



Annual Performance Report

OMB No. 2010-0032
Expiration Date: 06/30/03

Facility Name: Andersen Corporation
Performance Track ID #: A050030
Annual Performance Report #: 1
Reporting Year: 2001
Due Date: 07/01/2002

Section A. General Facility Information

Please supply or revise information in A.1 – A.8 as is necessary to ensure that it is accurate, complete, and up-to-date. Items A.9 and A.10 cannot be pre-completed; please respond as directed in A.9 and A.10 below.

A.1 Name of your facility: Andersen Corporation

A.2 Name of your parent company: N/A

A.3 Facility contact person for the Performance Track program:

Name: Jeff R. Nelson

Title: Supervisor - Chemical Health and Safety

Phone: (651) 264-7456

Fax: (651) 264-5089

E-mail: jeff.nelson2@andersencorp.com

A.4 Facility's location

Street Address: 100 Fourth Avenue North

Address (cont.):

City: Bayport

State: MN

Zip Code: 55003

A.5 Facility's Website address (if any):

A.6 Number of employees (full-time equivalents) who currently work in the facility:

More than 1000

A.7 Does your company meet the Small Business Administration definition of a small business for your sector?

No

A.8 North American Industrial Classification System (NAICS) Code(s) that are used to classify business at the facility:

321911

A.9 In your application and, perhaps, in previous annual performance reports, you described what your facility does or makes. Have there been any (additional) changes to your facility's list of products and/or activities? If so, please list them here:

No

A.10 Please update the list of environmental requirements that apply to your facility by listing any changes that have taken place during this reporting period. If you have no changes to report, please enter "No changes".

No changes.

**Section B.
Environmental Management System**

B.1 Environmental Management System Assessment. Please summarize EMS assessments conducted *during the year*.

a. Was an EMS audit or other assessment done by an independent third party?

No

If yes, please provide the type (e.g., ISO 14001 certification), the scope, and the dates (mo/yr) of each assessment.

Type	Scope	Dates (mo/yr)

b. Was an internal or corporate EMS audit conducted? Yes

If yes, please provide the scope and dates (mo/yr) of each audit.

Scope	Date (mo/yr)
Scope included audit of EMS, compliance programs & documentation under direction of Corporate Environmental Group	03/01

c. Was a compliance audit conducted?

Yes

If yes, please provide the scope and the dates (mo/yr) of each audit, and indicate who conducted the audit(s) (e.g., facility staff, corporate groups, third party).

Scope	Dates	Who conducted the audit
Perma-Shield Casement / Awning Business Unit	02/01	Corporate environmental staff & independent outside counsel participated in all audits
Patio Doors Business Unit	04/01	Corporate environmental staff & independent outside counsel participated in all audits
General Products Business Unit	05/01	Corporate environmental staff & independent outside counsel participated in all audits
Double-Hung Window Products Business Unit	09/01	Corporate environmental staff & independent outside counsel participated in all audits

d. (Optional) If you would like to describe any other audits or inspections that were conducted at your facility, please do so here.

In addition, similar audits were conducted of smaller departments (i.e. Maintenance) throughout this facility.

e. Briefly summarize corrective actions taken and other improvements made as a result of your EMS assessments and compliance audit

- Increased focused training on chemical handling & waste management.
- Adapted EMS to address growth and acquisitions within Corporation.

f. Has your facility corrected all instances of potential non-compliance and EMS non-conformance identified during your audits and other assessments?

Yes

If no, please explain your plans to correct these instances.

g. When was the last Senior Management review of your EMS completed?

12/01

Who headed the review? Name: Mr. Jim Humphrey **Title:** Chief Operating Officer

B.2 ISO 14001 Certification. Is your facility currently certified to ISO 14001?

No

B.3 Environmental Aspects Identification. When did your facility last conduct a systematic identification and/or review of your environmental aspects?

12/01

B.4 Progress Toward Achieving Objectives and Targets. In the table below, please provide a narrative summary of progress made toward EMS objectives and targets. You may limit the summary to environmental aspects that are *significant* and towards which progress has been made during the *reporting year*. In cases where *progress* relates specifically to a Performance Track performance commitment, complete the Environmental Aspect column, but in the *Progress* column simply refer to the performance commitment tables in Section C, i.e. "See Section C."

