



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

REGION 7
901 NORTH 5TH STREET
KANSAS CITY, KANSAS 66101

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ENVIRONMENTAL PROTECTION
AGENCY-REGION VII
PERSONAL HEARING CLERK

EXPEDITED SETTLEMENT AGREEMENT (ESA)

DOCKET NO.: CAA-07-2009-0014

This ESA is issued to: Emporia Water Treatment Plant
At: 2910 West 24th Avenue, Emporia, Kansas 66801
for violating Section 112(r)(7) of the Clean Air Act.

The United States Environmental Protection Agency, Region 7 (EPA) and the Emporia Water Treatment Plant, 2910 West 24th Avenue, Emporia, Kansas 66801 (Respondent), have agreed to a settlement of this action before filing of a complaint, and thus this action is simultaneously commenced and concluded pursuant to Rules 22.13(b) and 22.18(B)(2) of the Consolidated Rules of Practice Governing the Administrative Assessment of Civil Penalties, Issuance of Compliance or Corrective Action Orders, and the Revocation, Termination or Suspension of Permits (Consolidated Rules), 40 C.F.R. §§ 22.13(b), 22.18(b)(2).

The Complainant, by delegation of the Administrator of EPA, is the Director of the Air, and Waste Management Division. The Respondent is the Emporia Water Treatment Plant, 2910 West 24th Avenue, Emporia, Kansas 66801.

This is an administrative action for the assessment of civil penalties instituted pursuant to Section 113(d) of the Clean Air Act. Pursuant to Section 113(d) of the Clean Air Act, 42 U.S.C. § 7413(d), the Administrator and the Department of Justice jointly determined that in cases where the first alleged date of violation occurred more than one year before initiation of an administrative action and which meet the criteria set forth in EPA's policy entitled "Use of Expedited Settlements in Addressing Violations of the Clean Air Act Chemical Accident Prevention Provision, 40 C.F.R. Part 68," dated January 5, 2004, are appropriate for administrative penalty action in the form of an expedited settlement agreement.

ALLEGED VIOLATIONS

On January 13, 2009, an authorized representative of the EPA conducted a compliance inspection of the Respondent's facility located at 2910 West 24th Avenue, Emporia, Kansas 66801, to determine compliance with the Risk Management Plan (RMP) regulations promulgated at 40 C.F.R. Part 68 under Section 112(r) of the Clean Air Act. The EPA found that the Respondent had violated regulations implementing Section 112(r) of the Clean Air Act by failing to comply with the regulations as noted on the enclosed Risk Management Program Inspection Findings, Alleged Violations and Proposed Penalty Sheet (RMP Findings), which is hereby incorporated by reference.

SETTLEMENT

In consideration of Respondent's size of business, its full compliance history, its good faith effort to comply, and other factors as justice may require, and upon consideration of the entire record, the parties enter into the ESA in order to settle the violations, described in the enclosed RMP Findings, for the total penalty amount of **\$825.00**.

This settlement is subject to the following terms and conditions:

The Respondent by signing below waives any objections that it may have regarding jurisdiction, neither admits nor denies the specific factual allegations contained herein and in the RMP Findings, and consents to the assessment of the penalty as stated above. Respondent waives its rights to a hearing afforded by Section 113(d)(2)(A) of the Clean Air Act, 42 U.S.C. § 7413(d)(2)(A), and to appeal this ESA. Each party to this action shall bear its own costs and fees, if any. Respondent also certifies, subject to civil and criminal penalties for making a false submission to the United States Government, that the Respondent has corrected the violations listed in the enclosed RMP Findings and has sent a cashier's check or certified check (payable to the "United States Treasury") in the amount of **\$825.00** in payment of the full penalty amount to the following address:

U.S. Environmental Protection Agency
Fines and Penalties
Cincinnati Finance Center
P.O. Box 979077
St. Louis, Missouri 63197-9000

The Docket Number of this ESA is CAA-07-2009-0014, and must be included on the check.

This original ESA, a copy of the completed RMP Findings, and a copy of the check must be sent by certified mail to:

Deanna Smith
Office of Regional Counsel
U.S. Environmental Protection Agency, Region 7
901 North 5th Street
Kansas City, Kansas 66101.

A copy of the check must also be sent to:

Kathy M. Robinson
Regional Hearing Clerk
U.S. Environmental Protection Agency, Region 7
901 North 5th Street
Kansas City, Kansas 66101.

Upon Respondent's submission of the signed original ESA, EPA will take no further civil action against Respondent for the alleged violations of the Clean Air Act referenced in the RMP Findings. The EPA does not waive any other enforcement action for any other violations of the Clean Air Act or any other statute.

If the signed original ESA with an attached copy of the check is not returned to the EPA Region 7 office at the above address in correct form by the Respondent within 45 days of the date of Respondent's receipt of it (90 days if an extension is granted), the proposed ESA is withdrawn, without prejudice to EPA's ability to file an enforcement action for the violations identified herein and in the RMP Findings.

This ESA is binding on the parties signing below.

