

**Penalty Calculation Worksheet**  
**In the Matter of Taotao USA, Inc., et al., Docket No. CAA-HQ-2015-8065**

	<b>Count 1</b>	<b>Count 2</b>	<b>Count 3</b>	<b>Count 4</b>
Engine Family	ETAOC.049MC2	DTAOC.150MC2	DTAOC.049MC2	CTAOC.049MC1
No. of Vehicles	17,665	2,230	26,357	21,275
No. Imported After Dec. 6, 2013, but Before Nov. 3, 2015	0	0	0	0
No. Imported After Nov. 2, 2015	0	0	0	0
No. Remediated	0	0	0	0
Base Per-Vehicle Gravity	\$236.00	\$589.60	\$236.00	\$236.00
Adjusted Per-Vehicle Gravity	\$1,534.00	\$3,832.40	\$767.00	\$767.00
Scaled Total Gravity	\$43,356.97	\$282,984.41	\$32,345.31	\$17,976.76
Increase for Failure to Remediate (30%)	\$13,007.09	\$84,895.32	\$9,703.59	\$5,393.02
Increase for Size of Business	\$0	\$0	\$0	\$0
Total Pre-Inflation Gravity	\$56,364.06	\$367,879.73	\$42,048.90	\$23,369.78
Increase for 1.0487 Inflation Multiplier Applied to % of Gravity Attributable to Vehicles Imported After Dec. 6, 2013, But Before Nov. 3, 2015	\$0	\$0	\$0	\$0
Increase for 1.10020 Inflation Multiplier applied to % of Gravity Attributable to Vehicles Imported After Nov. 2, 2015	\$0	\$0	\$0	\$0
Total Inflation-Adjusted Gravity	\$56,364.06	\$367,879.73	\$42,048.90	\$23,369.78
Increase for Willfulness & Negligence (20%)	\$11,272.81	\$73,575.94	\$8,409.78	\$4,673.95
Increase for Failure to Cooperate	\$0	\$0	\$0	\$0
Increase for History of Noncompliance (20%)	\$11,272.81	\$73,575.94	\$8,409.78	\$4,673.95
Total Gravity	\$78,909.68	\$515,031.61	\$58,868.46	\$32,717.68
Economic Benefit	\$264,975.00	\$33,450.00	\$395,355.00	\$319,125.00
<b>Total Penalty</b>	<b>\$343,884.68</b>	<b>\$548,481.61</b>	<b>\$454,223.46</b>	<b>\$351,842.68</b>

Notes: All vehicles in Counts 1 through 8 are assumed to have been imported prior to Dec. 6, 2013.  
All amounts have been rounded down to the nearest hundredth.

	<b>Count 5</b>	<b>Count 6</b>	<b>Count 7</b>	<b>Count 8</b>
Engine Family	ETAOX0.12A1T	DTAOX0.15G2T	DTAOX.124AAA	DTAOX0.12A1T
No. of Vehicles	21,547	1,520	864	16,825
No. Imported After Dec. 6, 2013, but Before Nov. 3, 2015	0	0	0	0
No. Imported After Nov. 2, 2015	0	0	0	0
No. Remediated	0	0	0	0
Base Per-Vehicle Gravity	\$670.40	\$589.60	\$450.40	\$670.40
Adjusted Per-Vehicle Gravity	\$2,178.80	\$1,916.20	\$1,463.80	\$2,178.80
Scaled Total Gravity	\$183,461.93	\$4,660.19	\$2,023.55	\$58,653.29
Increase for Failure to Remediate (30%)	\$55,038.58	\$1,398.05	\$607.06	\$17,595.98
Increase for Size of Business	\$0	\$0	\$0	\$0
Total Pre-Inflation Gravity	\$238,500.51	\$6,058.24	\$2,630.61	\$76,249.27
Increase for 1.0487 Inflation Multiplier Applied to % of Gravity Attributable to Vehicles Imported After Dec. 6, 2013, But Before Nov. 3, 2015	\$0	\$0	\$0	\$0
Increase for 1.10020 Inflation Multiplier applied to % of Gravity Attributable to Vehicles Imported After Nov. 2, 2015	\$0	\$0	\$0	\$0
Total Inflation-Adjusted Gravity	\$238,500.51	\$6,058.24	\$2,630.61	\$76,249.27
Increase for Willfulness & Negligence (20%)	\$47,700.09	\$1,211.64	\$526.12	\$15,249.85
Increase for Failure to Cooperate	\$0	\$0	\$0	\$0
Increase for History of Noncompliance (20%)	\$47,700.09	\$1,211.64	\$526.12	\$15,249.85
Total Gravity	\$333,900.69	\$8,481.52	\$3,682.85	\$106,748.97
Economic Benefit	\$323,205.00	\$22,800.00	\$12,960.00	\$252,375.00
<b>Total Penalty</b>	<b>\$657,105.69</b>	<b>\$31,281.52</b>	<b>\$16,642.85</b>	<b>\$359,123.97</b>

