

UNITED STATES
ENVIRONMENTAL PROTECTION AGENCY
REGION 6

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REGIONAL HEARING CLERK
EPA REGION VI

In the Matter of	§ Docket No. CWA-06-2011-1826
	§
Central New Mexico Correctional Facility, Los Lunas, New Mexico	§
	§ Proceeding to Assess a Class I
	§ Civil Penalty under Section 309(g)
	§ of the Clean Water Act
Respondent	§
	§ ADMINISTRATIVE COMPLAINT
NPDES No. NM0028851	§

I. Statutory Authority

This Complaint is issued under the authority vested in the Administrator of the United States Environmental Protection Agency (“EPA”) by Section 309(g) of the Clean Water Act (“the Act”), 33 U.S.C. § 1319(g). The Administrator of EPA delegated the authority to issue this Complaint to the Regional Administrator of EPA Region 6, who delegated this authority to the Director of the Compliance Assurance and Enforcement Division of EPA Region 6 (“Complainant”). This Class I Administrative Complaint is issued in accordance with, and this action will be conducted under, the “Consolidated Rules of Practice Governing the Administrative Assessment of Civil Penalties and the Revocation/Termination or Suspension of Permits,” including rules related to administrative proceedings not governed by Section 554 of the Administrative Procedures Act, 40 C.F.R. §§ 22.50 through 22.52.

Based on the following Findings, Complainant finds that the Central New Mexico Correctional Facility (“Respondent”) has violated the Act and the regulations promulgated under the Act and should be ordered to pay a civil penalty.

II. Findings of Fact and Conclusions of Law

1. Respondent is a correctional facility chartered under the laws of the State of New Mexico, and as such, the Respondent is a “person,” as that term is defined at Section 502(5) of the Act, 33 U.S.C. § 1362(5), and 40 C.F.R. § 122.2.

2. At all times relevant to this Order (“relevant times”), the Respondent owned or operated the Central New Mexico Correctional Facility wastewater treatment plant, located at 1525 Morris Road, in the City of Los Lunas, Valencia County, New Mexico (“facility”), and was therefore an “owner or operator” within the meaning of 40 C.F.R. § 122.2.

3. At all relevant times, the facility was a “point source” of a “discharge” of “pollutants” with its municipal wastewater to the receiving waters of the Los Chaves Drain, thence to the Middle Rio Grande Basin in Segment 20.6.4.105 of the Rio Grande Basin, which is considered a “water of the United States” within the meaning of Section 502 of the Act, 33 U.S.C. § 1362, and 40 C.F.R. § 122.2.

4. Because the Respondent owned or operated a facility that acted as a point source of discharges of pollutants to waters of the United States, the Respondent and the facility were subject to the Act and the National Pollutant Discharge Elimination System (“NPDES”) program.

5. Under Section 301 of the Act, 33 U.S.C. § 1311, it is unlawful for any person to discharge any pollutant from a point source to waters of the United States, except with the authorization of, and in compliance with, an NPDES permit issued pursuant to Section 402 of the Act, 33 U.S.C. § 1342.

6. Section 402(a) of the Act, 33 U.S.C. § 1342(a), provides that the Administrator of EPA may issue permits under the NPDES program for the discharge of pollutants from point sources to waters of the United States. Any such discharge is subject to the specific terms and conditions prescribed in the applicable permit.

7. The Respondent applied for and was issued NPDES Permit No. NM0028851 (“permit”) under Section 402 of the Act, 33 U.S.C. § 1342, which became effective on February 1, 2005 and expired on January 31, 2010. Respondent applied for and was re-issued NPDES permit coverage for NPDES Permit No. NM0028851, effective on January 1, 2011. At all relevant times, the Respondent was authorized to discharge pollutants from the facility to waters of the United States only in compliance with the specific terms and conditions of the permit.