<i>Environmental Aspect</i>	<i>Progress Made This Year</i> (e.g., quantitative or qualitative improvements, activities conducted)
TRI Emissions	Developed plan with supplier & conducted testing to reduce trimethyl benzene content in wood preservative solution.
Noise Abatement	Redirected exhaust ducts to channel sound away from residential areas & eliminated noisy material handling cyclone unit
Particulate Emissions	Replaced air exhaust filters in painting areas with type more effective in reducing particulate emissions
Workplace Safety Hazard	Eliminated use of alkyd primer paint which stopped generation of spent filters that exhibited spontaneous combustion potential
Spill Prevention / Containment	Installed spill containment system in area used to unload large quantity of stabilizer oil from tanker truck

**Section C.
Environmental Performance Commitments**

Please use the tables below to summarize your facility's environmental performance against your Performance Track performance commitments. Complete only those boxes related to the baseline, current year, and performance commitment.

C.1 Performance Commitment 1

a. Use this table to report data related to your first performance commitment.

Category:	Air Emissions				
Aspect :	Emissions of VOCs				
	Aspect Specifics (Optional):				
	Baseline (as stated in your application)	Year 1	Year 2	Year 3	Performance Commitment (the goal stated in your application)
Calendar Year	2000	2001	2002	2003	2003
Actual Quantity (per year)	1775	1712			Optional:
Measurement Units	tons				
Normalizing Factor	1.0	1.025			Optional:
Basis for your Normalizing Factor	Units shipped				
Normalized Quantity* (per year)	1775	1670.2			1685
*Calculated for you					

b. Briefly describe how you achieved improvements for this aspect or, if relevant, any circumstances that delayed progress.

VOC reductions have resulted from decreased use of solvent-based preservatives and increased efficiency of water-borne preservative processes. NOTE: The original commitment in our application identified the measurement unit as "lbs. VOC's/cu. ft. of product shipped". This was changed to "tons" and the data adjusted accordingly to accommodate the new standardized reporting format established by EPA.

If you have additional information that you would like to include please attach it here:

Any attachments will be located at the end of the report.

c. Please list any other EPA voluntary programs to which you are also reporting these data (e.g. Energy Star, Project XL).

Project XL

C.2 Performance Commitment 2

a. Use this table to report data related to your second performance commitment.

Category :	Preservation/Restoration				
Aspect :	Habitat Impacts				
	Aspect Specifics (Optional):				
	Baseline (as stated in your application)	Year 1	Year 2	Year 3	Performance Commitment (the goal stated in your application)
Calendar Year	2000	2001	2002	2003	2003
Actual Quantity (per year)	2.5	3.5			Optional:
Measurement Units	acres				
Normalizing Factor	1.0	1			Optional:
Basis for your Normalizing Factor	Facility acreage				
Normalized Quantity* (per year)	2.5	3.5			5
*Calculated for you					

b. Briefly describe how you achieved improvements for this aspect or, if relevant, any circumstances that delayed progress.

Achieved removal of exotic species by cutting or removing(restored to dry mesic prairie) and established prairie stewardship plan for the 5-acre plot. Circumstances that delayed progress included point resident concerns for prairie burn and inability to get variance to city's burn ordinance. NOTE: The original commitment in our application identified the measurement unit as "% of area restored". This was changed to "acres" and the data adjusted accordingly to accomodate the new standardized reporting format established by EPA.

Attach:

Any attachments will be located at the end of the report.

c. Please list any other EPA voluntary programs to which you are also reporting these data (e.g. Energy Star, Project XL).

C.3 Performance Commitment 3

a. Use this table to report data related to your third performance commitment.

Category :	Materials Use				
Aspect :	Total Materials Use				
	Aspect Specifics (Optional):				
	Baseline (as stated in your application)	Year 1	Year 2	Year 3	Performance Commitment (the goal stated in your application)
Calendar Year	2000	2001	2002	2003	2003
Actual Quantity (per year)	92822	85861			Optional:
Measurement Units	tons				
Normalizing Factor	1.0	1.025			Optional:
Basis for your Normalizing Factor	Units shipped				
Normalized Quantity* (per year)	92822	83766.8			91894
*Calculated for you					

b. Briefly describe how you achieved improvements for this aspect or, if relevant, any circumstances that delayed progress.

Reduced normalized amount of waste by-product generated by 9,055 tons. Significant reductions occurred in wood shavings and plant trash. NOTE: The original commitment in our application identified the measurement unit as "% of waste by-product to total material usage". This was changed to "tons" and the data adjusted accordingly to accommodate the new standardized reporting format established by EPA.

Attach:

Any attachments will be located at the end of the report.

c. Please list any other EPA voluntary programs to which you are also reporting these data (e.g. Energy Star, Project XL).

C.4 Performance Commitment 4

a. Use this table to report data related to your fourth performance commitment.

