

U. S. ENVIRONMENTAL PROTECTION AGENCY

**REGION 7
901 N. 5th STREET
KANSAS CITY, KANSAS 66101**

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ENVIRONMENTAL PROTECTION
AGENCY-REGION VII
REGIONAL HEARING CLERK

BEFORE THE ADMINISTRATOR

In the Matter of

**MARK VALENTINE
Eureka, Kansas**

Respondent

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DOCKET NO. TSCA-07-2010-0030

CONSENT AGREEMENT AND FINAL ORDER

PRELIMINARY STATEMENT

The U.S. Environmental Protection Agency (EPA), Region 7 and Mark Valentine, an individual, have agreed to a settlement of this action before filing of a Complaint, and thus this action is simultaneously commenced and concluded pursuant to Rules 22.13(b) and 22.18(b)(2) of the Consolidated Rules of Practice Governing the Administrative Assessment of Civil Penalties, Issuance of Compliance or Corrective Action Orders, and the Renovation, Termination or Suspension of Permits (Consolidated Rules), 40 C.F.R. §§ 22.13(b) and 22.18(b)(2).

Section I

Jurisdiction

1. This proceeding is an administrative action for the assessment of civil penalties instituted pursuant to Section 16(a) of the Toxic Substances Control Act (TSCA), 15 U.S.C. § 2615(a).

2. This Consent Agreement and Final Order (CAFO) serves as notice that EPA has reason to believe that Mark Valentine has violated Section 409 of TSCA, 15 U.S.C. § 2689, by failing to comply with the regulatory requirements of 40 C.F.R. Part 745, Subpart F, *Disclosure*

of Known Lead-Based Paint and/or Lead-Based Paint Hazards Upon Sale or Lease of Residential Property, promulgated pursuant to Section 1018 of the Residential Lead-Based Paint Hazard Reduction Act of 1992, 42 U.S.C. § 4852d.

Section II

Parties

3. The Complainant, by delegation from the Administrator of EPA and the Regional Administrator, EPA, Region 7, is the Chief, Toxics and Pesticides Branch, EPA, Region 7.
4. The Respondent is Mark Valentine, an individual.

Section III

Statutory and Regulatory Background

5. Congress passed the Residential Lead-Based Paint Hazard Reduction Act of 1992 (the Act), 42 U.S.C. §§ 4851 to 4856, to address the need to control exposure to lead-based paint hazards. The Act amended TSCA by adding Sections 401 to 412, 15 U.S.C. §§ 2681 to 2692. Section 1018 of the Act required EPA and the Department of Housing and Urban Development (HUD) to jointly issue regulations requiring the disclosure of known lead-based paint and/or lead-based paint hazards by persons selling or leasing housing constructed before the phaseout of residential lead-based paint use in 1978. The regulations, issued March 6, 1996, and codified at 40 C.F.R. Part 745 Subpart F, require that sellers and lessors of most residential housing built before 1978: a) disclose the presence of known lead-based paint and/or lead-based paint hazards in the target housing; b) provide purchasers and lessees with any available records or reports pertaining to the presence of lead-based paint and/or lead-based paint hazards; c) provide purchasers and lessees with a federally approved lead hazard information pamphlet; d) provide

purchasers with a 10-day opportunity to conduct a risk assessment or inspection for the presence of lead-based paint and/or lead-based paint hazards before the purchaser is obligated under any purchase contract; and e) include certain disclosure and acknowledgment language in the sales or leasing contract. The failure or refusal to comply with the regulations is a violation of Section 1018 of the Act and Section 409 of TSCA.

Section IV

General Factual Allegations

6. Respondent is, and at all times referred to herein was, a "person" within the meaning of TSCA.

7. Respondent is the "lessor" as defined by 40 C.F.R. § 745.103, for the lease of 409 N. Adams, Eureka, Kansas (the Property).

8. The Property was constructed before 1978.

9. The Property is "target housing" as defined by 40 C.F.R. § 745.103.

Violations

10. The Complainant hereby states and alleges that Respondent has violated TSCA and federal regulations promulgated thereunder, as follows:

Count 1

11. The facts stated in Paragraphs 1 through 9 above are herein incorporated.

12. Respondent entered into a contract to lease the target housing unit located at 409 N. Adams, Eureka, Kansas on or about December 1, 2006.

