



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY  
REGION 4  
ATLANTA FEDERAL CENTER  
61 FORSYTH STREET  
ATLANTA, GEORGIA 30303-8960

NOV 19 2013

**CERTIFIED MAIL**  
**RETURN RECEIPT REQUESTED**

Mr. Terry Leberfinger  
Vice President  
Alabama Metal Industries Corporation  
3245 Fayette Avenue  
Birmingham, Alabama 35208

RE: Alabama Metal Industries Corporation  
Consent Agreement and Final Order  
Docket No. TSCA-04-2013-2908(b)

Dear Mr. Leberfinger:

Enclosed please find a copy of the executed CAFO as filed with the Regional Hearing Clerk (RHC) in the above-referenced matter. The CAFO is effective on the date it is filed with the RHC, and the penalty due date is calculated from the effective date.

Also enclosed, please find a copy of a document entitled "Notice of Securities and Exchange Commission Registrants' Duty to Disclose Environmental Legal Proceedings." This document puts Alabama Metal in Birmingham, Alabama on notice of its potential duty to disclose to the Securities Exchange Commission any environmental actions taken by the United States Environmental Protection Agency.

If you have any questions or concerns, please contact Kris Lippert, of my staff, at (404) 562-8605.

Sincerely,

A handwritten signature in blue ink that reads "César A. Zapata".

César A. Zapata  
Chief

RCRA and OPA Enforcement and Compliance Branch  
RCRA Division

Enclosures

UNITED STATES  
ENVIRONMENTAL PROTECTION AGENCY  
ATLANTA, GEORGIA

RECEIVED  
EPA REGION IV  
2013 NOV 19 AM 9:11  
HEARING CLERK

In the Matter of: )  
)  
Alabama Metal Industries Corporation )  
3245 Fayette Avenue )  
Birmingham, Alabama 35208 )  
)  
Respondent )  
\_\_\_\_\_ )

Docket No. TSCA-04-2013-2908(b)

**CONSENT AGREEMENT AND FINAL ORDER**

**I. Nature of the Action**

1. This is a civil penalty proceeding pursuant to Section 16(a) of the Toxic Substances Control Act (TSCA), 15 U.S.C. § 2615(a), and pursuant to the Consolidated Rules of Practice Governing Administrative Assessment of Civil Penalties and the Revocation/Termination or Suspension of Permits (Consolidated Rules), 40 C.F.R. Part 22. Complainant is the Director of the Resource Conservation and Recovery Act (RCRA) Division, United States Environmental Protection Agency, Region 4 (EPA). Respondent is Alabama Metal Industries Corporation (AMICO).
2. The authority to take action under Section 16(a) of TSCA, 15 U.S.C. § 2615(a), is vested in the Administrator of the EPA. The Administrator of the EPA has delegated this authority under TSCA to the EPA Region 4 Regional Administrator by EPA Delegation 12-2-A, dated May 11, 1994. The Region 4 Regional Administrator has delegated this authority to the Director of the Resource Conservation and Recovery Act (RCRA) Division by the EPA Region 4 Delegation 12-2-A, dated January 14, 2009. Pursuant to that Delegation, the Director of the RCRA Division has the authority to commence an enforcement Action as the Complainant in this matter and has the authority to sign Consent Agreements memorializing settlements between the EPA and the Respondent.
3. Complainant and Respondent have conferred for the purpose of settlement pursuant to

40 C.F.R. § 22.18 and desire to resolve this matter and settle the allegations described herein without a formal hearing. Therefore, without the taking of any evidence or testimony, the making of any argument, or the adjudication of any issue in this matter, and in accordance with 40 C.F.R. § 22.13(b), this Consent Agreement and Final Order (CAFO) will simultaneously commence and conclude this matter.

## **II. Preliminary Statements**

4. Pursuant to Section 6(e) of TSCA, 15 U.S.C. § 2605, the Administrator of the EPA promulgated regulations in 40 C.F.R. Part 761, pertaining to Polychlorinated Biphenyls (PCBs). Failure to comply with any such rule constitutes a violation of Section 15 of TSCA, 15 U.S.C. § 2614. Any person who violates a provision of Section 15 of TSCA may be assessed a penalty of up to \$32,500 for each such violation occurring between March 15, 2004, and January 12, 2009, in accordance with Section 16(a) of TSCA and 40 C.F.R. Part 19, as amended. For each such violation occurring after January 12, 2009, a penalty of up to \$37,500 may be assessed. Each day a violation continues may constitute a separate violation.
5. Pursuant to 40 C.F.R. § 22.5(c)(4) the following individual is authorized to receive service for the EPA in this proceeding:

Kris Lippert  
RCRA/OPA Enforcement and Compliance Branch  
U.S. EPA Region 4  
61 Forsyth Street SW  
Atlanta, Georgia 30303-8960  
(404) 562-8605

## **III. Specific Allegations**

6. Respondent was a user of PCB Items operating in the State of Alabama and is a “person” as defined in 40 C.F.R. § 761.3.
7. On or about May 3, 2012, an inspection was conducted at Respondent’s facility located at 3245 Fayette Avenue, Birmingham, Alabama, to determine compliance with the PCB regulations.
8. During the inspection, Respondent was storing for disposal three (3) large Westinghouse Inerteen

PCB Capacitors and a bank of twelve (12) General Electric Pyranol Capacitors, totaling less than 220 gallons of PCB fluid.

