UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

REGION 7 901 NORTH 5th STREET KANSAS CITY, KANSAS 66101

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IN THE MATTER OF:)
MIKE BETTIN D/B/A MIKE BETTIN FEEDLOT,) Docket No. CWA-07-2010-0169
RESPONDENT)
Proceedings under Section 309(g) of the Clean Water Act, 33 U.S.C. § 1319(g))))

CONSENT AGREEMENT AND FINAL ORDER

The United States Environmental Protection Agency, Region 7 (EPA) and Mike Bettin (Respondent), doing business as Mike Bettin Feedlot, have agreed to a settlement of the alleged violations set forth in this Consent Agreement and Final Order. This action is simultaneously commenced and concluded pursuant to Rules 22.13(b) and 22.18(b)(2) of the Consolidated Rules of Practice Governing the Administrative Assessment of Civil Penalties, Issuance of Compliance or Corrective Action Orders, and the Revocation, Termination or Suspension of Permits, 40 C.F.R. Part 22 (Consolidated Rules).

This Consent Agreement and Final Order completely and finally settles all civil and administrative penalty claims and causes of action set forth below for Respondent's alleged discharges of pollutants into the Boyer River and its tributaries, waters of the United States, in Sac County, Iowa.

ALLEGATIONS

Jurisdictional Allegations

- 1. This is an administrative action for the assessment of civil penalties, instituted pursuant to Section 309(g)(2)(B) of the Clean Water Act (CWA), 33 U.S.C. § 1319(g)(2)(B), and in accordance with the Consolidated Rules.
- 2. This Consent Agreement and Final Order serves as notice that EPA has reason to believe that Respondent violated Section 301 of the CWA, 33 U.S.C. § 1311, by discharging pollutants from a concentrated animal feeding operation (CAFO) into the navigable waters of the United States without obtaining the necessary permit(s) required by Section 402 of the CWA, 33 U.S.C. § 1342.

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Statutory and Regulatory Framework

- 3. Section 301(a) of the CWA, 33 U.S.C. §1311(a), prohibits the discharge of pollutants except in compliance with, *inter alia*, a National Pollutant Discharge Elimination System (NPDES) permit issued pursuant to Section 402 of the CWA, 33 U.S.C. § 1342.
- 4. The CWA prohibits the unpermitted discharge of "pollutants" by any "person" from a "point source" into a "navigable water" of the United States, as these terms are defined by Section 502 of the CWA, 33 U.S.C. § 1362.
- 5. "Pollutant" is defined by Section 502(6) of the CWA, 33 U.S.C. § 1362 to include, *inter alia*, biological materials and agricultural waste discharged to water.
- 6. "Point source" is defined by Section 502(14) of the CWA, 33 U.S.C. § 1362 to include "any discernible, confined and discrete conveyance, including but not limited to any pipe, ditch, channel, tunnel, conduit, well, discrete fissure, container, rolling stock, concentrated animal feeding operation . . . from which pollutants are or may be discharged."
- 7. "Animal feeding operation" or "AFO" is defined by 40 C.F.R. § 122.23(b)(1) as a lot or facility where animals have been, are, or will be stabled or confined and fed or maintained for a total of 45 days or more in any twelve month period, and where crops, vegetation, forage growth, or post-harvest residues are not sustained in the normal growing season over any portion of the lot or facility.
- 8. "Concentrated animal feeding operation" or "CAFO" is defined by 40 C.F.R. § 122.23(b)(2) as an animal feeding operation that is defined as a Large CAFO in accordance with 40 C.F.R. § 122.23(b)(3).
- 9. "Large CAFO" is defined according to 40 C.F.R. § 122.23(b)(4)(iii) as an animal feeding operation that stables or confines more than "1,000 cattle other than mature dairy cows or veal calves."
- 10. "Waters of the United States" are defined in 40 C.F.R. § 122.2 to include intrastate rivers and streams, and tributaries thereto.
- 11. The Iowa Department of Natural Resources ("IDNR") is the agency within the state of Iowa with the authority to administer the federal NPDES Program. EPA maintains concurrent enforcement authority with authorized state NPDES programs for violations of the CWA.

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12. Section 309(g) of the CWA, 33 U.S.C. § 1319(g), authorizes EPA to commence an action for administrative penalties against any person who violates Section 301 of the CWA, 33 U.S.C. § 1311.