13. Respondent failed to provide the lessee of 409 N. Adams, Eureka, Kansas, with an EPA-approved lead hazard information pamphlet or to perform any other lead-based paint

disclosure activities before lessee was obligated under contract to lease the target housing unit.

14. Respondent's failure to perform the acts indicated in Paragraph 13 above are violations of 40 C.F.R. §§ 745.107, 745.113, and in accordance with 40 C.F.R. § 745.118(e), violations of Section 1018 of the Act, 42 U.S.C. § 4852d, and Section 409 of TSCA, 15 U.S.C. § 2689, and thus Respondent is subject to civil penalties under Section 16 of TSCA, 15 U.S.C. § 2615.

CONSENT AGREEMENT

1. For purposes of this proceeding, Respondent admits the jurisdictional allegations set forth above.
2. Respondent neither admits nor denies the factual allegations set forth above.
3. Respondent waives his right to contest any issue of fact or law set forth above and his right to appeal the Final Order accompanying this Consent Agreement.
4. Respondent and EPA agree to conciliate this matter without the necessity of a formal hearing and to bear their respective costs and attorney's fees.
5. Respondent certifies by the signing of this Consent Agreement and Final Order that he is presently in compliance with all requirements of 40 C.F.R. Part 745, Subpart F.
6. Respondent consents to the issuance of the Final Order hereinafter recited and consents to the payment of a civil penalty as specified in the Final Order.
7. Payment of this civil penalty in full shall resolve all civil and administrative claims for all violations of Section 409 of TSCA, 15 U.S.C. 2689 and 40 C.F.R. Subpart F alleged in this document.

8. In settlement of this matter, Respondent agrees to complete the following Supplemental Environmental Project (SEP), which the parties agree is intended to secure significant environmental and/or public health benefits: Lead-based paint abatement work to be performed at 221 W. Third Street, Eureka, Kansas, to include removal and replacement of sixteen (16) lead-based painted windows with lead free windows. The SEP is further detailed in Attachment A to this Consent Agreement and Final Order.

9. Within thirty (30) days of the effective date of the Final Order, Respondent will provide EPA with a copy of the letter sent to the Kansas Department of Health and Environment informing the state of Respondent's intent to perform a SEP and requesting procedural information pertaining to performance of the SEP.

10. The total expenditure for the SEP shall be not less than \$6,930 and the SEP shall be completed no later than 90 days from effective date of the final order. All work required to complete the SEP shall be performed in compliance with all federal, state, and local laws and regulations.

11. Respondent agrees that the abatement work on the SEP project referenced in Paragraph 8 above will be performed by entities licensed and/or certified by the State of Kansas to perform lead-based paint abatement activities. Respondent is responsible for ensuring that the entity or entities performing the SEP project described in Paragraph 8 above receive a copy of this Consent Agreement and Final Order (CAFO) and all attachments pertaining to the SEP project including the EPA approved SEP Work Plan, if applicable. Respondent is responsible for any failure to complete the SEP in accordance with all applicable terms of this agreement.

12. Within thirty (30) days of completion of the SEP, Respondent shall submit a SEP Completion Report to EPA, with a copy to the state agency identified below. The SEP Completion Report shall contain the following:

- (i) A detailed description of the SEP as implemented;
- (ii) Itemized costs, documented by copies of purchase orders, receipts or canceled checks;
- (iii) The final abatement report, as required by state law; and
- (iv) The following certification signed by Respondent:

I certify under penalty of law that I have examined and am familiar with the information submitted in this document and all attachments and that, based on my inquiry of those individuals immediately responsible for obtaining the information, the information is true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fines and imprisonment.

The report shall be directed to the following:

As to EPA:

Maria Morey, Case Review Officer, WWPD/TOPE
U.S. Environmental Protection Agency, Region 7
901 North 5th Street
Kansas City, Kansas 66101.

