

UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

REGION 4
ATLANTA FEDERAL CENTER
61 FORSYTH STREET
ATLANTA, GEORGIA 30303-8960

UNITED PARCEL SERVICE

Mr. Andy Jennings Director Health, Safety and Environment Real Alloy Specification, Inc. 3700 Park East Drive Beachwood, Ohio 44122

> Re: Real Alloy Specification, Inc. Expedited Settlement Agreement CAA-04-2015-8006(b)

Dear Mr. Jennings:

Enclosed please find an executed copy of the Expedited Settlement Agreement (ESA) that resolves the Clean Air Act (CAA) matter (Docket No. CAA-04-2015-8006(b)) involving Real Alloy Specification, Inc. The ESA was filed with the Regional Hearing Clerk, as required by 40 CFR Part 22, and became effective on the date of the filing.

Also enclosed, please find a copy of the "Notice of Securities and Exchange Commission Registrants' Duty to Disclose Environmental Legal Proceedings." This document puts Real Alloy Specification, Inc., on notice of its potential duty to disclose to the Securities and Exchange Commission (SEC) any environmental enforcement actions taken by the U. S. Environmental Protection Agency Region 4.

Should you have any questions concerning the SEC's environmental disclosure requirements, or your compliance status in the future, please contact Mr. Victor Weeks of the EPA Region 4 staff at (404) 562-9189.

Sincerely

Røbert W. Bookman

Chief

Chemical Management and Emergency

Planning Section

Enclosures

UNITED STATES ENVIRONMENTAL PROTECTION AGENCY REGION 4

EXPEDITED SETTLEMENT AGREEMENT

DOCKET NO: CAA-04-2015-8006(b)

This ESA is issued to: Real Alloy Specification, Inc.

47 Brogdon Road

Steele, Alabama 35987

for violating 40 CFR § 68.30, 40 CFR § 68.65, 40 CFR § 68.67, 40 CFR § 68.160, 40 CFR § 68.190, and Section 112(r)(7) of the Clean Air Act.

This Expedited Settlement Agreement (ESA) is being entered into by the United States Environmental Protection Agency (EPA), Region 4, the Director of the Air, Pesticides and Toxics Management Division (Complainant), and by Real Alloy Specification, Inc. (Respondent), pursuant to Section 113(d) of the Clean Air Act (the "Act"), 42 U.S.C. § 7413(d), and pursuant to 40 CFR § 22.13(b).

ALLEGED VIOLATIONS

Based on a compliance monitoring inspection conducted at the Respondent's facility located at 47 Brogdon Road, Steele, Alabama 35987, on July 8, 2014, EPA alleges that the Respondent violated the Act's Chemical Accident Prevention Program, when at the time of inspection, Respondent did not provide evidence that:

It used the most recent Census data, or other updated information, to estimate the population potentially affected by the offsite consequence as required by 40 CFR § 68.30(c);

It documented that equipment complies with recognized and generally accepted good engineering practices as required by 40 CFR § 68.65(d)(2);

It established a system to promptly address the team's findings and recommendations; assured that the recommendations are resolved in a timely manner and documented; documented what actions are to be taken; completed actions as soon as possible; developed a written schedule of when these actions are to be completed as required by 40 CFR § 68.67(e);

Its single RMP registration form included the maximum quantity of each regulated substance or mixture in the process (in pounds) to two significant digits as required by 40 CFR § 68.160(b)(7); and

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It updated the RMP within six months of a change that requires a revised PHA or hazard review as required by 40 CFR § 68.190(b)(5).

SETTLEMENT

In consideration of Respondent's size, its full compliance history, its good faith effort to comply, and other factors as justice may require, and upon consideration of the entire record the parties enter into the ESA in order to settle the violations cited above, for the total penalty amount of \$6,080.

This settlement is subject to the following terms and conditions: the Respondent by signing below admits the jurisdictional allegations contained herein, neither admits nor denies the specific factual allegations contained herein, and consents to the assessment of the penalty as stated above; Respondent waives its rights to a hearing afforded by Section 113(d)(2)(A) of the Act, 42 U.S.C § 7413(d)(2)(A), and to appeal this ESA or otherwise contest the allegations contained in this ESA; and each party to this action shall bear its own costs and fees, if any.

