



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

REGION 4
ATLANTA FEDERAL CENTER
61 FORSYTH STREET
ATLANTA, GEORGIA 30303-8960

JUL 16 2019

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Mr. Steve Baker
Superintendent
London Water Treatment Plant
1036 Waterworks Road
London, Kentucky 40741

Re: London Utility Commission
Expedited Settlement Agreement
CAA-04-2019-8009(b)

Dear Mr. Baker:

Enclosed please find an executed copy of the Expedited Settlement Agreement (ESA) that resolves the Clean Air Act (CAA) matter (Docket No. CAA-04-2019-8009(b)) involving London Utility Commission. The ESA was filed with the Regional Hearing Clerk, as required by 40 CFR Part 22 and became effective on the date of the filing. **The penalty payment should be made within 15 days after the receipt of the signed, approved and filed ESA.**

If you need additional information in this matter, please call Jordan Noles at (404) 562-9105.

Sincerely,

A handwritten signature in blue ink, appearing to read "Cesar Zapata", with a small "CZ" monogram to the right.

Cesar Zapata
Acting Chief
Air Enforcement Branch

Enclosures

UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
REGION 4

EXPEDITED SETTLEMENT AGREEMENT

DOCKET NO: CAA-04-2019-8009(b)

This ESA is issued to: London Utility Commission
1036 Waterworks Road
London, Kentucky

for violating 40 C.F.R. § 68.67, 40 C.F.R. § 68.69, 40 C.F.R. § 68.79 and Section 112(r)(7) of the Clean Air Act.

This Expedited Settlement Agreement (ESA) is being entered into by the United States Environmental Protection Agency, Region 4, the Director of the Enforcement and Compliance Assurance Division (Complainant), and by the London Utility Commission (Respondent), pursuant to Section 113(d) of the Clean Air Act (the "Act"), 42 U.S.C. § 7413(d), and pursuant to 40 C.F.R. § 22.13(b).

ALLEGED VIOLATIONS

Based on a compliance monitoring inspection conducted at the Respondent's facility, London Water Treatment Plant, located at 1036 Waterworks Road, London, Kentucky, on December 12, 2018, the EPA alleges that the Respondent violated the Act's Chemical Accident Prevention Provisions, when at the time of inspection, Respondent did not provide evidence that:

It retained process hazard analyses and updates or revalidations for each process covered, as well as the resolution of recommendations for the life of the process as required by 40 C.F.R. § 68.67(g);

It certified annually that the operating procedures are current and accurate and that procedures have been reviewed as often as necessary as required by 40 C.F.R. § 68.69(c); and

It certified that the stationary source has evaluated compliance with the provisions of the prevention program at least every three years to verify that the developed procedures and practices are adequate and being followed 40 C.F.R. § 68.79(a).

SETTLEMENT

In consideration of Respondent's size, its full compliance history, its good faith efforts to comply, and other factors as justice may require, and upon consideration of the entire record the parties enter into the ESA in order to settle the violations cited above, for the total penalty amount of \$600.

2019 JUL 16 AM 8:15
RECEIVED
REGIONAL OFFICE
ENFORCEMENT AND COMPLIANCE
ASSURANCE DIVISION

This settlement is subject to the following terms and conditions: the Respondent by signing below admits the jurisdictional allegations contained herein, neither admits nor denies the specific factual allegations contained herein, and consents to the assessment of the penalty as stated above; Respondent waives its rights to a hearing afforded by Section 113(d)(2)(A) of the Act, 42 U.S.C § 7413(d)(2)(A), and to appeal this ESA or otherwise contest the allegations contained in this ESA; and each party to this action shall bear its own costs and fees, if any.

Respondent certifies, subject to civil and criminal penalties for making a false submission to the United States Government, that the Respondent has corrected the alleged violations listed in this ESA.