	<b>Count 9</b>	<b>Count 10</b>
Engine Family	FTAOX0.15G2T	GTAOX0.15G2T
No. of Vehicles	1,290	391
No. Imported After Dec. 6, 2013, but Before Nov. 3, 2015	1,251 (96.98% of total imported)	0
No. Imported After Nov. 2, 2015	39 (3.02% of total imported)	391 (100% of total imported)
No. Remediated	2	64
Base Per-Vehicle Gravity	\$589.60	\$589.60
Adjusted Per-Vehicle Gravity	\$3,832.40	\$3,832.40
Scaled Total Gravity	\$254,164.76	\$11,987.74
Increase for Failure to Remediate (30%)	\$76,128.52	\$3,006.76
Increase for Size of Business	\$0	\$0
Total Pre-Inflation Gravity	\$330,293.28	\$14,994.50
Increase for 1.0487 Inflation Multiplier Applied to % of Gravity Attributable to Vehicles Imported After Dec. 6, 2013, But Before Nov. 3, 2015	\$15,599.50	\$0
Increase for 1.10020 Inflation Multiplier applied to % of Gravity Attributable to Vehicles Imported After Nov. 2, 2015	\$999.48	\$1,502.44
Total Inflation-Adjusted Gravity	\$346,892.26	\$16,496.94
Increase for Willfulness & Negligence (20%)	\$69,378.45	\$3,299.38
Increase for Failure to Cooperate	\$0	\$0
Increase for History of Noncompliance (20%)	\$69,378.45	\$3,299.38
Total Gravity	\$485,649.16	\$23,095.70
Economic Benefit	\$19,320.00	\$4,905.00
<b>Total Penalty</b>	<b>\$504,969.16</b>	<b>\$28,000.70</b>

	<b>Taotao USA, Inc. &amp; Taotao Group Co., Ltd.</b>	<b>Taotao USA, Inc. &amp; Jinyun County Xiangyuan Industry Co., Ltd.</b>	
<b>Count 1</b>	\$343,884.68	n/a	ETAOC.049MC2
<b>Count 2</b>	\$548,481.61	n/a	DTAOC.150MC2
<b>Count 3</b>	\$454,223.46	n/a	DTAOC.049MC2
<b>Count 4</b>	\$351,842.68	n/a	CTAOC.049MC1
<b>Count 5</b>	n/a	\$657,105.69	ETAOX0.12A1T
<b>Count 6</b>	n/a	\$31,281.52	DTAOX0.15G2T
<b>Count 7</b>	n/a	\$16,642.85	DTAOX.124AAA
<b>Count 8</b>	n/a	\$359,123.97	DTAOX0.12A1T
<b>Count 9</b>	n/a	\$504,969.16	FTAOX0.15G2T
<b>Count 10</b>	n/a	\$28,000.70	GTAOX0.15G2T
<b>Totals</b>	<b>\$1,698,432.43</b>	<b>\$1,597,123.89</b>	<b>\$3,295,556.32</b>