8. Parts III.C and III.D of the permit require the Respondent to sample and test its effluent and monitor its compliance with permit conditions according to specific procedures, in order to determine the facility's compliance or non-compliance with the permit and applicable regulations. They also require the Respondent to file with EPA certified Discharge Monitoring Reports (“DMRs”) of the results of monitoring, and Non-Compliance Reports when appropriate. Part III.D of the permit was violated in that the Respondent failed to submit DMRs (exceptions are DMRs for February and April of 2009 and October 2010) from July 2008 to March 2011.

9. Part I.A of the permit places certain limitations on the quality and quantity of effluent discharged by the Respondent.

10. Certified DMRs filed by the Respondent with EPA in compliance with the permit show discharges of Fecal Coliform, Total Suspended Solids and Biochemical Oxygen Demand from the facility that exceed the permitted effluent limitations established in Part I.A of the permit.

11. On February 16, 2011, the facility was inspected by the New Mexico Environment Department. As a result, unsatisfactory findings were specified in the inspection report; violations and/or deficiencies were found in the area of Sanitary Sewer Overflows. The entire report of the February 16, 2011, inspection is hereby incorporated into this Order by reference.

12. Pursuant to Part I.D of the permit, the Respondent shall report all overflows along with the DMR submittals. These reports shall be summarized and reported in tabular format. The summaries shall include the date, time, duration, location, estimated volume, and cause of the overflow; actions taken to address the overflow; and the discharge location(s)-if not contained.

13. Each violation of the conditions of the permit or regulations described above is a violation of Section 301 of the Act, 33 U.S.C. § 1311.

14. Under Section 309(g)(2)(A) of the Act, 33 U.S.C. § 1319(g)(2)(A), the Respondent is liable for a civil penalty in an amount not to exceed \$16,000 per day for each day during which a violation continues, up to a maximum of \$37,500.

15. EPA has notified the New Mexico Environment Department of the issuance of this Complaint and has afforded the State an opportunity to consult with EPA regarding the assessment of an administrative penalty against the Respondent as required by Section 309(g)(1) of the Act, 33 U.S.C. § 1319(g)(1).

16. EPA has notified the public of the filing of this Complaint and has afforded the public thirty (30) days in which to comment on the Complaint and on the proposed penalty as required by Section 309(g)(4)(A) of the Act, 33 U.S.C. § 1319(g)(4)(A). At the expiration of the notice period, EPA will consider any comments filed by the public.

III. Proposed Penalty

17. Based on the foregoing Findings, and pursuant to the authority of Section 309(g)(1) and Section(g)(2)(A) of the Act, 33 U.S.C. §§ 1319(g)(1) and (g)(2)(A), EPA Region 6 hereby proposes to assess against the Respondent a civil penalty of thirteen thousand dollars (\$13,000.00).

18. The proposed penalty amount was determined based on the statutory factors specified in Section 309(g)(3) of the Act, 33 U.S.C. § 1319(g)(3), which includes such factors as the nature, circumstances, extent and gravity of the violation(s), economic benefits, if any, prior history of such violations, if any, degree of culpability, and such matters as justice may require.

19. Complainant has specified that the administrative procedures specified in 40 C.F.R. Part 22, Subpart I, shall apply to this case, and the administrative proceedings shall not be governed by Section 554 of the Administrative Practice Act. However, pursuant to 40 C.F.R.

§ 22.42(b), Respondent has a right to elect a hearing on the record in accordance with 5 U.S.C. § 554, and Respondent waives this right unless Respondent in its Answer requests a hearing in accordance with 5 U.S.C. § 554.

IV. Failure to File an Answer

20. If the Respondent wishes to deny or explain any material allegation listed in the above Findings or to contest the amount of the penalty proposed, the Respondent must file an Answer to this complaint within thirty (30) days after service of this Complaint whether or not the Respondent requests a hearing as discussed below.