Within fifteen (15) days of receiving a copy of the fully executed ESA, Respondent shall pay a civil penalty in the amount of **\$600**. Respondent's payment shall be made by sending a cashier's check or certified check (payable to the "Treasurer, United States of America") in the amount of **\$600**, in payment of the full penalty amount to one of the following addresses or via wire transfer:

For payment sent via electronic transfer

For payment by wire transfer, in lieu of a cashier's check or certified check, if desired, should be directed to the Federal Reserve Bank of New York using the following information:

ABA : 021030004

Account : 68010727

SWIFT address: FRNYUS33

33 Liberty Street

New York, New York 10045

Beneficiary: "U.S. Environmental Protection Agency";

The wire transfer instructions shall reference the Respondent's name and Docket Number of this ESA.

For payment sent via Standard Delivery

U.S. Environmental Protection Agency

Cincinnati Finance Center Box 979077

St. Louis, MO 63197-9000; or

For payment sent for Signed Receipt Confirmation (FedEx, DHL, UPS, USPS Certified, Registered, etc.)

U.S. Environmental Protection Agency

Cincinnati Finance Center Box 979077

1005 Convention Plaza

SL-MO-C2-GL

St. Louis, MO 63101

Delivery Location Phone Number: 314- 425-1819

The Respondent's name and the Docket Number of this ESA must be included on the check. The Docket Number is located at the top left corner of the first page of this ESA. At the time of payment, Respondent shall send a separate copy of the check, and a written statement that payment has been made in accordance with this ESA to:

Regional Hearing Clerk
U.S. EPA Region 4
61 Forsyth Street, S.W.
Atlanta, Georgia 30303, and

Jordan Noles
U.S. EPA Region 4
Air Section 1
Air Enforcement Branch
61 Forsyth Street S.W.
Atlanta, Georgia 30303

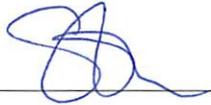
The penalty specified in this ESA shall represent civil penalties assessed by the EPA and shall not be deductible for purposes of State or Federal taxes.

Respondent's full compliance with this ESA shall only resolve Respondent's liability for Federal civil penalties for the violations alleged in this ESA. The EPA does not waive any other enforcement action for any other violations of the Act or any other statute.

This ESA is binding on the parties signing below. This ESA is effective upon filing with the Regional Hearing Clerk.

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FOR RESPONDENT:

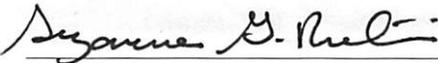


Date: 3/13/19

Name (print): STEVE BAKER

Title (print): SUPERINTENDENT
London Utility Commission

FOR COMPLAINANT:

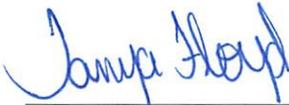


Date: 7/1/19

~~Carol L. Kemker~~ Suzanne G. Rubini
Acting Director
~~Air, Pesticides and Toxics Management Division~~

Enforcement & Compliance Assurance Division

I hereby ratify the ESA and incorporate it herein by reference. It is so ORDERED.



Date: July 12, 2019

Tanya Floyd
Regional Judicial Officer

CERTIFICATE OF SERVICE

I hereby certify that I have this day served a true and correct copy of the foregoing Expedited Settlement Agreement (ESA), in the matter of London Utility Commission, CAA-04-2019-8009(b), on the parties listed below in the manner indicated:

Jordan Noles
U. S. EPA, Region 4
Enforcement and Compliance
Assurance Division

(Via EPA's internal mail)

Michi Kono
U. S. EPA, Region 4
Office of Regional Counsel

(Via EPA's internal mail)

Mr. Steve Baker
Superintendent
London Water Treatment Plant
1036 Waterworks Road
London, Kentucky 40741

(Via Certified Mail -
(Return Receipt Requested)

Date: 7-16-19



Patricia A. Bullock, Regional Hearing Clerk
United States Environmental
Protection Agency, Region 4
Atlanta Federal Center
61 Forsyth Street, S.W.
Atlanta, GA 30303
(404) 562-9511