

UNITED STATES ENVIRONMENTAL PROTECTION AGENCY REGION 4 ATLANTA FEDERAL CENTER 61 FORSYTH STREET ATLANTA, GEORGIA 30303-8960

MAR 1 7 2010

Certified Mail - Return Receipt Requested

Colleen Grace Donofrio, Esq.
Environmental, Energy and Natural Resources' Services Group
Babst, Calland, Clements & Zomnir, P.C.
380-A Tylers Mill Road
Sewell, New Jersey 08080

> Re: Calgon Carbon Corporation Docket No. TSCA-04-2010-2709(b)

Dear Ms. Donofrio:

Enclosed is a copy of the ratified Consent Agreement and Final Order (CAFO) in the above-referenced matter. The original CAFO has been filed with the Regional Hearing Clerk and served on the parties as directed in Section 22.6 of the Consolidated Rules of Practice, 40 C.F.R. Part 22. As stated in Section V of the CAFO, the assessed penalty of \$18,634.00 is due within 30 days from the effective date. Please ensure that the face of Calgon Carbon's cashier's or certified check includes the name of the company and the docket number of this case.

Penalty payment questions should be directed to Ms. Lori Weidner either by telephone at (513) 487-2125, or by written correspondence to her attention at the U.S. Environmental Protection Agency's (EPA's) Cincinnati Accounting Operations address identified in the CAFO. Should you have any questions about this matter or your compliance status in the future, please call me at (404) 562-8979 or Mr. Verne George at (404) 562-8988.

Also enclosed is a copy of a document entitled "Notice of Securities and Exchange Commission Registrants' Duty to Disclose Environmental Legal Proceedings." This document puts Calgon Carbon on notice of its potential duty to disclose to the Securities and Exchange Commission (SEC) any environmental enforcement actions taken by EPA.

Sincerely Jeaneanne M//Gettle

Chief Pesticides and Toxic Substances Branch

Enclosures

internet Address (URL) • http://www.epa.gov Recycled/Recyclable • Printed with Vegetable Oil Based Inks on Recycled Paper (Minimum 30% Postconsumer)

UNITED STATES ENVIRONMENTAL PROTECTION AGENCY ATLANTA, GEORGIA

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In the Matter of:

Calgon Carbon Corporation

Docket No. TSCA-04-2010-2709(b)

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Respondent.

CONSENT AGREEMENT AND FINAL ORDER

I. <u>Nature of the Action</u>

- This is a civil penalty proceeding pursuant to Section 16(a) of the Toxic Substances Control Act (TSCA), 15 U.S.C. § 2615(a), and pursuant to the Consolidated Rules of Practice Governing Administrative Assessment of Civil Penalties and the Revocation/Termination or Suspension of Permits (Consolidated Rules), 40 C.F.R. Part 22. Complainant is the Director of the Air, Pesticides, and Toxics Management Division, United States Environmental Protection Agency, Region 4 (EPA). Respondent is Calgon Carbon Corporation (hereinafter, "Respondent").
- 2. Complainant and Respondent have conferred for the purpose of settlement pursuant to 40 C.F.R. § 22.18 and desire to resolve this matter and settle the allegations described herein without a formal hearing. Therefore, without the taking of any evidence or testimony, the making of any argument, or the adjudication of any issue in this matter, and in accordance with 40 C.F.R. § 22.13(b), this Consent Agreement and Final Order (CAFO) will simultaneously commence and conclude this matter.

II. Preliminary Statements

- 3. Pursuant to Section 15 of TSCA, 15 U.S.C. § 2614, it is unlawful for any person to: (1) fail or refuse to comply with any rule or order promulgated pursuant to Sections 4, 5, 8, 12 or 13 of TSCA (15 U.S.C. § 2603, 15 U.S.C. § 2604, 15 U.S.C. § 2607, 15 U.S.C. § 2611 or 15 U.S.C. § 2612); (2) use for commercial purposes a chemical substance or mixture that the person knew or had reason to know was manufactured, imported, processed, or distributed in commerce in violation of TSCA Section 5, 15 U.S.C. § 2604; (3) fail to maintain records, submit reports or information, or permit access to or allow copying of records as required by TSCA; and (4) refuse to permit entry or inspection as authorized by Section 11 of TSCA, 15 U.S.C. § 2610. Any person who violates a provision of Section 15 of TSCA may be assessed a penalty of up to \$27,500 for each such violation, in accordance with Section 16(a) of TSCA and 40 C.F.R. Part 19, as amended. For a violation occurring after March 15, 2004, through January 12, 2009, a penalty of up to \$32,500 may be assessed. Each day a violation continues may constitute a separate violation.
- 4. The authority to take action under Section 16(a) of TSCA, 15 U.S.C. § 2615(a), is vested in the Administrator of EPA. The Administrator of EPA has delegated this authority under TSCA to EPA Region 4 by EPA Delegation 12-2-A, dated May 11, 1994.
- 5. Complainant will file the original CAFO with the Regional Hearing Clerk and a copy will be mailed to the Respondent. To determine the identity of the chemical substance referenced in this CAFO or the confidential business information (CBI) that was deleted (CBI deleted), Complainant or Respondent should refer to the Respondent's 2006 Inventory Update Report dated January 21, 2009.