21. The requirements for such an Answer are set forth at 40 C.F.R. § 22.15 (copy enclosed). Failure to file an Answer to this Complaint within thirty (30) days of service of the Complaint shall constitute an admission of all facts alleged in the Complaint and a waiver of the right to hearing. Failure to deny or contest any individual material allegation contained in the Complaint will constitute an admission as to that finding or conclusion under 40 C.F.R. § 22.15(d).

22. If the Respondent does not file an answer to this complaint within thirty (30) days after service of this complaint, a Default Order may be issued against the Respondent pursuant to 40 C.F.R. § 22.17. A Default Order, if issued, would constitute a finding of liability, and could make the full amount of the penalty proposed in this Complaint due and payable by the Respondent without further proceedings thirty (30) days after a Final Default Order is issued.

23. The Respondent must send its Answer to this Complaint, including any request for hearing, and all other pleadings to:

Regional Hearing Clerk (6RC-D)
U.S. EPA, Region 6
1445 Ross Avenue, Suite 1200
Dallas, TX 75202-2733

The Respondent shall also send a copy of its Answer to this Complaint to the following EPA attorney assigned to this case:

Mr. Earle A. "Rusty" Herbert (6RC-EW)
EPA Houston Lab
10625 Fallstone Road
Houston, TX 77099

24. The Answer must be signed by the Respondent, the Respondent's counsel, or other representative on behalf of the Respondent and must contain all information required by 40 C.F.R. §§ 22.05 and 22.15, including the name, address, and telephone number of the Respondent and the Respondent's counsel. All other pleadings must be similarly signed and filed.

V. Notice of Opportunity to Request a Hearing

25. The Respondent may request a hearing to contest any material allegation contained in this Complaint, or to contest the appropriateness of the amount of the proposed penalty, pursuant to Section 309(g) of the Act, 33 U.S.C. § 1319(g). The procedures for hearings are set out at 40 C.F.R. Part 22, including 40 C.F.R. § 22.50 through § 22.52.

26. Any request for hearing should be included in the Respondent's Answer to this Complaint; however, as discussed above, the Respondent must file an Answer meeting the

requirements of 40 C.F.R. § 22.15 in order to preserve the right to a hearing or to pursue other relief.

27. Should a hearing be requested, members of the public who commented on the issuance of the Complaint during the public comment period will have a right to be heard and to present evidence at such hearing under Section 309(g)(4)(B) of the Act, 33 U.S.C. § 1319(g)(4)(B).

VI. Settlement

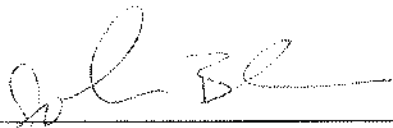
28. EPA encourages all parties against whom civil penalties are proposed to pursue the possibility of settlement through informal meetings with EPA. Regardless of whether a formal hearing is requested, the Respondent may confer informally with EPA about the alleged violations or the amount of the proposed penalty. The Respondent may wish to appear at any informal conference or formal hearing personally, by counsel or other representative, or both. To request an informal conference on the matters described in this Complaint, please contact Ms. Sonia Hall at (214) 665-7490 or Ms. Jessica Esquivel at (214) 665-7285.

29. If this action is settled without a formal hearing and issuance of an opinion by the Presiding Officer pursuant to 40 C.F.R. § 22.27, this action will be concluded by issuance of a Consent Agreement and Final Order (“CAFO”) pursuant to 40 C.F.R. § 22.18(b). The issuance of a CAFO would waive the Respondent's right to a hearing on any matter stipulated therein or alleged in the Complaint. Any person who commented on this Complaint would be notified and given an additional thirty (30) days to petition EPA to set aside any such CAFO and to hold a hearing on the issues raised in the Complaint. Such a petition would be granted and a hearing

held only if the evidence presented by the petitioner's comment was material and was not considered by EPA in the issuance of the CAFO.

30. Neither assessment nor payment of a penalty in resolution of this action will affect the Respondent's continuing obligation to comply with all requirements of the Act, the applicable regulations and permits, and any separate Compliance Order issued under Section 309(a) of the Act, 33 U.S.C. § 1319(a), including one relating to the violations alleged herein.