Respondent certifies, subject to civil and criminal penalties for making a false submission to the United States Government, that the Respondent has corrected the alleged violations listed in this ESA.

Within fifteen (15) days of receiving a copy of the fully executed ESA, Respondent shall pay a civil penalty in the amount of \$6,080. Respondent's payment shall be made by sending a cashier's check or certified check (payable to the "Treasurer, United States of America") in the amount of \$6,080, in payment of the full penalty amount to one of the following addresses:

For payment sent via electronic transfer
Federal Reserve Bank of New York
ABA = 021030004
Account = 68010727
SWIFT address = FRNYUS33
33 Liberty Street
New York, New York 10045
Beneficiary: "U.S. Environmental Protection Agency";

For payment sent via standard delivery U.S. Environmental Protection Agency PO Box 979077 St. Louis, MO 63197-9000; or

For payment sent for signed receipt confirmation (FedEx, DSL, UPS, USPS Certified)
U.S. Environmental Protection Agency
1005 Convention Plaza
SL-MO-C2GL
St. Louis, MO 63101
Contact: Craig Steffen @ 513-487-2091.

Real Alloy Specification, Inc. CAA-04-2015-8006(b)

The Respondent's name and the Docket Number of this ESA <u>must be included on the check</u>. The Docket Number is located at the top left corner of the first page of this ESA.

At the time of payment, Respondent shall send a separate copy of the check, and a written statement that payment has been made in accordance with this ESA to:

Saundi Wilson
U. S. EPA, Region 4
Office of Regional Counsel
61 Forsyth Street S.W.
Atlanta, Georgia 30303, and

Regional Hearing Clerk U.S. EPA, Region 4 61 Forsyth Street, S.W. Atlanta, Georgia 30303

Deanne Grant
U. S. EPA, Region 4
Chemical Management and Emergency Planning Section
61 Forsyth Street S.W.
Atlanta, Georgia 30303

The penalty specified in this ESA shall represent civil penalties assessed by EPA and shall not be deductible for purposes of State or Federal taxes.

Upon Respondent's payment of the penalty, EPA will take no further civil action against Respondent for the alleged violations of the Act referenced in this ESA. EPA does not waive any other enforcement action for any other violations of the Act or any other statute.

The following individual represents EPA in this matter and is authorized to receive service for EPA in this proceeding:

Robert W. Bookman U.S. EPA, Region 4 Chemical Management and Emergency Planning Section 61 Forsyth Street S.W. Atlanta, Georgia 30303 (404) 562-9169.

THIS SECTION INTENTIONALLY LEFT BLANK

This ESA is binding on the parties signing below. This ESA is effective upon filing with the Regional Hearing Clerk.

FOR RESPONDENT:

Date: 5-6-16

Name (print): Avay Senvings

Title (print): Director Health Safety & Environment
Real Alloy Specification, Inc.

FOR COMPLAINANT:

Date: 5-6-16

Date: 5-6-16

Date: 5-6-16

Date: 5-6-16

Date: 5-6-16

Date: 5-6-16

Date: 5-19/16

Beverly H. Banister

Director

Air, Pesticides and Toxics Management Division

I hereby ratify the ESA and incorporate it herein by reference. It is so ORDERED.

Date: May 24 2016

Tanya Floyd

Regional Judicial Officer

CERTIFICATE OF SERVICE

I hereby certify that I have this day served a true and correct copy of the foregoing Expedited Settlement Agreement, in the matter of Real Alloy Specification, Inc., CAA-04-2015-8006(b), on the parties listed below in the manner indicated:

Robert W. Bookman U. S. EPA, Region 4 Air, Pesticides and Toxics Management Division 61 Forsyth Street Atlanta, GA 30303 (Via EPA's internal mail)

Marlene Tucker U. S. EPA, Region 4 Office of Regional Counsel 61 Forsyth Street Atlanta, GA 30303 (Via EPA's internal mail)

Andy Jennings Real Alloy Specification, Inc. 3700 Park East Drive Beachwood, OH 44122 (Via Certified Mail -Return Receipt Requested)

Date: 5 - 26 - 16

Patricia A. Bullock, Regional Hearing Clerk

United States Environmental Protection Agency, Region 4 Atlanta Federal Center 61 Forsyth Street, S.W. Atlanta, GA 30303 (404) 562-9511