9. 40 C.F.R. § 761.65(a)(1) requires that any PCB waste must be disposed of as required by Subpart D of the PCB regulations within 1-year from the date it was determined to be PCB waste and the decision was made to dispose of it. During the inspection, the Respondent could not provide any documentation to prove how long the twelve (12) General Electric Pyranol Capacitors had been stored on-site. The three (3) Westinghouse Inerteen PCB Capacitors had been out of service since 1982 or 1983. Therefore, the Respondent violated 40 C.F.R. § 761.65(a)(1).
10. 40 C.F.R. § 761.65(c)(8) requires that PCB Items be marked with the date when they were removed from service for disposal. At the time of the inspection, the three (3) large Westinghouse Inerteen PCB Capacitors and the twelve (12) General Electric Pyranol Capacitors being stored on-site awaiting disposal were not marked with the dates when they were removed from service. Therefore, the Respondent violated 40 C.F.R. § 761.65(c)(8).
11. 40 C.F.R. § 761.40(a)(1) requires PCB Articles at the time of manufacture, at the time of distribution in commerce if not already marked, and at the time of removal from use if not already marked shall be marked with an M<sub>L</sub> marking as illustrated in the figure in 40 C.F.R. § 761.45(a). At the time of the inspection, the three (3) large Westinghouse Inerteen PCB Capacitors and the twelve (12) General Electric Pyranol Capacitors were not marked with the appropriate PCB label. Therefore, the Respondent violated 40 C.F.R. § 761.40(a)(1).
12. 40 C.F.R. § 761.65(b) requires that PCB and PCB Items designated for disposal must be stored in an area which has an adequate roof and walls to prevent rain water from reaching stored PCBs and PCB Items, and a non-porous floor with continuous curbing with a minimum 6 inch high curb. At the time of the inspection, the Respondent was storing the three (3) large Westinghouse Inerteen PCB Capacitors and the twelve (12) General Electric Pyranol Capacitors in areas without proper curbing or a non-porous floor. Therefore, the Respondent violated 40 C.F.R. § 761.65(b).

#### **IV. Consent Agreement**

13. For the purposes of this CAFO, Respondent admits the jurisdictional allegations set forth above and neither admits nor denies the factual allegations set forth above.
14. The Respondent waives its right to a hearing on the allegations contained herein and its right to appeal the proposed final order accompanying the consent agreement.
15. For the purposes of achieving a resolution by settlement, the Respondent consents to the assessment of the penalty proposed by the EPA and agrees to pay the civil penalty as set forth in this CAFO.
16. The Respondent certifies that as of the date of its execution of this CAFO, it is in compliance with all relevant requirements of TSCA.
17. This CAFO constitutes a settlement by the EPA of all claims for civil penalties pursuant to Section 16(a) of TSCA, for the specific violations alleged herein. Except as specifically provided in this CAFO, the EPA reserves all other civil and criminal enforcement authorities, including the authority to address imminent hazards. Compliance with this CAFO shall not be a defense to any other actions subsequently commenced pursuant to Federal laws and regulations administered by the EPA, and it is the Respondent's responsibility to comply with said laws and regulations.
18. The Complainant and the Respondent agree to settle this matter by their execution of this CAFO. The parties agree that the settlement of this matter is in the public interest and that this CAFO is consistent with the applicable requirements of TSCA.

#### **V. Final Order**

19. The Respondent is assessed a civil penalty of NINE THOUSAND SIX HUNDRED AND THIRTY-EIGHT DOLLARS (\$9,638.00), which shall be paid within 30 days from the effective date of this CAFO.
20. The Respondent shall remit the civil penalty by either (1) wire transfer, in accordance with instructions provided below, or (2) by a cashier's or certified check made payable to the "Treasurer, United States of America." If payment is made by check through the U.S. Postal Service, Respondent shall send the check to the following address:

U.S. Environmental Protection Agency  
Fines and Penalties  
Cincinnati Finance Center  
PO Box 979077  
St. Louis, MO 63197-9000.