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6. Pursuant to 40 C.F.R. § 22.5(c)(4) the following individual represents EPA in this matter and is authorized to receive service for EPA in this proceeding:

> Verne George Chemical Products and Asbestos Section U.S. EPA Region 4 61 Forsyth Street Atlanta, Georgia 30303-8960 (404) 562-8971

III. Specific Allegations

- Respondent owns and operates a chemical manufacturing plant located on U.S. Route 23, Cattlettsburg, Kentucky.
- On December 4, 2008, EPA mailed an information request letter (IRL) to the Respondent's place of business.
- The IRL was mailed as an alternative to an onsite inspection pursuant to Section 11(a) of TSCA, 15 U.S.C. § 2610(a).
- 10. On January 22, 2009, Respondent submitted a written response to the IRL.
- 11. Based on Respondent's 2005 production records, EPA determined that Respondent manufactured and imported a reportable quantity of [CBI deleted] hereinafter referred to as Chemical A.
- Chemical A was subject to the 2006 Inventory Update Reporting (IUR) as described in 40 C.F.R. § 710.45.
- 13. Pursuant to 40 C.F.R. § 710.48, Respondent is a manufacturer/importer that was subject to the 2006 IUR.
- As referenced in 40 C.F.R. § 710.53, the 2006 IUR submission period was August 25, 2006, through March 23, 2007.

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- On January 21, 2009, Respondent submitted the 2006 IUR Report for Chemical A to EPA in Washington, D.C.
- Respondent failed to submit Chemical A's 2006 IUR Report to EPA during the submission period described in 40 C.F.R. § 710.53.
- 17. Pursuant to 40 C.F.R. § 710.1(c), it is unlawful for any person to fail or refuse to submit information required under the IUR Regulations.

IV. Consent Agreement

- 18. For the purposes of this CAFO, Respondent admits the jurisdictional allegations set forth above, but neither admits nor denies the factual allegations set forth above.
- Respondent waives its right to a hearing on the allegations contained herein and its right to appeal the proposed final order accompanying the consent agreement.
- 20. Respondent consents to the assessment of the penalty proposed by EPA and agrees to pay the civil penalty as set forth in this CAFO.
- 21. Respondent certifies that as of the date of its execution of this CAFO, it is in compliance with the TSCA Regulations referenced in this CAFO.
- 22. This CAFO constitutes a settlement by EPA of all claims for civil penalties pursuant to Section 16(a) of TSCA, for the specific violations alleged herein. Except as specifically provided in this CAFO, EPA reserves all other civil and criminal enforcement authorities, including the authority to address imminent hazards. Compliance with this CAFO shall not be a defense to any other actions subsequently commenced pursuant to Federal laws and regulations administered by EPA, and it is Respondent's responsibility to comply with said laws and regulations.

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23. Complainant and Respondent agree to settle this matter by their execution of this CAFO. The parties agree that the settlement of this matter is in the public interest and that this CAFO is consistent with the applicable requirements of TSCA.

V. Final Order

Respondent is assessed a civil penalty of *Eighteen Thousand*, Six Hundred Thirty Four Dollars (\$18,634.00), which shall be paid within thirty (30) days of the effective date of this CAFO.

25. Respondent shall remit payment by either a cashier's or certified check made payable to the "Treasurer, United States of America," and shall send it to the following address by

U.S. Postal Service:

U.S. Environmental Protection Agency Fines and Penalties Cincinnati Finance Center PO Box 979077 St. Louis, Missouri 63197-9000.

Each check shall reference on its face the name of the Respondent and Docket Number of this CAFO.

For payment submittal by any overnight mail service (Fed Ex, UPS, DHL, etc.):

U. S. Bank 1005 Convention Plaza Mail Station SL-MO-C2GL St. Louis, Missouri 63101

Contact: Natalie Pearson (314) 418-4087

26. Respondent shall send a separate copy of the check and a written statement that the

payment has been made in accordance with this CAFO, to each of the following persons

at the following addresses:

Regional Hearing Clerk U.S. EPA Region 4

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61 Forsyth Street, S.W. Atlanta, Georgia 30303-8960;

Verne George Chemical Products and Asbestos Section U.S. EPA Region 4 61 Forsyth Street Atlanta, Georgia 30303-8960;

and

Saundi Wilson Office of Environmental Accountability U.S. EPA - Region 4 61 Forsyth Street Atlanta, Georgia 30303-8960.