As to the state:

Thomas Langer
Kansas Department of Health and Environment
1000 SW Jackson Suite 330
Topeka, KS 66612-1274

13. If the SEP referenced in Paragraph 8 above is not timely completed to the satisfaction of EPA in accordance with the terms of this Final Order, Respondent shall pay a stipulated penalty in the amount of 100% of the projected costs of the SEP minus any documented expenditures determined by EPA to be acceptable for the SEP. This stipulated penalty is consistent with the EPA SEP Policy, effective May 1, 1998. For the SEP, the following instances constitute a failure to complete the project in accordance with the terms of this Final Order:

- (i) Failure to expend the funds in a manner acceptable to EPA or otherwise to complete the project pursuant to the terms of this consent agreement.
- (ii) Failure to ensure, through good faith and timely efforts, that the SEP project is completed by the anticipated completion date of within ninety (90) days of the effective date of the Final Order. In the event of circumstances beyond its control rendering the anticipated completion date unfeasible, Respondent may demonstrate good faith by promptly notifying EPA Region 7 contact identified in Paragraph 12 above of the change in circumstances and proposing a new completion date acceptable to EPA for the SEP.
- (iii) Any stipulated penalties for which Respondent is liable under this agreement shall be due and payable within ten (10) days of Respondent's receipt of a written demand from Complainant.

14. Respondent certifies that he is not required to perform or develop the SEP by any federal, state or local law or regulation; nor is Respondent required to perform or develop the SEP by agreement, grant or as injunctive relief in this or any other case or to comply with state or local requirements. Respondent further certifies that Respondent has not received, and is not presently negotiating to receive, credit in any other enforcement action for the SEP.

15. For federal income tax purposes, Respondent agrees that he will neither capitalize into inventory or basis nor deduct any costs or expenditures incurred in performing the SEP.

16. Any public statement, oral or written, in print, film or other media, made by Respondent making reference to the SEP shall include the following language: "This project was undertaken in connection with the settlement of an enforcement action taken by the United States Environmental Protection Agency."

17. Respondent understands that his failure to timely pay any portion of the civil penalty described in Paragraph 1 of the Final Order below or any portion of a stipulated penalty as stated in Paragraph 13 above may result in the commencement of a civil action in Federal District Court to recover the full remaining balance, along with penalties and accumulated interest. In such case, interest shall accrue thereon at the applicable statutory rate on the unpaid balance until such civil or stipulated penalty and any accrued interest are paid in full. A late payment handling charge of \$15 will be imposed after thirty (30) days and an additional \$15 will be charge for each subsequent thirty (30) day period. Additionally, as provided by 31 U.S.C. § 3717(e)(2), a six percent (6%) per annum penalty (late charge) may be assessed on any amount not paid within ninety (90) days of the due date.

Section VI

Final Order

Pursuant to the provisions of the Toxic Substances Control Act (TSCA), 15 U.S.C. §§ 2601-2692, and based upon the information set forth in the Consent Agreement accompanying this Final Order, **IT IS HEREBY ORDERED THAT:**

1. Respondent shall pay a civil penalty of Seven Hundred and Seventy Dollars (\$770) within thirty (30) days of the effective date of this Final Order. Such payment shall identify Respondent by name and docket number and shall be by certified or cashier's check made payable to the "United States Treasury" and sent to:

US Environmental Protection Agency
Fines and Penalties
Cincinnati Finance Center
PO Box 979077
St. Louis, MO 63197-9000

2. A copy of the check or other information confirming payment shall simultaneously be sent to the following:

Regional Hearing Clerk
U.S. Environmental Protection Agency, Region 7
901 North 5th Street
Kansas City, Kansas 66101; and

Robert W. Richards, Attorney
Office of Regional Counsel
U.S. Environmental Protection Agency, Region 7
901 North 5th Street
Kansas City, Kansas 66101.

3. Respondent shall complete the Supplemental Environmental Project in accordance with the provisions set forth in the Consent Agreement and shall be liable for any stipulated penalty for failure to complete such project as specified in the Consent Agreement.