11-10-11
Date



John Blevins
Director
Compliance Assurance and
Enforcement Division

CERTIFICATE OF SERVICE

I certify that the foregoing Class I Administrative Complaint was sent to the following persons, in the manner specified, on the date below:

Original hand-delivered: Regional Hearing Clerk (6RC-D)
 U.S. EPA, Region 6
 1445 Ross Ave., Suite 1200
 Dallas, TX 75202-2733

Copy by certified mail,
return receipt requested: Mr. Anthony Romero, Warden
 Central New Mexico Correctional Facility
 P.O. Drawer 1328
 1525 Morris Road, SW
 Los Lunas, NM 87032-1328

Copy: Mr. James Bearzi, Bureau Chief
 Surface Water Quality Bureau
 New Mexico Environment Department
 P.O. Box 5469
 Santa Fe, NM 87502-5469

Copy hand-delivered: Mr. Scott McDonald (6RC-EW)
 Water Enforcement Legal Branch
 U.S. EPA, Region 6
 1445 Ross Ave., Suite 1200
 Dallas, TX 75202-2733

Dated: Lori Jackson 11-30-2011

Attachment A
 Final Effluent limits: 0.285 MGD Design Flow
 Effective until December 31, 2015

Permit Limits Central NM Correctional Facility – NM0028851

EFFLUENT CHARACTERISTICS		DISCHARGE LIMITATIONS						MONITORING REQUIREMENTS	
		lbs/day, unless noted			mg/l, unless noted (*1)				
POLLUTANT	STORET CODE	30-DAY AVG	DAILY MAX	7-DAY AVG	30-DAY AVG	DAILY MAX	7-DAY AVG	MEASUREMENT FREQUENCY	SAMPLE TYPE
Biochemical Oxygen Demand, 5-day	00310	71.30	N/A	107	30	N/A	45	Twice/Month	Grab
Total Suspended Solids	00530	71.30	N/A	107	30	N/A	45	Twice/Month	Grab
Fecal Coliform (Colonies/100 ml)	74055	N/A	N/A	N/A	500	500	N/A	Twice/Month	Grab

Final Effluent limits: 0.285 MGD Design Flow
 Effective until January 31, 2010

Permit Limits Central NM Correctional Facility – NM0028851

EFFLUENT CHARACTERISTICS		DISCHARGE LIMITATIONS						MONITORING REQUIREMENTS	
		lbs/day, unless noted			mg/l, unless noted (*1)				
POLLUTANT	STORET CODE	30-DAY AVG	DAILY MAX	7-DAY AVG	30-DAY AVG	DAILY MAX	7-DAY AVG	MEASUREMENT FREQUENCY	SAMPLE TYPE
Biochemical Oxygen Demand, 5-day	00310	71.30	N/A	N/A	30	N/A	45	Twice/Month	Grab
Total Suspended Solids	00530	71.30	N/A	N/A	30	N/A	45	Twice/Month	Grab
Fecal Coliform (Colonies/100 ml)	74055	N/A	N/A	N/A	500	500	N/A	Twice/Month	Grab

Attachment B
Permit Effluent Violations NM0028851

Date	Outfall	Parameter	Violation	Permit Limit
October 2010	001A	Biochemical Oxygen Demand, 30 Day Average	72.5 lbs./day	71.3 lbs./day
October 2010	001A	Biochemical Oxygen Demand, 30 Day Average	60 mg/L	30 mg/L
October 2010	001A	Biochemical Oxygen Demand, 7 Day Average	85 mg/L	45 mg/L
February 2009	001A	Total Suspended Solids, 30 Day Average	37 mg/L	30 mg/L
February 2009	001A	Total Suspended Solids, 7 Day Average	51mg/L	45 mg/L
February 2009	001A	Fecal Coliform, 30 Day Average	735 Colonies/100ml	500 Colonies/100ml
February 2009	001A	Fecal Coliform, Daily Max.	60,000 Colonies/100ml	500 Colonies/100ml
April 2009	001A	Fecal Coliform, Daily Max.	5,999.9 Colonies/100ml	500 Colonies/100ml
April 2009	001A	Fecal Coliform, 30 Day Average	5,999.9 Colonies/100ml	500 Colonies/100ml
December 2007	001A	Fecal Coliform, 30 Day Average	629 Colonies/100ml	500 Colonies/100ml
December 2007	001A	Fecal Coliform, Daily Max.	60,000 Colonies/100ml	500 Colonies/100ml