Factual Allegations

- 13. Respondent owns or operates an animal feeding operation ("Facility") that is located in Section 16 of Township 87 North, Range 37 West, in Sac County, Iowa.
- 14. On October 28, 2009, EPA personnel conducted a compliance evaluation inspection of the Facility. EPA personnel performed a follow-up facility visit on March 16, 2010.
- 15. At the October 28, 2009, EPA inspection, the Facility was confining approximately 993 head of cattle. Inventory records document that the Facility confined greater than 1,000 head nine of the twelve months before the inspection. The number of cattle confined and fed at the Facility was greater than 1,000 for 45 days or more during a twelve month period, therefore the Facility is a large CAFO as that term is defined in 40 C.F.R. §122.23(b)(4) and as that phrase is used in Section 502(14) of the CWA, 33 U.S.C. § 1362(14).
- 16. EPA issued an Administrative Compliance Order (ACO) on March 3, 2010, requiring the Respondent to, among other things, reduce the number of cattle at the facility, unless he is able to prevent all discharges to waters of the United States or obtain a NPDES permit. Based on information provided by the Respondent, he has reduced the number of cattle at the facility and is instituting controls to cease discharges to waters of the United States.
- 17. Respondent is a "person" as defined by Section 502(5) of the CWA, 33 U.S.C. § 1362.
- 18. The Facility confines and feeds or maintains cattle for a total of forty-five (45) days or more in any twelve month period.
- 19. Neither crops, vegetation, forage growth, nor post harvest residues are sustained over any portion of the Facility's feeding areas at all times pertinent to this Consent Agreement and Final Order.
- 20. The Facility is an AFO as defined by 40 C.F.R. § 122.23(b)(1) at all times pertinent to this Consent Agreement and Final Order.
- 21. IDNR issued an NPDES permit for the Facility on January 31, 1975. This permit listed a capacity of 2,000 beef cattle. However the permit expired March 1, 1980.

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Respondent does not have an NPDES permit for the Facility and did not have an NPDES permit at any time pertinent to this Consent Agreement and Final Order.

- 22. At all times pertinent to this Consent Agreement and Final Order, runoff from Respondent's Facility flowed east through a grass waterway and/or row crop field approximately 500 yards before entering the Boyer River.
- The Boyer River and its tributaries are waters of the United States, as defined by 40 C.F.R. Part 122.2.
- 24. At times pertinent to this Consent Agreement and Final Order, the flow of wastewater from Respondent's Facility to the Boyer River constituted unauthorized discharges of pollutants from a point source to waters of the United States. These were violations of Sections 301 and 402 of the CWA, 33 U.S.C. §§ 1311 and 1342, and implementing regulations. Furthermore, Respondent's failure to obtain an NPDES permit for the Facility was also a violation of Sections 308 and 402 of the CWA, 33 U.S.C. §§ 1318 and 1342.

CONSENT AGREEMENT

- 25. Respondent admits the jurisdictional allegations in this Consent Agreement and Final Order and agrees not to contest EPA's jurisdiction in this proceeding or any subsequent proceeding to enforce the terms of this Consent Agreement and Final Order.
- 26. Respondent neither admits nor denies the factual allegations contained in this Consent Agreement and Final Order.
- 27. Respondent waives any right to contest the allegations as well as its right to appeal the Final Order accompanying this Consent Agreement.
- 28. Respondent and Complainant each agree to bear their own costs and attorney's fees.
- 29. Nothing in this Consent Agreement and Final Order shall alter or otherwise effect Respondent's obligation to comply with all applicable federal, state, and local environmental statutes and regulations and applicable permits.
- 30. This CAFO addresses all civil and administrative claims for the CWA violations identified above. Complainant reserves the right to take any enforcement action with respect to any other violations of the CWA or any other applicable law.

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- 31. Respondent certifies by the signing of this Consent Agreement and Final Order that to the best of its knowledge, Respondent is in compliance with Sections 301 and 402 of the CWA, 33 U.S.C. §§ 1311 and 1342, with respect to the facility located in Section 16 of Township 87 North, Range 37 West, in Sac County, Iowa.
- 32. The effect of settlement described in paragraph 30 above is conditional upon the accuracy of the Respondent's representations to EPA, as memorialized in paragraph 31 above, of this CAFO.
- 33. Respondent agrees that, in settlement of the claims alleged in this CAFO, Respondent shall pay a penalty of Thirty-Three Thousand Six Hundred and Four Dollars and No Cents (\$33,604.00) as set forth in Paragraph 1 of the Final Order.
- 34. Respondent understands that failure to pay any portion of the civil penalty on the date the same is due may result in the commencement of a civil action in Federal District Court to collect said penalty, along with interest thereon at the applicable statutory rate.