- 27. For the purposes of state and federal income taxation, Respondent shall not be entitled, and agrees not to attempt, to claim a deduction for any civil penalty payment made pursuant to this CAFO. Any attempt by Respondent to deduct any such payments shall constitute a violation of this CAFO.
- 28. Pursuant to 31 U.S.C. § 3717, EPA is entitled to assess interest and penalties on debts owed to the United States and a charge to cover the cost of processing and handling a delinquent claim. Interest will therefore begin to accrue on the civil penalty from the date of entry of this CAFO, if the penalty is not paid by the date required. A charge will also be assessed to cover the administrative costs, both direct and indirect, of overdue debts. In addition, a late payment penalty charge shall be applied on any principal amount not paid within 90 days of the due date.
- 29. Complainant and Respondent shall bear their own costs and attorney fees in this matter.
- 30. This CAFO shall be binding upon the Respondent, its successors and assigns.

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31. The undersigned representative of the party to this CAFO certifies that he or she is fully authorized by the party represented to enter into this CAFO and legally binds that party to this CAFO.

VI. Effective Date

32. The effective date of this CAFO shall be the date on which the CAFO is filed with the Regional Hearing Clerk.

AGREED AND CONSENTED TO:

Respondent: Docket No.:	Calgon Carbon Corporat TSCA-04-2010-2709(b)	ion			×
By: PinMI	Bei	_(Signature)	Date:	2/23/10	
Name: Richerd	D. Roll	(Typed or Prin	nted)		
Title: <u>V.P. Gae</u>	- Carol & Exciting	(Typed or Prin	nted)		

Complainant.	UAS. Emvir	ronmental Protection Agency		· . /
ву:	unklay	m	Date:	3/9/2010
Kennet	h R. Lapierre Act	ing Director		•
Manag	sticides and Toxics gement Division	S		
	yth Street , Georgia 30303-8	8960		
	AND SO ORDER	4	rch :	2010.

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By:

Susan B. Schub Regional Judicial Officer

S.

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<u>CERTIFICATE</u> OF SERVICE

I hereby certify that on the date set out below, I filed the original and one copy of the foregoing Consent Agreement and Final Order and served a true and correct copy of the foregoing Consent Agreement and Final Order, in the Matter of: Calgon Carbon Corporation, Docket Number: TSCA-04-2010-2709(b), to the addressees listed below.

Colleen Grace Donofrio (via Certified Mail, Return Receipt Requested) Environmental, Energy and Natural Resources' Services Group Babst Calland Clements & Zomnir, P.C. 380-A Tylers Mill Road Sewell, New Jersey 08080

(via EPA's internal mail)

(via EPA's internal mail)

Verne George Chemical Products and Asbestos Section U.S. EPA Region 4 61 Forsyth Street, S.W. Atlanta, Georgia 30303

Robert Caplan, Senior Attorney Office of Environmental Accountability U.S. EPA, Region 4 61 Forsyth St., SW Atlanta, Georgia 30303

Date: 3-17-10

Patricia A. Bullock, Regional Hearing Clerk U.S. Environmental Protection Agency, Region 4 Atlanta Federal Center 61 Forsyth St., SW Atlanta, Georgia 30303 (404) 562-9511

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EPA ACCOUNTS RECEIVABLE CONTROL NUMBER FORM

TO BE COMPLETED BY THE ORIGINATING OFFICE:

Saundi Wilson		3/17/10
Saundi Wilson	(Name)	0B(Date)
nthe Region 4, ORC, OEA		at (404) 562- 9504
(Office	e)	(Telephone Number)
Non-SF Judicial Order/Consent Decree USAO COLLECTS		Administrative Order/Consent Agreement FMO COLLECTS PAYMENT
SF Judicial Order/Consent Decree		Oversight Billing - Cost Package required: Sent with bill
DOJ COLLECTS		Not sent with bill
Other Receivable		Oversight Billing - Cost Package not required
This is an original debt		This is a modification
PAYEE: Calgon Ca	upon Corp	
		y making the payment)
The Total Dollar Amount of the Receivable: \$	amovints and respect	ve due dates. See Other side of this form.)
The Case Docket Number:	H of 2010	2709 (6)
The Site Specific Superfund Account Number:		
The Designated Regional/Headquarters Program Off	ice:	
	. .	<u></u> :
The IFMS Accounts Receivable Control Number is:_		Date
If you have any questions, please call:	of the Financial (Management Section at:
DISTRIBUTION:		
A. <u>JUDICIAL ORDERS</u> : Copies of this form with an attac should be mailed to:	ched copy of the front p	age of the FINAL JUDICIAL ORDER
1. Debt Tracking Officer Environmental Enforcement Section Department of Justice RM 1647 P.O. Box 7611, Benjamin Franklin Station		ng Office (EAD) d Program Office

B. <u>ADMINISTRATIVE ORDERS</u>: Copies of this form with an attached copy of the front page of the Administrative Order should be to:

1.	Originating Office	3.	Designated Program Office
1	Regional Hearing Clerk	4.	Regional Counsel (EAD)