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Region 6 • 1445 Ross Avenue, Suite 1200 • Dallas, TX 75202-2733
FINDINGS OF VIOLATION and ORDER FOR COMPLIANCE
Docket Number: CWA-06-2011-1821, NPDES Permit Number: NM0028851

STATUTORY AUTHORITY

The following findings are made, and Order issued, under the authority vested in the Administrator of the United States Environmental Protection Agency ("EPA"), by Section 309(a) of the Clean Water Act ("the Act"), 33 U.S.C. § 1319(a). The Administrator of EPA delegated the authority to issue this Order to the Regional Administrator of EPA Region 6, who delegated this authority to the Director of the Compliance Assurance and Enforcement Division.

FINDINGS

1. The Central New Mexico Correctional Facility ("Respondent") is a correctional facility chartered under the laws of the State of New Mexico, and as such, is a "person," as that term is defined at Section 502(5) of the Act, 33 U.S.C. § 1362(5), and 40 C.F.R. § 122.2.

2. At all times relevant to this Order ("all times relevant"), the Respondent owned or operated a wastewater treatment plant, located at 1525 Morris Road, in Los Lunas, Valencia County, New Mexico ("facility"), and was, therefore, an "owner or operator" within the meaning of 40 C.F.R. § 122.2. The mailing address for the Respondent is P.O. Drawer 1328, Los Lunas, NM 87032.

3. At all times relevant, the facility was a "point source" of a "discharge" of "pollutants" with its municipal wastewater to the receiving waters of the Los Chaves Drain, thence to the Middle Rio Grande Basin in Segment 20.6.4.105 of the Rio Grande Basin, which is considered a "water of the United States" within the meaning of Section 502 of the Act, 33 U.S.C. § 1362, and 40 C.F.R. § 122.2.

4. Because the Respondent owned or operated a facility that acted as a point source of discharges of pollutants to waters of the United States, the Respondent and the facility were subject to the Act and the National Pollutant Discharge Elimination System ("NPDES") program.

5. Under Section 301 of the Act, 33 U.S.C. § 1311, it is unlawful for any person to discharge any pollutant from a point source to waters of the United States, except with the authorization of, and in compliance with, an NPDES permit issued pursuant to Section 402 of the Act, 33 U.S.C. § 1342.

6. Section 402(a) of the Act, 33 U.S.C. § 1342(a), provides that the Administrator of EPA may issue permits under the NPDES program for the discharge of pollutants from point sources to waters of the United States. Any such discharge is

subject to the specific terms and conditions prescribed in the applicable permit.

7. The Respondent applied for and was issued NPDES Permit No. NM0028851 ("permit") under Section 402 of the Act, 33 U.S.C. § 1342, which became effective on February 1, 2005, and was re-issued on January 1, 2011. At all times relevant, the Respondent was authorized to discharge pollutants from the facility to waters of the United States only in compliance with the specific terms and conditions of the permit.

8. Parts III.C and III.D of the permit require the Respondent to sample and test its effluent and monitor its compliance with permit conditions according to specific procedures, in order to determine the facility's compliance or noncompliance with the permit and applicable regulations. They also require the Respondent to file with EPA certified Discharge Monitoring Reports ("DMRs") of the results of monitoring, and Noncompliance Reports when appropriate. The Respondent failed to submit DMRs from July 2008, to March 2011.

