, IL 60604-3590

Dear Mr. Cox:

I am submitting the 2007 Work Plan for Hamilton County Environmental Services (HCES). We have also included our performance measure sheets that we submit to our local government. We hope this helps you in filling out the Performance Measure Template you have to work on. If you have any questions, please feel free to give me a call at (513) 946-7726.

Sincerely,


Ali Khodadad,
Operations Coordinator

Enc.



**HAMILTON COUNTY
ENVIRONMENTAL
SERVICES**

RECEIVED
AUG 03 2006
AIR PROGRAMS
BRANCH

August 3, 2006

Mr. Richard Cox
U. S. EPA

Grants Management Section
Region 5-77 West Jackson Blvd.
Chicago, IL 60604-3590

Dear Mr. Cox:

I am sending the original 2007 Federal Application for 105 Federal Assistance. The designated signature for Hamilton County is the County Administrator, Patrick Thompson. We are waiting for the signed 2007 State Contract. I will be sending a copy of the finalized contract, once we have received it.

If you have any questions, please feel free to give me a call at (513) 946-7726.

Sincerely,



Ali Khodadad,
Operations Coordinator

Enc.