4. Respondent and Complainant shall each bear their own costs and attorneys' fees incurred as a result of this matter.

**RESPONDENT:
MARK VALENTINE**

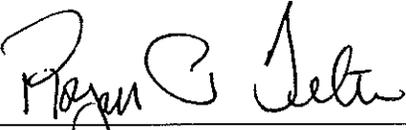
Date 9-27-10

By:  _____

Mark Valentine
Print Name

**COMPLAINANT:
U. S. ENVIRONMENTAL PROTECTION AGENCY**

Date: 9/30/10

By 
for Jamie Green, Branch Chief
Toxics and Pesticides Branch
Water, Wetlands and Pesticides Division

Date: 9/30/10

By 
Robert W. Richards
Assistant Regional Counsel
Office of Regional Counsel

IT IS SO ORDERED. This Order shall become effective immediately.

Date: Sept. 30, 2010 

ROBERT L. PATRICK
Regional Judicial Officer
U.S. Environmental Protection Agency, Region 7

PROPOSAL

Bonded / Insured / License 3534
sandaconstructioninc.com



OFFICE: 316-262-6770
CELL: 316-734-5835

We Level The Competition!

1600 S. Topeka, Wichita, KS 67211

Additions-Complete Home Remodeling-Kitchens-Bathrooms-Windows-Siding-Finished Basements

PROPOSAL FOR : Mark Valentine
1318 N. Poplar
Eureka, KS 67045

(316)323-4573

DATE: September 17th, 2010

S&A Construction Inc. hereby proposes to provide the labor, material and permits necessary to abate 16 windows by the following replacement procedure as specified in HUD- Single Family Dwellings in C.F.R. part 35 at 221 W. 3rd, Eureka, KS 67045:

Remove 16 windows and replace them with white vinyl, double-hung windows manufactured by VinylMax-Radiance Series using lead-safe work practices. New VinylMax-Radiance Series windows will have the following options:

01. White in color.
02. Treated with Lo-E, filled with argon gas.
03. Half screens.
04. Nail fins.
05. Lifetime transferable warranty.

Bid includes:

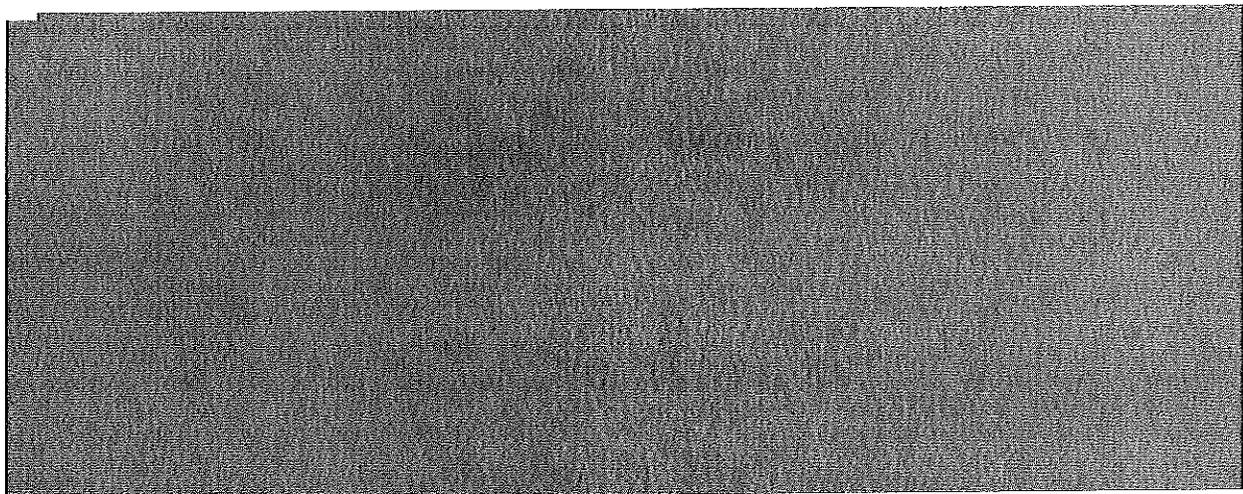
01. Remove the window frame and sash- complete.
02. Install the new window trim on the exterior of the window only.
03. Lead test clearance report on windows only.