This ESA is effective upon filing with the Regional Hearing Clerk.

FOR RESPONDENT:

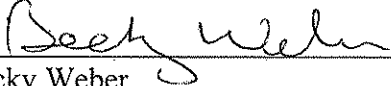
Phillip Cooper

Date: 8-18-09

Name (print): PHILLIP COOPER

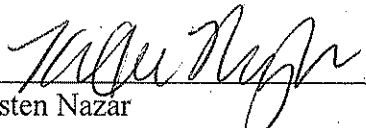
Title (print): CHIEF PLANT OPERATOR
Emporia Water Treatment Plant

FOR COMPLAINANT:



Becky Weber
Director
Air and Waste Management Division
EPA Region 7

Date: 8/31/09



Kristen Nazar
Assistant Regional Counsel
Office of Regional Counsel
EPA Region 7

Date: 8/27/09

I hereby ratify the ESA and incorporate it herein by reference. It is so ORDERED.

Karina Borromeo
Karina Borromeo
Regional Judicial Officer

Date: Sept. 2, 2009

Risk Management Program Inspection Findings

Emporia Water Treatment Plant
2910 West 24th Avenue
Emporia, Kansas 66801
Docket No. CAA-07-2009-0014

CAA § 112(r) Violations

COMPLETE THIS FORM AND RETURN IT WITH THE ESA.

VIOLATIONS

PENALTY AMOUNT

Prevention Program
Safety Information - § 68.48(a)(3) \$ 150.00

The owner or operator failed to compile and maintain up-to-date safety information for safe upper and lower temperatures, pressures, flows, and composition for each process.

How was this addressed: WE HAVE OPERATING PERIMETERS SET AT THE PLANT, EQUIPMENT REQUIRING REGULATORS HAVE THEM INSTALLED AND ARE OPERATED IN SAFE RANGES

Prevention Program
Hazard Review
§ 68.50(a) \$ 450.00

The owner or operator failed to conduct a hazard review.
§ 68.50(c) \$ 150.00

The owner or operator failed to document the results of the review and ensure that problems identified are resolved in a timely manner.

How were these addressed: YEARLY REVIEWS ARE NOW SCHEDULED AND WE WILL DOCUMENT FINDINGS AND ANY CORRECTIVES THAT ARE MADE.

Prevention Program
Operating Procedures - § 68.52(b)(7) \$ 600.00

The owner or operator failed to prepare written operating procedures for the consequences of deviation and steps required to correct or avoid deviations.

VIOLATIONS

PENALTY AMOUNT

How was this addressed: SOP MANUALS HAVE BEEN REVIEWED AND CORRECTIONS HAVE BEEN MADE AND WILL BE UPDATED AS PROCEDURES CHANGE

Prevention Program
Compliance Audits - § 68.58(a) \$ 300.00

The owner or operator failed to certify that compliance audits are conducted at least every three years to verify that the procedures and practices are adequate and are being followed.

How was this addressed: WE NOW SIGN AND DATE DOCUMENTS TO KEEP HARD COPY ON FILE.

Other Requirements
Recordkeeping [§ 68.200] No Penalty Assessed

The owner or operator failed to maintain records supporting the implementation of their hazard review and compliance audits.

How was this addressed: WE NOW SIGN AND DATE HAZARD REVIEW DOCUMENTS

TOTAL \$1,650.00

Calculation of Adjusted Penalty

1st Reference the multipliers for calculating proposed penalties for violations found during RMP inspection matrix. Emporia WTP serves a population of approximately 27,000. The multiplier for a Government Entity with Service Population size between 25,001-50,000 is 0.5.

2nd Adjusted Penalty = \$1,650.00 (Unadjusted Penalty) X 0.5 (Size-Threshold Multiplier)
Adjusted Penalty = \$825.00

3rd An Adjusted Penalty of \$825.00 would be assessed to Emporia WTP for violations found during the RMP compliance inspection. This amount will be found in the Expedited Settlement Agreement (ESA).

TOTAL ADJUSTED PENALTY \$ 825.00

PROVIDE THE COST TO THE FACILITY TO CORRECT THE ABOVE VIOLATIONS.

The approximate cost to correct the above items: \$ 400

Compliance staff name: PHILLIP COOPER

Signed: Phillip Cooper Date: 8-18-09

IN THE MATTER OF Emporia Water Treatment Plant, Respondent
Docket No. CAA-07-2009-0014

CERTIFICATE OF SERVICE

I certify that a true and correct copy of the foregoing Expedited Settlement Agreement (ESA) was sent this day in the following manner to the addressees:


Copy hand delivered to
Attorney for Complainant:

Kristen Nazar
Assistant Regional Counsel
Region VII
United States Environmental Protection Agency
901 N. 5th Street
Kansas City, Kansas 66101

Copy by Certified Mail Return Receipt to:

Ron Rhodes, Water Plant Manager
Emporia Water Treatment Plant
2910 West 24th Avenue
Emporia, Kansas 66801

Dated: 9/2/09


Kathy Robinson
Hearing Clerk, Region 7