Category :	Materials Use				
Aspect :	Recycled/Reused Materials Use				
	Aspect Specifics (Optional):				
	Baseline (as stated in your application)	Year 1	Year 2	Year 3	Performance Commitment (the goal stated in your application)
Calendar Year	2000	2001	2002	2003	2003
Actual Quantity (per year)	0	8270			Optional:
Measurement Units	tons				
Normalizing Factor	1.0	1			Optional:
Basis for your Normalizing Factor	Amount of lumber procured				
Normalized Quantity* (per year)	0	8270			264
*Calculated for you					

b. Briefly describe how you achieved improvements for this aspect or, if relevant, any circumstances that delayed progress.

Encouraged our wood suppliers to establish a wood certification program at their facilities and then verified this information through supplier surveys. Our initial goal of 2% was significantly exceeded due to strong support from suppliers. Note: The original commitment in our application identified the measurement unit as "% certified wood to total wood supply". This was changed to "tons" and the data adjusted accordingly to accommodate the new standardized reporting format established by EPA.

Attach:

Any attachments will be located at the end of the report.

c. Please list any other EPA voluntary programs to which you are also reporting these data (e.g. Energy Star, Project XL).

**Section D.
Public Outreach and Performance Reporting**

D.1 Please briefly summarize the public outreach and reporting activities that your facility has conducted during the year. Feel free, but not obligated, to attach supporting materials (e.g., meeting agendas, public announcements).

Continue to conduct periodic Community Advisory Committee meetings and provide semi-annual environmental publication to the local community entitled Andersen Community Update.

Attachment:

Any attachments will be located at the end of the report.

D.2 Please indicate which of the following methods your facility plans to use to make its Performance Track Annual Report available to the public. Please check as many as appropriate.

Meetings
Press Releases
Community Advisory Panel

URL:

**Section E.
Self-Certification of Continued Program Participation**

On behalf of **Andersen Corporation,**
(name of my facility)

I certify that

- I have read and agree to the terms and conditions as specified in the *National Environmental Performance Track Program Guide*. This facility, to the best of my knowledge, continues to meet all program criteria;
- I have personally examined and am familiar with the information contained in this Annual Performance Report. The information contained in this report is, to the best of my knowledge and based on reasonable inquiry, true, accurate, and complete;
- My facility has an environmental management system (EMS), as defined in the Performance Track EMS criteria, including systems to maintain compliance with all applicable federal, state, tribal, and local environmental requirements, in place at the facility, and the EMS will be maintained for the duration of the facility's participation in the program;
- My facility has conducted an objective assessment of its compliance with all applicable federal, state, tribal, and local environmental requirements; and the facility has corrected all identified instances of potential or actual noncompliance;
- Based on the foregoing compliance assessments and subsequent corrective actions (if any were necessary), my facility is, to the best of my knowledge and based on reasonable inquiry, currently in compliance with applicable federal, state, tribal, and local environmental requirements.

I agree that EPA's decision whether to accept participants into or remove them from the National Environment Performance Track is wholly discretionary, and I waive any right that may exist under any law to challenge EPA's acceptance or removal decision.

I am the senior manager with responsibility for the facility and am fully authorized to execute this statement on behalf of the corporation or other legal entity whose facility is part of the National Environmental Performance Track program.

Signature/Date

Printed Name

Mr. John D. Piepel

Title

V.P. - Engineering Operations

Phone Number

651-264-7291

E-mail Address

jack.piepel@andersencorp.com

Facility Name

Andersen Corporation

Facility Street Address

100 Fourth Avenue North

Bayport, MN 55003

Performance Track ID#

A050030

Appendix:**Do you want to add Appendix?****When adding additional comments, please refer to question number.****Comments:****Attachment:**

Paperwork Reduction Act Notice

The public reporting and recordkeeping burden for this collection information is estimated to average 188 hours per respondent annually. Burden means the total time, effort, or financial resources expended by persons to generate, maintain, retain, or disclose or provide information to or for a Federal agency. This includes the time needed to review instructions; develop, acquire, install, and utilize technology and systems for the purposes of collecting, validating, and verifying information, processing and maintaining information, and disclosing and providing information; adjust the existing ways to comply with any previously applicable instructions and requirements; train personnel to be able to respond to a collection of information; search data sources; complete and review the collection of information; and transmit or otherwise disclose the information. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including through the use of automated collection techniques to the Director, Collection Strategies Division, U.S. Environmental Protection Agency (2822), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460. Include the OMB control number in any correspondence. Do not send the completed form to this address.