**The check shall reference on its face the name of the  
Respondent and Docket Number of this CAFO.**

For payment submittal by any overnight delivery service (Fed Ex, UPS, DHL, etc.), please use the following address:

U. S. Environmental Protection Agency  
Government Lockbox 979077  
1005 Convention Plaza  
Mail Station SL-MO-C2-GL  
St. Louis, MO 63101  
(314) 425-1818

For payment by wire transfer, in lieu of a cashier's or certified check, if desired, should be directed to the Federal Reserve Bank of New York:

Federal Reserve Bank of New York  
ABA = 021030004  
Account = 68010727  
SWIFT address = FRNYUS33  
33 Liberty Street  
New York NY 10045

**Field Tag 4200 of the Fedwire message should read  
"D 68010727 Environmental Protection Agency."**

21. At the time of payment, Respondent shall send a written statement that the payment has been made in accordance with this CAFO (along with a copy of the check if payment is made by check, or indicating that payment was by wire transfer) to each of the following persons at the following addresses:

Regional Hearing Clerk  
U.S. EPA Region 4  
61 Forsyth Street SW  
Atlanta, Georgia 30303-8960;

Kris Lippert  
RCRA and OPA Enforcement and Compliance Branch  
U.S. EPA Region 4  
61 Forsyth Street SW  
Atlanta, Georgia 30303-8960

and

Saundi Wilson  
U.S. EPA Region 4  
61 Forsyth Street  
Atlanta, Georgia 30303-8960.

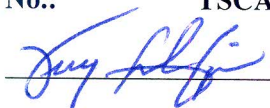
22. For the purposes of state and federal income taxation, the Respondent shall not be entitled, and agrees not to attempt, to claim a deduction for any civil penalty payment made pursuant to this CAFO. Any attempt by the Respondent to deduct any such payments shall constitute a violation of this CAFO.
23. Pursuant to 31 U.S.C. § 3717, the EPA is entitled to assess interest and penalties on debts owed to the United States and a charge to cover the cost of processing and handling a delinquent claim. Interest will therefore begin to accrue on the civil penalty from the date of entry of this CAFO, if the penalty is not paid by the date required. A charge will also be assessed to cover the administrative costs, both direct and indirect, of overdue debts. In addition, a late payment penalty charge shall be applied on any principal amount not paid within 90 days of the due date.
24. The Complainant and the Respondent shall bear their own costs and attorney fees in this matter.
25. This CAFO shall be binding upon the Respondent, its successors and assigns.
26. Each undersigned representative of the parties y to this CAFO certifies that he or she is fully authorized by the party represented to enter into this CAFO and legally binds that party to this CAFO.

#### **VI. Effective Date**

27. The effective date of this CAFO shall be the date on which the CAFO is filed with the Regional Hearing Clerk.


#### **AGREED AND CONSENTED TO:**

**Respondent:** Alabama Metal Industries Corporation  
**Docket No.:** TSCA-04-2013-2908(b)

By:  (Signature) Date: 9/9/2013


Name: TERRY LEBELFINGER (Typed or Printed)

Title: VICE PRESIDENT - HR (Typed or Printed)

By:   
G. Alan Farmer, Director  
RCRA Division  
61 Forsyth Street  
Atlanta, Georgia 30303-8960

Date: 10/24/2013

**APPROVED AND SO ORDERED** this 14 day of Nov, 2013.

By:   
Susan B. Schub  
Regional Judicial Officer



CERTIFICATE OF SERVICE

I hereby certify that I have this day filed the original and a true and correct copy of the foregoing Consent Agreement and the attached Final Order (CAFO), for Alabama Metal Industries Corporation, Docket Number: TSCA-04-2013-2908(b), on NOV 19 2013 and on NOV 19 2013 served the parties listed below in the manner indicated:

Robert Caplan  
Associate Regional Counsel  
Office of Environmental Accountability  
U.S. EPA, Region 4  
61 Forsyth Street, S.W.  
Atlanta, Georgia 30303-8909

(Via EPA Internal Mail)

Kris Lippert  
RCRA and OPA Enforcement  
and Compliance Branch  
U.S. EPA, Region 4  
61 Forsyth Street, S.W.  
Atlanta, Georgia 30303-8960

(Via EPA Internal mail)

Quantindra Smith  
RCRA and OPA Enforcement  
and Compliance Branch  
U.S. EPA, Region 4  
61 Forsyth Street, S.W.  
Atlanta, Georgia 30303-8960

(Via EPA Internal mail)

Mr. Terry Leberfingier  
Vice President  
Alabama Metal Industries Corporation  
3245 Fayette Avenue  
Birmingham, Alabama 35208

(Via Certified Mail – Return Receipt Requested)

Date:

11-19-13



Patricia A. Bullock, Regional Hearing Clerk  
United States Environmental Protection Agency, Region 4  
61 Forsyth Street, S.W.  
Atlanta, Georgia 30303  
(404) 562-9511