9. Part I.A of the permit places certain limitations on the quality and quantity of effluent discharged by the Respondent. The relevant discharge limitations are specified in the enclosed Attachment A.

10. Certified DMRs filed by the Respondent with EPA in compliance with the permit, show discharges of pollutants; specifically, Biochemical Oxygen Demand ("BOD"), Fecal Coliform, and Total Suspended Solids ("TSS"), from the facility that exceed the permitted effluent limitations established in Part I.A of the permit. The violations are specified in the enclosed Attachment B.

11. On February 16, 2011, the facility was inspected by the New Mexico Environment Department. As a result, unsatisfactory findings were specified in the inspection report; violations and/or deficiencies for the evaluated areas include Sanitary Sewer Overflow. The entire report of the February 16, 2011, inspection is hereby incorporated into this Order by reference.

12. Pursuant to Part I.D of the permit, the Respondent shall report all overflows with the Discharge Monitoring Report submittal. These reports shall be summarized and reported in tabular format. The summaries shall include the date, time, duration, location, estimated volume, and cause(s) of the overflow; actions taken to address the overflow; and the discharge location-if not contained.

13. Each instance in which the Respondent discharged pollutants to waters of the United States in amounts exceeding the effluent limitations contained in the permit was a violation of the permit and Section 301 of the Act, 33 U.S.C. § 1311. Each violation of the conditions of the permit or regulations described above is a violation of Section 301 of the Act, 33 U.S.C. § 1311.

ORDER

Based on the foregoing Findings and pursuant to the authority of Section 309 of the Act, EPA hereby orders the Respondent to take the following actions:

A. Within thirty (30) days of the effective date of this Order, the Respondent shall certify compliance with permit effluent limitations for BOD (7 and 30 Day Averages); Fecal Coliform (Daily Max and 30 Day Averages); and Total Suspended Solids (7 Day and 30 Day Averages).

B. Within thirty (30) days of the effective date of this Order, the Respondent shall also provide the EPA with a list of all mechanical and operational deficiencies and a narrative describing the specific actions taken to correct violations for BOD (7 and 30 Day Averages), Fecal Coliform (Daily Max and 30 Day Averages), and Total Suspended Solids (7 Day and 30 Day Averages).

C. In the event it will take the Respondent longer than thirty (30) days to provide an explanation as to why effluent exceedances cannot be corrected, a schedule for repair/correction shall be submitted to the EPA for review and approval. The schedule shall be submitted to the EPA within thirty (30) days of the effective date of this Order.

D. Any approved compliance schedule will be incorporated and reissued in a future administrative order.

E. Within thirty (30) days of the effective date of this Order, the Respondent shall also submit to the EPA DMRs from July 2008, to March 2011.

F. To ask questions or comment on this matter, please contact Ms. Sonia Hall at (214) 665-7490 or Ms. Carol Peters at (214) 665-7285.

G. Any information or correspondence submitted by the Respondent to EPA under this Order shall be addressed to the following:

Ms. Sonia Hall
Water Enforcement Branch (6EN-WC)
EPA, Region 6
1445 Ross Ave., Suite 1200
Dallas, TX 75202-2733

GENERAL PROVISIONS

Issuance of this Order shall not be deemed an election by EPA to forego any administrative or judicial, civil or criminal action to seek penalties, fines, or any other relief appropriate under the Act for the violations cited herein, or other violations that become known. EPA reserves the right to seek any remedy available under the law that it deems appropriate.

Failure to comply with this Order or the Act can result in further administrative action, or a civil judicial action initiated by the United States Department of Justice.

This Order does not constitute a waiver or modification of the terms or conditions of the Respondent's NPDES permit, which remain in full force and effect. Compliance with the terms and conditions of this Order does not relieve the Respondent of its obligation to comply with any applicable federal, state, or local law or regulation.

11-10-11

Date



John Blevins
Director
Compliance Assurance and
Enforcement Division