



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY  
REGION 4  
ATLANTA FEDERAL CENTER  
61 FORSYTH STREET  
ATLANTA, GEORGIA 30303-8960

**JUN 15 2007**

4WD-ROECB

CERTIFIED MAIL  
RETURN RECEIPT REQUESTED

Ms. Carol S. Goolsby  
General Manager, Hydro Fleet  
Duke Energy Corporation  
P.O. Box 1006  
Charlotte, NC 28201-1006

SUBJ: Consent Agreement and Final Order:  
Docket No. CWA-04-2007-5008

Dear Ms. Goolsby:

Enclosed is a copy of the Consent Agreement and Final Order (CAFO) for the above referenced matter. This CAFO became effective on the date of filing with the Regional Hearing Clerk as required by 40 C.F.R. §§ 22.6 and 22.31, and as indicated on the Certificate of Service.

The United States Environmental Protection Agency (EPA) hereby notifies you that the Expedited Settlement Agreement has been executed by both parties and is binding on EPA and you. Upon receipt of your assessed penalty of \$3,000.00, EPA will take no further action against you for the violations cited in the Settlement Agreement. Your copy of the executed agreement is enclosed.

You must submit your payment within seven (7) days of your receipt of this letter by either electronic funds transfer, certified or cashiers check made payable to "Environmental Protection Agency." The check and a letter accompanying the check shall reference the name of the responsible party (Oil Spill Liability Trust Fund -311, Duke Energy Corporation) and the EPA docket number CWA-04-2007-5008, and shall be sent depending upon your preferred method of payment identified in Enclosure A.

At the same time, Respondent shall send separate copy of each check and a written statement that the payment is being made in accordance with this CAFO, to the persons at the following addresses:

Regional Hearing Clerk  
U.S. EPA - Region 4  
61 Forsyth Street  
Atlanta, Georgia 30303-8960

Mel Rechtman at:  
U.S. EPA - Region 4  
RCRA/OPA Enforcement & Compliance Branch  
RCRA Division  
61 Forsyth Street, SW  
Atlanta, Georgia 30303-8960

If you have any questions, please contact Mel Rechtman at (404)562-8745.

Sincerely,

A handwritten signature in black ink, appearing to read "N. M. Kumar", with a long horizontal flourish extending to the right.

Narindar Kumar, Chief  
RCRA/OPA Enforcement & Compliance Branch  
RCRA Division

Enclosure:  
Expedited Settlement Agreement  
Enclosure A - Payment Information  
cc: USCG

**ENCLOSURE A**

**PAYMENT INFORMATION**

**Wire Transfers**

SWIFT Address MELNUS3P - (SWIFT address is only needed on international transfers)

Mellon Bank  
ABA 043000261  
Account 9109125  
22 Morrow Drive  
Pittsburgh PA 15235

Contact - Patricia McKaveney at 412-234-5805

**CHECK PAYMENTS**

**US checks by regular US postal service mail**

U.S. Environmental Protection Agency  
P.O. Box 371099M  
Pittsburgh, PA 15251

Contact - Patricia McKaveney at 412-234-5805

**For FedEx and other non-US Postal Service express mail the correct address is:**

Mellon Client Service Center  
ATTN: Shift Supervisor  
Lockbox 371099M Account 9109125  
500 Ross Street  
Pittsburgh, PA 15262-0001

Docket No. CWA-04-2007-5008

**CERTIFICATE OF SERVICE**

The undersigned certifies that a true and correct copy of the attached Consent Agreement and Final Order, in the Matter of Duke Energy Corporation, Docket No. CWA-04-2007-5008 (filed with the Regional Hearing Clerk on JUN 15 2007 2007) was served on JUN 15 2007 2007 in the manner specified to each of the persons set forth below:

Carol S. Goolsby  
General Manager, Hydro Fleet  
Duke Energy Corporation  
P.O. Box 1006  
Charlotte, NC 28201-1006

Via Certified Mail  
Return Requested

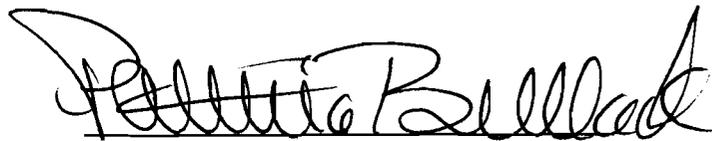
Victor Weeks, Risk Management Plan Coordinator  
EPCRA Enforcement Section  
U.S. EPA, Region 4  
61 Forsyth St.  
Atlanta, GA 30303

Via EPA's Internal Mail

Mel Rechtman  
RCRA OPA Enforcement & Compliance Branch  
U.S. EPA - Region 4  
61 Forsyth Street  
Atlanta, GA 30303

Via EPA's Internal Mail

Date: 6-15-07



Patricia A. Bullock, Regional Hearing Clerk  
United States Environmental  
Protection Agency, Region 4  
Atlanta Federal Center  
61 Forsyth Street, S.W.  
Atlanta, GA 30303  
(404) 562-9511

6/13/07

**UNITED STATES ENVIRONMENTAL PROTECTION AGENCY  
REGION 4  
61 FORSYTH STREET, ATLANTA, GEORGIA 30303  
EXPEDITED SPILL SETTLEMENT AGREEMENT**

DOCKET NO. CWA-04-2007-5008

On: January 3, 2007 Time: 10:49  
On: Highway 73 between Huntersville and Stanley at or near Cowan's Ford Hydroelectric Facility in Mecklenburg County, North Carolina, Duke Energy Corporation (Respondent) discharged 1,000 gallons of oil in violation of Section 311(b)(3) of the Clean Water Act (the Act), as noted on the attached ALLEGED CIVIL VIOLATIONS (Form), which is hereby incorporated by reference.