Proposal for: Mark Valentine

Page No. 1

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ATTACHMENT A TSCA-07-2010-0030 Page 1 of 2



PROPOSAL

Bonded / Insured / License 3534
sandaconstructioninc.com



We Level The Competition!

1600 S. Topeka, Wichita, KS 67211

OFFICE: 316-262-6770
CELL: 316-734-5835

Additions-Complete Home Remodeling-Kitchens-Bathrooms-Windows-Siding-Finished Basements

All material is guaranteed to be as specified and the above described work to be performed in accordance with any drawings and specifications submitted and is to be completed in a substantial and workmanlike manner for the sum of:

\$7,000.00 or Seven Thousand Dollars and Zero cents.

Respectfully submitted,

Stuart Nelson, President
S&A Construction Inc.

ACCEPTANCE OF PROPOSAL

The above specifications, conditions and prices are satisfactory and are hereby accepted. S & A Construction Inc. is hereby authorized to do the work as specified.

Payment Schedule:

- Start Balance*
\$7,000.00
- Initial Payment (due at start of repairs)*
\$3,500.00
- Progress Payment (due at completion of repairs)*
\$2,500.00
- Final Payment (due upon receipt of lead test report)*
\$1,000.00

Signature for Mark Valentine _____

Date _____

Homeowner's Signature _____

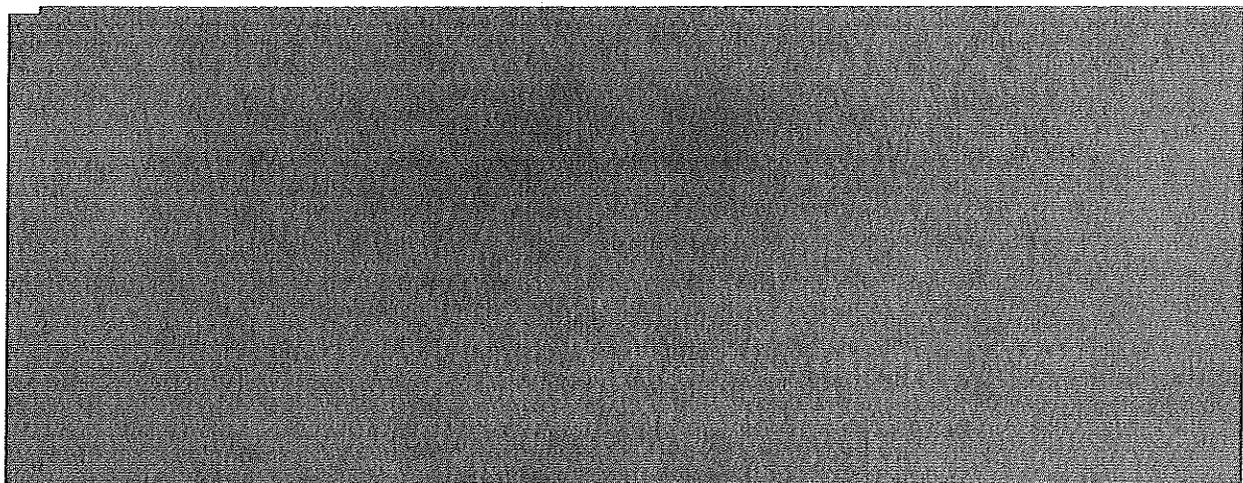
Date _____

Proposal for: Mark Valentine

Page No. 2

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ATTACHMENT A TSCA-07-2010-0030 Page 2 of 2



IN THE MATTER OF Mark Valentine, Respondent
Docket No. TSCA-07-2010-0030

CERTIFICATE OF SERVICE

I certify that a true and correct copy of the foregoing Consent Agreement and Final Order was sent this day in the following manner to the addressees:

Copy hand delivered to
Attorney for Complainant:

Robert W. Richards
Assistant Regional Counsel
Region 7
United States Environmental Protection Agency
901 N. 5th Street
Kansas City, Kansas 66101

Copy by Certified Mail Return Receipt to:

Mark Valentine
1318 N Poplar
Eureka, Kansas 67045

Dated: 9/30/10



Kathy Robinson
Hearing Clerk, Region 7