FINAL ORDER

Payment Procedures

Pursuant to the authority of Section 309(g) of the CWA, 33 U.S.C. § 1319(g), and according to terms of this CAFO, IT IS HEREBY ORDERED THAT:

- 1. Respondent shall pay a civil penalty of Thirty-Three Thousand Six Hundred and Four Dollars and No Cents (\$33,604.00) due within thirty (30) days of the effective date of this CAFO.
- 2. Interest on any late payment will be assessed at the annual rate established by the Secretary of the Treasury pursuant to 31 U.S.C. § 3717. The interest will be assessed on any overdue amount from the due date through the date of payment. Failure to pay the civil penalty when due may result in the commencement of a civil action in Federal District Court to collect said penalty, together with costs and interest.
- 3. Payment of the penalty shall be by cashier or certified check made payable to the "United States Treasury" and remitted to:

U.S. Environmental Protection Agency Fines and Penalties Cincinnati Finance Center P.O. Box 979077 St. Louis, Missouri 63197-9000. In the matter of:
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This payment shall reference docket number CWA-07-2010-0169.

Copies of the check shall be mailed to:

Sara Hertz Wu Assistant Regional Counsel U.S. Environmental Protection Agency – Region 7 901 North 5th Street Kansas City, Kansas 66101;

and to

Kathy Robinson Regional Hearing Clerk U.S. Environmental Protection Agency - Region 7 901 North 5th Street Kansas City, Kansas 66101.

4. No portion of the civil penalty or interest paid by Respondent pursuant to the requirements of this CAFO shall be claimed by Respondent as a deduction for federal, state, or local income tax purposes.

Parties Bound

5. This Final Order portion of this CAFO shall apply to and be binding upon Respondent and Respondent's agents, successors, and/or assigns. Respondent shall ensure that all contractors, employees, consultants, firms or other persons or entities acting for Respondent with respect to matters included herein comply with the terms of this CAFO.

General Provisions

- 6. Notwithstanding any other provision of this CAFO, EPA reserves the right to enforce the terms of the Final Order portion of this CAFO by initiating a judicial or administrative action pursuant to Section 309 of the CWA, 33 U.S.C. § 1319, and to seek penalties against Respondent or to seek any other remedy allowed by law.
- 7. Complainant reserves the right to take enforcement action against Respondent for any future violations of the CWA and its implementing regulations and to enforce the terms and conditions of this CAFO.

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- 8. This Order shall be entered and become effective only after the conclusion of the period of public notice and comment required pursuant to Section 309(g)(4) of the CWA, 33 U.S.C. § 1319(g)(4), and 40 C.F.R. § 22.45. The effective date shall be the date it is signed by the Regional Judicial Officer.
- 9. Respondent and Complainant shall bear their respective costs and attorney's fees.
- 10. The headings in this CAFO are for convenience of reference only and shall not affect interpretation of this CAFO.

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COMPLAINANT:

U.S. ENVIRONMENTAL PROTECTION

AGENCY

Villiam A. Spratlin

Director

Water, Wetlands and Pesticides Division U.S. Environmental Protection Agency

Region 7

Assistant Regional/Counsel

U.S. Environmental Protection Agency

Region 7

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> RESPONDENT: MIKE BETTIN D/B/A MIKE BETTIN FEEDLOT.

Date

Name (Print)

Title Ohnhan

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IT IS SO ORDERED. This Final Order shall become effective immediately.

Robert Patrick

Regional Judicial Officer

Date

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CERTIFICATE OF SERVICE

I certify that a true and correct copy of the foregoing Consent Agreement and Final Order was sent this day in the following manner to the addressees:

Copy hand delivered to Attorney for Complainant:

Sara S. Hertz Wu Assistant Regional Counsel Region 7 United States Environmental Protection Agency 901 N. 5th Street Kansas City, Kansas 66101

Copy by Certified Mail Return Receipt to:

Mike Bettin Bettin Feedlot 3087 Highway 71 Odebolt, Iowa 51458

Eldon McAfee Beving, Swanson & Forrest, P.C. 321 E. Walnut Street, Suite 200 Des Moines, Iowa 50309

Copy by First Class Mail to:

Ken Hessenius Field Office Supervisor, Field Office #3 Iowa Department of Natural Resources 1900 North Grand Avenue Spencer, Iowa 51301

Dated: 12/10/10

Kathy Robinson

Hearing Clerk, Region 7