EPA finds the Respondent's conduct is subject to the discharge prohibition of Section 311(b)(3) of the Act, as described in that statute and further described by 40 CFR § 110.3. The Respondent admits being subject to Section 311(b)(3) and that EPA has jurisdiction over the Respondent and the Respondent's conduct as described in the form. Respondent does not contest the Allegations in the Form, and waives any objections it may have to EPA's jurisdiction.

EPA is authorized to enter into this Expedited Spill Settlement Agreement under the authority vested in the Administrator of EPA by Section 311(b)(6)(B)(i) of the Act, 33 U.S.C. §1321(b)(6)(B)(i), as amended by the Oil Pollution Act of 1990, and by 40 CFR §22.13(b). The parties enter into this Expedited Spill Settlement Agreement in order to settle civil violations described in the Form for a penalty of \$3,000.00. Respondent consents to the assessment of this penalty.

This Expedited Settlement is also subject to the following terms and conditions: Respondent certifies, subject to civil and criminal penalties for making a false submission to the United States Government, that it has investigated the cause of the spill, it has cleaned up the spill pursuant to federal requirements at a cost of \$9148.68, and it has taken corrective actions that will prevent future spills. The Respondent also by signature on this Expedited Spill Settlement Agreement agrees to payment of the penalty assessed. **Do not enclose payment.** Upon receiving written execution of this Agreement, Respondent shall submit payment within 7 days by certified check or electronic funds transfer for \$3,000.00 payable to the "US Environmental Protection Agency CWA-311". Instructions on how to make this payment will be included in the written notice that will be issued when the Expedited Spill Settlement Agreement becomes effective.

Upon signing and returning this Expedited Spill Settlement Agreement to EPA, Respondent waives the opportunity for a hearing or appeal pursuant to Section 311 of the Act, and consents to EPA's approval of the Expedited Settlement without further notice.

After this Expedited Spill Settlement Agreement becomes effective, EPA will take no further action against the Respondent for the violations of Section 311(b)(3) of the Act described in the Form. However, EPA does not waive any rights to take any enforcement action for any other past, present, or future violations by the Respondent of Section 311(b)(3) of the Act or of any other federal statute or regulation. By its first signature, EPA ratifies the Findings and Alleged Violations set forth in the Form.

This Expedited Spill Settlement Agreement is binding on the parties signing below, and effective upon EPA's filing of the document with the Regional Hearing Clerk. If the Respondent does not sign and return this Expedited Settlement as presented within 30 days of the date of its receipt and submit payment of the assessed penalty, the proposed Expedited Settlement is withdrawn without prejudice to EPA's ability to file any other enforcement action for the violations identified in the Form.

APPROVED BY RESPONDENT:

Name (print): Carol S. Goolsby  
Title (print): General Manager, HydraFleet  
Signature: Carol S. Goolsby

APPROVED BY EPA:

Narindar Kumar Date 6/13/07  
Narindar Kumar, Chief  
RCRA/OPA Enforcement & Compliance Branch  
RCRA Division

IT IS SO ORDERED:

Susan B. Schub Date 6/14/07  
Susan B. Schub  
Regional Judicial Officer

RECEIVED  
EPA REGION IV  
007 JUN 15 10:21  
RING CLERK

EPA ACCOUNTS RECEIVABLE CONTROL NUMBER FORM

PAYMENT DUE DATE: \_\_\_\_\_

**TO BE COMPLETED BY THE ORIGINATING OFFICE:**

(Attach a copy of the final order and transmittal letter to Defendant/Respondent)

This form was originated by: Mel Rechtman on May 30, 2007  
(Name) (Date)

in the ROECB at 404/562-8745  
(Office) (Phone Number)

- |  |  |
|--|--|
| <input type="checkbox"/> Non-SF Judicial Order/Consent Decree<br>USAO COLLECTS | <input checked="" type="checkbox"/> Administrative Order/Consent Agreement<br>FMO COLLECTS PAYMENT |
| <input type="checkbox"/> SF Judicial Order/Consent Decree<br>DOJ COLLECTS      | <input type="checkbox"/> Oversight Billing - Cost Package required:<br>Sent with bill              |
|  | <input type="checkbox"/> Not sent with bill  |
| <input type="checkbox"/> Other Receivable                                      | <input type="checkbox"/> Oversight Billing - Cost Package not required                             |
| <input type="checkbox"/> This is an original debt                              | <input type="checkbox"/> This is a modification  |

PAYEE: Duke Energy Corporation

The Total Dollar Amount of the Receivable: \$3,000.00  
(If installments, attach schedule of amounts and respective due dates. See other side of this form.)

The Case Docket Number CWA-04-2007-5008  
The Site Specific Superfund Account Number: \_\_\_\_\_

The Designated Regional/Headquarters Program Office: Waste

**To Be Completed By Cincinnati Finance Center**

The IFMS Accounts Receivable Control Number is: \_\_\_\_\_ Date: 0/00/2007

**DISTRIBUTION:**

A. **JUDICIAL ORDERS:** Copies of this form with an attached copy of the front page of the **FINAL JUDICIAL ORDER** should be mailed to:

- |  |   |
|--|---|
| 1. Debt Tracking Officer<br>Environmental Enforcement Section<br>Department of Justice RM 1647<br>P.O. Box 7611, Benjamin Franklin Station<br>Washington, D.C. 20044 | 2. Originating Office (EAD)<br>3. Designated Program Office |
|--|---|

B. **ADMINISTRATIVE ORDERS:** Copies of this form with an attached copy of the front page of the Administrative Order Should be to:

- |  |   |
|--|---|
| 1. Originating Office<br>2. Regional Hearing Clerk | 3. Designated Program Office<br>4. Regional Counsel (EAD) |
|--|---|